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**Supplement to ‘Sequential convergence in  $C_p(X)$ ’**

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I give details of some examples and further results relevant to [Frp92]. For notation see [Frp92].

Some of these results have been previously circulated in [Frn91].

**1.** I claimed ([Frp92], §14) that if the continuum hypothesis is true, then there is an  $s_1$ -space  $X \subseteq \mathbb{R}$  such that  $X \setminus \mathbb{Q}$  is not an  $s_1$ -space. The construction is as follows.

**1A Lemma** Let  $\langle W_r \rangle_{r \in \mathbb{N}}$  be a sequence of perfect subsets of  $\mathbb{R}$ . Suppose that  $W_r \cap \mathbb{Q}$  is dense in  $W_r$  and  $y_r \in W_r$  for each  $r$ . Let  $\langle g_{mn} \rangle_{m,n \in \mathbb{N}}$  be a double sequence of continuous real-valued functions on  $W = \bigcup_{r \in \mathbb{N}} W_r$  such that  $\lim_{n \rightarrow \infty} g_{mn}(w) = 0$  for every  $m \in \mathbb{N}$ ,  $w \in W$ . Then there are  $\langle k(m) \rangle_{m \in \mathbb{N}}$ ,  $\langle W'_r \rangle_{r \in \mathbb{N}}$  such that

- $k(m) \in \mathbb{N}$  for each  $m$ ;
- $y_r \in W'_r \subseteq W_r$ ,  $W'_r$  is perfect,  $W'_r \cap \mathbb{Q}$  is dense in  $W'_r$  for each  $r$ ;
- $\lim_{m \rightarrow \infty} g_{m,k(m)}(w) = 0$  for all  $w \in \bigcup_{r \in \mathbb{N}} W'_r$ .

**proof** Given  $m \in \mathbb{N}$ ,  $\langle k(r) \rangle_{r < m}$  and a family  $\langle F_{mr} \rangle_{r < m}$  of finite sets such that  $F_{mr} \subseteq W_r$  for each  $r < m$ ,  $|g_{j,k(j)}(y)| < 2^{-j}$  for  $r \leq j < m$ ,  $y \in F_{mr}$ , take  $k(m) \in \mathbb{N}$  such that

$$|g_{m,k(m)}(y)| < 2^{-m} \text{ for all } y \in \{y_m\} \cup \bigcup_{r < m} F_{mr},$$

and for  $r \leq m$  choose a finite set  $F_{m+1,r} \subseteq W_r$  such that

- $y_m \in F_{m+1,m}$ ,
- $F_{m+1,r} \subseteq \{y : y \in W_r, |g_{j,k(j)}(y)| < 2^{-j} \text{ if } r \leq j \leq m\}$

if  $r \leq m$ , and if  $r < m$  then

- $F_{mr} \subseteq F_{m+1,r}$ ,
- $\forall q \in F_{mr} \exists q' \in F_{m+1,r} \cap \mathbb{Q}, 0 < |q - q'| \leq 2^{-m}$ .

On completing this construction, set

$$W'_r = \overline{\bigcup_{m > r} F_{mr}};$$

then  $|g_{m,k(m)}(y)| \leq 2^{-m}$  whenever  $m > r$ ,  $y \in W'_r$ , while  $y_r \in W'_r \subseteq W_r$  for each  $r$ .

**1B Proposition** Assume CH. Then there is a set  $X \subseteq \mathbb{R}$  such that  $X$  is an  $s_1$ -space but  $X \setminus \mathbb{Q}$  is not an  $s_1$ -space.

**proof (a)** Start by enumerating as  $\langle (E_\xi, \langle g_{mn}^{(\xi)} \rangle_{m,n \in \mathbb{N}}) \rangle_{\xi < \mathfrak{c}}$  the family of all pairs  $(E, \langle g_{mn} \rangle_{m,n \in \mathbb{N}})$  such that  $E$  is a Borel subset of  $\mathbb{R}$ ,  $g_{mn} : E \rightarrow \mathbb{R}$  is continuous for every  $m, n \in \mathbb{N}$  and  $\lim_{n \rightarrow \infty} g_{mn}(y) = 0$  for every  $y \in E$ ,  $m \in \mathbb{N}$ . Enumerate as  $\langle V_\xi \rangle_{\xi < \mathfrak{c}}$  the family of  $G_\delta$  subsets of  $\mathbb{R}$  including  $\mathbb{Q}$ . Write  $\mathcal{W}$  for the family of perfect non-empty subsets  $W$  of  $\mathbb{R}$  such that  $W \cap \mathbb{Q}$  is dense in  $W$ .

**(b)** I am to construct inductively  $\langle Y_\xi \rangle_{\xi < \mathfrak{c}}$ ,  $\langle \mathcal{W}_\xi \rangle_{\xi < \mathfrak{c}}$ ,  $\langle \langle k_\xi(m) \rangle_{m \in \mathbb{N}} \rangle_{\xi < \mathfrak{c}}$  such that

- (i)  $\langle Y_\xi \rangle_{\xi < \mathfrak{c}}$  is an increasing family of countable subsets of  $\mathbb{R}$ , with  $Y_0 = \mathbb{Q}$ ;
- (ii)  $\mathcal{W}_\xi$  is a countable subset of  $\mathcal{W}$  for each  $\xi$ , and  $\bigcup \mathcal{W}_\xi \supseteq \bigcup \mathcal{W}_\eta \supseteq Y_\eta$  if  $\xi \leq \eta < \mathfrak{c}$ ;
- (iii) if  $\xi \leq \eta < \mathfrak{c}$ ,  $W \in \mathcal{W}_\xi$ ,  $q \in W \cap Y_\xi$  then there is a  $W' \in \mathcal{W}_\eta$  such that  $q \in W' \subseteq W$ ;
- (iv) if  $\xi < \mathfrak{c}$  and  $Y_{\xi+1} \subseteq E_\xi$  then  $\lim_{m \rightarrow \infty} g_{m,k_\xi(m)}^{(\xi)}(y) = 0$  for every  $y \in \bigcup \mathcal{W}_{\xi+1}$ ;
- (v)  $Y_{\xi+1} \cap V_\xi \setminus \mathbb{Q} \neq \emptyset$  for every  $\xi$ .

**(c) construction (i)** Start with  $Y_0 = \mathbb{Q}$ ,  $\mathcal{W}_0 = \{[-r, r] : r \in \mathbb{N} \setminus \{0\}\}$ .

**(ii)** Given  $Y_\xi$ ,  $\mathcal{W}_\xi$  choose  $y \in \bigcup \mathcal{W}_\xi \cap V_\xi \setminus \mathbb{Q}$ .

**case 1** If  $E_\xi \not\supseteq Y_\xi \cup \bigcup \mathcal{W}_\xi$  choose  $y' \in (Y_\xi \cup \bigcup \mathcal{W}_\xi) \setminus E_\xi$ ; set  $Y_{\xi+1} = Y_\xi \cup \{y, y'\}$ ,  $\mathcal{W}_{\xi+1} = \mathcal{W}_\xi$ ,  $k_\xi(m) = m$  for every  $m \in \mathbb{N}$ .

**case 2** If  $E_\xi \supseteq Y_\xi \cup \bigcup \mathcal{W}_\xi$  set  $Y_{\xi+1} = Y_\xi \cup \{y\}$  and use 1A to find a countable  $\mathcal{W}_{\xi+1} \subseteq \mathcal{W}$  and a sequence  $\langle k_\xi(m) \rangle_{m \in \mathbb{N}}$  such that

$$\lim_{m \rightarrow \infty} g_{m,k_\xi(m)}^{(\xi)}(y) = 0 \text{ for each } y \in \bigcup \mathcal{W}_{\xi+1};$$

$$\forall W \in \mathcal{W}_\xi, y \in W \cap Y_{\xi+1} \exists W' \in \mathcal{W}_{\xi+1}, y \in W' \subseteq W;$$

every member of  $\mathcal{W}_{\xi+1}$  is included in some member of  $\mathcal{W}_\xi$ .

(iii) Given  $\langle Y_\xi \rangle_{\xi < \eta}$ ,  $\langle \mathcal{W}_\xi \rangle_{\xi < \eta}$  where  $\eta$  is a non-zero countable limit ordinal, set  $Y_\eta = \bigcup_{\xi < \eta} Y_\xi$ . For  $\xi < \eta$ ,  $W \in \mathcal{W}_\xi$ ,  $q \in W \cap Y_\xi$  choose  $W'_{\xi W q}$  as follows. Take a strictly increasing sequence  $\langle \xi_n \rangle_{n \in \mathbb{N}}$  with limit  $\eta$  and  $\xi = \xi_0$ . Choose  $\langle F_n \rangle_{n \in \mathbb{N}}$ ,  $\langle K_n \rangle_{n \in \mathbb{N}}$  such that

$$F_0 = \{q\}, K_0 = W;$$

$F_{n+1} \subseteq K_n \cap Y_\xi$  is finite, every member of  $F_n$  is within a distance  $2^{-n}$  of some member of  $F_{n+1} \cap \mathbb{Q}$  other than itself;

$$K_{n+1} \text{ is a finite union of members of } \mathcal{W}_{\xi_{n+1}}, F_n \subseteq F_{n+1} \subseteq K_{n+1} \subseteq K_n.$$

Now setting  $W'_{\xi W q} = \overline{\bigcup_{n \in \mathbb{N}} F_n} \subseteq \bigcap_{n \in \mathbb{N}} K_n$ , we see that  $W'_{\xi W q} \in \mathcal{W}$ ,  $q \in W'_{\xi W q} \subseteq W \cap \bigcup \mathcal{W}_{\xi_n}$  for every  $n$ . So taking

$$\mathcal{W}_\eta = \{W'_{\xi W q} : \xi < \eta, W \in \mathcal{W}_\xi, q \in W \cap Y_\xi\}$$

the induction will proceed.

(d) On completing the construction, set  $X = \bigcup_{\xi < \mathfrak{c}} Y_\xi$ .

(i) To see that  $X$  is an  $s_1$ -space, let  $\langle f_{mn} \rangle_{m, n \in \mathbb{N}}$  be a double sequence in  $C(X)$  such that  $\lim_{n \rightarrow \infty} f_{mn}(x) = 0$  for every  $m \in \mathbb{N}$ ,  $x \in X$ . For each  $m, n \in \mathbb{N}$  there is a  $G_\delta$  set  $E_{mn} \supseteq X$  such that  $f_{mn}$  has a continuous extension to a function  $f'_{mn} : E_{mn} \rightarrow \mathbb{R}$ . Set

$$E = \{x : x \in \bigcap_{m, n \in \mathbb{N}} E_{mn}, \lim_{n \rightarrow \infty} f'_{mn}(x) = 0 \ \forall m \in \mathbb{N}\}.$$

Then  $E$  is a Borel set, so there is a  $\xi < \mathfrak{c}$  such that

$$E_\xi = E, g_{mn}^{(\xi)} = f'_{mn} \upharpoonright E \ \forall m, n \in \mathbb{N}.$$

Now we see that  $Y_{\xi+1} \subseteq X \subseteq E$ , so

$$\lim_{m \rightarrow \infty} g_{m, k_\xi(m)}^{(\xi)}(y) = 0 \ \forall y \in \bigcup \mathcal{W}_{\xi+1},$$

and

$$\lim_{m \rightarrow \infty} f_{m, k_\xi(m)}(x) = 0 \ \forall x \in X.$$

(ii) To see that  $X \setminus \mathbb{Q}$  is not an  $s_1$ -space, let  $H \subseteq \mathbb{R} \setminus \mathbb{Q}$  be any  $K_\sigma$  set. Then there is a  $\xi < \mathfrak{c}$  such that  $V_\xi = \mathbb{R} \setminus H$ , so  $X \setminus \mathbb{Q} \not\subseteq H$ . This means that if  $h : \mathbb{R} \setminus \mathbb{Q} \rightarrow \mathbb{N}^\mathbb{N}$  is any homeomorphism,  $h[X \setminus \mathbb{Q}]$  is not included in any  $K_\sigma$  set in  $\mathbb{N}^\mathbb{N}$ , that is, is essentially unbounded. So  $X \setminus \mathbb{Q}$  is not an  $s_1$ -space.

**1C Remark** The space  $X$  of 1B has the following property: there is a double sequence  $\langle f_{mn} \rangle_{m, n \in \mathbb{N}}$  in  $C(X)$  such that  $\lim_{n \rightarrow \infty} f_{mn} = 0$  in  $C(X)$  for every  $m$ , but whenever  $J \subseteq \mathbb{N}$  is infinite and  $\langle k(m) \rangle_{m \in \mathbb{N}}$  is a sequence in  $\mathbb{N}$ , there are  $x \in X$ ,  $\langle n(m) \rangle_{m \in \mathbb{N}}$  such that  $n(m) \geq k(m)$  for every  $m$  and  $\limsup_{m \rightarrow J} f_{m, n(m)}(x) \neq 0$ . To see this, take an enumeration  $\langle q_m \rangle_{m \in \mathbb{N}}$  of  $\mathbb{Q}$  and for each  $m \in \mathbb{N}$  choose sequences  $\langle \gamma_{mn} \rangle_{n \in \mathbb{N}}$ ,  $\langle \delta_{mn} \rangle_{n \in \mathbb{N}}$  in  $\mathbb{R} \setminus X$  such that  $\langle \gamma_{mn} \rangle_{n \in \mathbb{N}}$  is strictly increasing,  $\langle \delta_{mn} \rangle_{n \in \mathbb{N}}$  is strictly decreasing and both converge to  $q_m$ . For  $m, n \in \mathbb{N}$  write

$$G_{mn} = \bigcup_{i \leq m} ]\gamma_{in}, \delta_{in}[,$$

and set

$$\begin{aligned} f_{mn}(x) &= 1 \text{ if } x \in X \cap G_{mn} \setminus G_{m, n+1}, \\ &= 0 \text{ for other } x \in X. \end{aligned}$$

Of course every  $f_{mn}$  is continuous (because  $X \cap \overline{G_{mn}} \setminus G_{mn} = \emptyset$ ) and  $\lim_{n \rightarrow \infty} f_{mn} = 0$ .

Now suppose that  $J \subseteq \mathbb{N}$  is infinite and that  $\langle k(m) \rangle_{m \in \mathbb{N}}$  is a sequence in  $\mathbb{N}$ . Then  $V = \bigcap_{p \in \mathbb{N}} \bigcup_{m \in J \setminus p} G_{m, k(m)}$  is a  $G_\delta$  set including  $\mathbb{Q}$ , so is equal to  $V_\xi$  for some  $\xi < \mathfrak{c}$ , and there is an  $x \in X \cap V \setminus \mathbb{Q}$ . For each  $m \in \mathbb{N}$  set

$$n(m) = \min\{n : n \geq k(m), f_{mn}(x) = 1\}$$

if this is defined,  $k(m)$  otherwise. For every  $p \in \mathbb{N}$  there is an  $m \in J \setminus p$  such that  $x \in G_{m, k(m)}$ ; but  $x \in X \setminus \mathbb{Q}$ , so there is an  $n \geq k(m)$  such that  $x \in G_{m, n} \setminus G_{m, n+1}$  and  $f_{mn}(x) = 1$ ; accordingly  $f_{m, n(m)}(x) = 1$ . Thus  $\limsup_{m \rightarrow J} f_{m, n(m)}(x) = 1$ .

**2.** I claim in [Frp92] that for metrizable  $X$ ,  $\Sigma(B_1(C(X))) = \Sigma(C(X))$ . My argument proceeds by dealing (i) with discrete spaces of cardinal  $\mathfrak{b}$  (2D) (ii) with spaces with dense subsets of cardinal less than  $\mathfrak{b}$  (2E).

**2A Definition** Let  $\alpha$  be an ordinal. Say that a quadruple  $(Z, \mathfrak{T}, A, z)$  is  $\alpha$ -**acceptable** if

- (i)  $(Z, \mathfrak{T})$  is a zero-dimensional, compact, sequentially compact Hausdorff space of weight at most  $\mathfrak{b}$ ;
- (ii)  $A$  is a countable subset of  $Z$ ;

(iii)  $z \in s_\alpha(A, Z) \setminus \bigcup_{\beta < \alpha} s_\beta(A, Z)$ ;

(iv) there is a family  $\langle U_\xi \rangle_{\xi < \mathfrak{b}}$  of open-and-closed neighbourhoods of  $z$  such that  $\bigcap_{\xi < \mathfrak{b}} \bigcup_{\eta \geq \xi} U_\eta$  is countable.

**2B Lemma** For every  $\alpha < \omega_1$  there is an  $\alpha$ -acceptable  $(Z, \mathfrak{T}, A, z)$ .

**proof** Induce on  $\alpha$ .

(a) The induction starts with  $\alpha = 0$ ,  $Z = A = \{z\}$ . For the inductive step to  $\alpha > 0$ , let  $\langle \alpha_n \rangle_{n \in \mathbb{N}}$  be a sequence of ordinals such that  $\alpha = \lim_{n \rightarrow \infty} (\alpha_n + 1) = \sup_{n \in \mathbb{N}} (\alpha_n + 1)$ . By the inductive hypothesis, there is for each  $n$  an  $\alpha_n$ -acceptable  $(Z_n, \mathfrak{T}_n, A_n, z_n)$ ; we may choose the  $Z_n$  in such a way that they are disjoint from each other and from  $\mathfrak{b} + 1 = \mathfrak{b} \cup \{\mathfrak{b}\}$ , the ordinal successor of  $\mathfrak{b}$ . For each  $n \in \mathbb{N}$  choose a family  $\langle U_{n\xi} \rangle_{\xi < \mathfrak{b}}$  of open-and-closed neighbourhoods of  $z_n$  in  $Z_n$  such that  $B_n = \bigcap_{\xi < \mathfrak{b}} \bigcup_{\eta \geq \xi} U_{n\eta}$  is countable; now let  $\langle G_{ni} \rangle_{i \in \mathbb{N}}$  be a disjoint cover of  $B_n \setminus \{z_n\}$  by open-and-closed sets in  $Z_n$ .

(b) As observed in [vD84], Theorem 3.3, there is a family  $\langle g_\xi \rangle_{\xi < \mathfrak{b}}$  of strictly increasing functions in  $\mathbb{N}^{\mathbb{N}}$  such that  $\{n : g_\xi(n) \leq g_\eta(n)\}$  is finite whenever  $\eta < \xi < \mathfrak{b}$ , while for every  $g \in \mathbb{N}^{\mathbb{N}}$  there is a  $\xi < \mathfrak{b}$  such that  $\{n : g(n) < g_\xi(n)\}$  is infinite.

Set  $Z = \bigcup_{n \in \mathbb{N}} Z_n \cup (\mathfrak{b} + 1)$ ,  $z = \mathfrak{b} \in Z$ ,  $A = \bigcup_{n \in \mathbb{N}} A_n \subseteq Z$ . For  $\xi < \mathfrak{b}$  set

$$V_\xi = \bigcup_{n \in \mathbb{N}} (Z_n \setminus U_{n\xi}) \cup \bigcup \{G_{ni} : n \in \mathbb{N}, i \leq g_\xi(n)\} \cup (\xi + 1).$$

Let  $\mathfrak{T}$  be the topology on  $Z$  generated by

$$\bigcup_{n \in \mathbb{N}} \mathfrak{T}_n \cup \{V_\xi : \xi < \mathfrak{b}\} \cup \{Z \setminus V_\xi : \xi < \mathfrak{b}\} \cup \{Z \setminus Z_n : n \in \mathbb{N}\}.$$

Each  $Z_n$  is an open-and-closed subset of  $Z$  and the topology on  $Z_n$  induced by  $\mathfrak{T}$  is precisely  $\mathfrak{T}_n$  (because the  $Z_n$  are disjoint and  $V_\xi \cap Z_n$  is always open-and-closed in  $Z_n$ ). The topology on  $\mathfrak{b} + 1$  induced by  $\mathfrak{T}$  is the usual order topology of  $\mathfrak{b} + 1$ . Observe also that  $\langle z_n \rangle_{n \in \mathbb{N}}$  converges to  $z$ .

(c) Now for the conditions (i)-(iv) of 2A.

(i)  $(Z, \mathfrak{T})$  is Hausdorff because (a) each  $Z_n$  is open-and-closed in  $Z$  (b) the topology induced on each  $Z_n$  is Hausdorff, by the inductive hypothesis (c) if  $\eta < \xi \leq \mathfrak{b}$  then  $V_\eta$  is an open-and-closed subset of  $Z$  containing  $\eta$  and not containing  $\xi$ .

$(Z, \mathfrak{T})$  is zero-dimensional because all the  $V_\xi, Z_n$  are open-and-closed for  $\mathfrak{T}$ .

Let  $\mathcal{F}$  be any ultrafilter on  $Z$ . If some  $Z_n$  belongs to  $\mathcal{F}$  then  $\mathcal{F}$  converges to some point of  $Z_n$ , because  $Z_n$  is compact for  $\mathfrak{T}_n$ . If  $\mathfrak{b} + 1 \in \mathcal{F}$  then  $\mathcal{F}$  converges to some point of  $\mathfrak{b} + 1$ . Otherwise  $\bigcup_{n \leq i \in \mathbb{N}} Z_i \in \mathcal{F}$  for every  $n \in \mathbb{N}$ . If  $Z \setminus V_\xi \in \mathcal{F}$  for every  $\xi < \mathfrak{b}$ , then  $\mathcal{F} \rightarrow z$ . Otherwise, let  $\xi$  be the least ordinal such that  $V_\xi \in \mathcal{F}$ ; then  $\mathcal{F} \rightarrow \xi$ .

Thus every ultrafilter on  $Z$  has a limit in  $Z$  and  $(Z, \mathfrak{T})$  is compact.

Let  $\langle y_i \rangle_{i \in \mathbb{N}}$  be any sequence in  $Z$ . If  $\{i : y_i \in Z_n\}$  is infinite for some  $n \in \mathbb{N}$ , then  $\langle y_i \rangle_{i \in \mathbb{N}}$  has a subsequence converging to some point of  $Z_n$ . If  $\{i : y_i \in \mathfrak{b} + 1\}$  is infinite, then  $\langle y_i \rangle_{i \in \mathbb{N}}$  has a subsequence converging to some point of  $\mathfrak{b} + 1$ . If  $\{i : \exists n, y_i = z_n\}$  is infinite, then  $\langle y_i \rangle_{i \in \mathbb{N}}$  has a subsequence converging to some point of  $\{z\} \cup \{z_n : n \in \mathbb{N}\}$ . Otherwise,  $\langle y_i \rangle_{i \in \mathbb{N}}$  has a subsequence of the form  $\langle y'_i \rangle_{i \in \mathbb{N}}$  where  $y'_i \in Z_{n(i)} \setminus \{z_{n(i)}\}$  for every  $i$ ,  $\langle n(i) \rangle_{i \in \mathbb{N}}$  being a strictly increasing sequence in  $\mathbb{N}$ . For each  $i$ , take  $f(i) \in \mathbb{N}$  such that

$$y'_i \in (Z_{n(i)} \setminus B_{n(i)}) \cup \bigcup_{j \leq f(i)} G_{n(i), j};$$

let  $\zeta(i) < \mathfrak{b}$  be such that

$$y'_i \in (Z_{n(i)} \setminus \bigcup_{\eta \geq \zeta(i)} U_{n(i), \eta}) \cup \bigcup_{j \leq f(i)} G_{n(i), j}.$$

Of course  $\mathfrak{b}$  has uncountable cofinality, so  $\zeta = \sup_{i \in \mathbb{N}} \zeta(i) < \mathfrak{b}$ ; now let  $\zeta' \geq \zeta$  be such that  $J = \{i : f(i) \leq g_{\zeta'}(i)\}$  is infinite. Then (because  $g_{\zeta'}$  is non-decreasing)  $f(i) \leq g_{\zeta'}(n(i))$  for each  $i \in J$ , so that  $y'_i \in V_{\zeta'}$  for every  $i \in J$ . We can therefore find a least  $\xi < \mathfrak{b}$  such that  $I = \{i : y'_i \in V_\xi\}$  is infinite, and see that  $\xi = \lim_{i \rightarrow I} y'_i$  is the limit of a subsequence of  $\langle y_i \rangle_{i \in \mathbb{N}}$ .

As  $\langle y_i \rangle_{i \in \mathbb{N}}$  is arbitrary,  $(Z, \mathfrak{T})$  is sequentially compact.

(ii) Of course  $A$  is countable.

(iii) The discussion of convergent sequences in (i) just above makes it plain that a sequence in  $Z$  can be convergent to  $z$  only if it lies eventually in  $\{z\} \cup \{z_n : n \in \mathbb{N}\}$ . Now an induction on  $\beta$  shows that if  $\beta < \alpha$  then

$$\bigcup_{n \in \mathbb{N}} s_\beta(A_n, Z_n) \subseteq s_\beta(A, Z) \subseteq \bigcup_{n \in \mathbb{N}} s_\beta(A_n, Z_n) \cup \mathfrak{b},$$

because  $\{n : z_n \in s_\beta(A_n, Z_n)\} = \{n : \alpha_n \leq \beta\}$  is finite. Consequently  $z \in s_\alpha(A, Z) \setminus \bigcup_{\beta < \alpha} s_\beta(A, Z)$ .

(iv) Finally, observe that

$$\bigcap_{\xi < \mathfrak{b}} \bigcup_{\eta \geq \xi} (X \setminus V_\eta) \subseteq \{z\} \cup \bigcup_{n \in \mathbb{N}} B_n$$

is countable.

(d) Thus the induction proceeds and the lemma is proved.

**2C Theorem** There is a compact, sequentially compact, zero-dimensional Hausdorff space  $Z$ , of weight  $\mathfrak{b}$ , with a countable set  $A \subseteq Z$  such that  $\sigma(A, Z) = \omega_1$ .

**proof** For each  $\alpha < \omega_1$  take a quadruple  $(Z_\alpha, \mathfrak{T}_\alpha, A_\alpha, z_\alpha)$  which is  $\alpha$ -acceptable in the sense of 2A-2B. Let  $W$  be the topological product  $\prod_{\alpha < \omega_1} Z_\alpha$  and for each  $\alpha$  let  $\langle a_{\alpha n} \rangle_{n \in \mathbb{N}}$  be a sequence running over  $A_\alpha$ . Let  $f : \omega_1 \rightarrow \mathbb{N}^{\mathbb{N}}$  be an injective function. For  $n \in \mathbb{N}$ ,  $s \in \text{Seq}$  set

$$\begin{aligned} z_{sn}(\alpha) &= a_{\alpha n} \text{ if } s \subseteq f(\alpha), \\ &= a_{\alpha 0} \text{ otherwise.} \end{aligned}$$

Set  $A = \{z_{sn} : s \in \text{Seq}, n \leq \#(s)\} \subseteq W$ . If we set

$$\begin{aligned} z_{\alpha n}^*(\beta) &= a_{\alpha n} \text{ if } \beta = \alpha, \\ &= a_{\beta 0} \text{ otherwise,} \end{aligned}$$

then  $z_{\alpha n}^* = \lim_{m \rightarrow \infty} z_{f(\alpha) \upharpoonright m, n} \in s_1(A, W)$ . So  $w_\alpha \in s_{\omega_1}(A, W)$ , where  $w_\alpha(\beta) = z_\alpha$  if  $\beta = \alpha$ ,  $a_{\beta 0}$  otherwise. On the other hand,  $w_\alpha \notin s_\beta(A, W)$  for  $\beta < \alpha$ , because, writing  $\pi_\alpha$  for the canonical map from  $W$  to  $Z_\alpha$ , we have  $\pi_\alpha[A] \subseteq A_\alpha$ , so  $\pi_\alpha[s_\beta(A, W)] \subseteq s_\beta(A_\alpha, Z_\alpha)$  does not contain  $z_\alpha = \pi_\alpha(w_\alpha)$ .

Thus we have a zero-dimensional compact Hausdorff space  $W$ , of weight at most  $\mathfrak{b}$ , and a countable set  $A \subseteq W$  with  $\sigma(A, W) = \omega_1$ . Any sequence in  $A$  either converges to  $z^* = \langle a_{\alpha 0} \rangle_{\alpha < \omega_1}$  or has a subsequence of the form  $\langle z_{s_i, n_i} \rangle_{i \in \mathbb{N}}$  where  $\bigcup_{i \in \mathbb{N}} s_i \subseteq f(\alpha)$  for some  $\alpha$ . In the latter case, taking a further subsequence if necessary, we may suppose that either the sequence is constant or that  $s_i \subset s_{i+1}$  for each  $i$ , so that  $\lim_{i \rightarrow \infty} z_{s_i, n_i}(\beta) = a_{\beta 0}$  for every  $\beta \neq \alpha$ ; now  $\langle a_{\alpha n_i} \rangle_{i \in \mathbb{N}}$  has a convergent subsequence, so  $\langle z_{s_i, n_i} \rangle_{i \in \mathbb{N}}$  has a convergent subsequence.

Now if we set  $Z = \bar{A} \subseteq W$ , we see that

$$Z \subseteq A \cup \{w : w \in W, \#(\{\alpha : w(\alpha) \neq a_{\alpha 0}\}) \leq 1\};$$

but this is sequentially compact, because each  $Z_\alpha$  is sequentially compact, and (as we have just seen) every sequence in  $A$  has a subsequence convergent to a point of  $Z$ . So  $Z$  is sequentially compact; but of course it is still compact, Hausdorff, zero-dimensional and of weight at most  $\mathfrak{b}$ ; while  $\sigma(A, Z) = \sigma(A, W) = \omega_1$ , so that the weight of  $Z$  is precisely  $\mathfrak{b}$ , by Proposition 4 of [Frp92].

**2D Corollary** There is a countable  $A \subseteq [0, 1]^{\mathfrak{b}}$  such that  $\sigma(A, [0, 1]^{\mathfrak{b}}) = \omega_1$ .

**proof** The space  $Z$  of Theorem 2C, being a compact Hausdorff space of weight  $\mathfrak{b}$ , can be embedded into  $[0, 1]^{\mathfrak{b}}$ ; so that the set  $A \subseteq Z$  of 2C is carried onto a countable set  $A' \subseteq [0, 1]^{\mathfrak{b}}$  with  $\sigma(A', [0, 1]^{\mathfrak{b}}) = \omega_1$ .

**2E Proposition** Suppose that  $X$  is a topological space which is not an  $s_1$ -space and has a dense subset of cardinal less than  $\mathfrak{b}$ . Then  $\Sigma(B_1(C(X))) = \omega_1$ .

**proof (a)** By Proposition 12 of [Frp92] there is a uniformly bounded double sequence  $\langle f_{mn} \rangle_{m, n \in \mathbb{N}}$  in  $C(X)$  such that  $\lim_{n \rightarrow \infty} f_{mn} = 0$  for every  $m \in \mathbb{N}$  but  $\langle f_{m(i), n(i)} \rangle_{i \rightarrow \infty} \not\rightarrow 0$  for any sequences  $\langle m(i) \rangle_{i \in \mathbb{N}}$ ,  $\langle n(i) \rangle_{i \in \mathbb{N}}$  of which the first is strictly increasing. We may take it that  $|f_{mn}(x)| \leq 1$  for all  $m, n$  and  $x$ . Let  $Q \subseteq X$  be a dense subset of cardinal less than  $\mathfrak{b}$ . For each  $q \in Q$ ,  $m \in \mathbb{N}$  let  $k_q(m) \in \mathbb{N}$  be such that  $|f_{mn}(q)| \leq 2^{-m}$  for every  $n \geq k_q(m)$ . Because  $\#(Q) < \mathfrak{b}$ , there is a sequence  $\langle k(m) \rangle_{m \in \mathbb{N}}$  such that  $\{m : k(m) < k_q(m)\}$  is finite for every  $q \in Q$ . Set  $f'_{mn} = f_{m, n+k(m)}$  for  $m, n \in \mathbb{N}$ ; then

- (i)  $\lim_{n \rightarrow \infty} f'_{mn}(x) = 0$  for every  $m \in \mathbb{N}$ ,  $x \in X$ ,
- (ii)  $\lim_{m \rightarrow \infty} \sup_{n \in \mathbb{N}} |f'_{mn}(q)| = 0$  for every  $q \in Q$ ,
- (iii) for any strictly increasing sequence  $\langle m(i) \rangle_{i \in \mathbb{N}}$ , any sequence  $\langle n(i) \rangle_{i \in \mathbb{N}}$  there is an  $x \in X$  such that  $\langle f'_{m(i), n(i)}(x) \rangle_{i \in \mathbb{N}}$  does not converge to 0.

(b) For  $t = \langle r_i \rangle_{i < m} \in \text{Seq}$  write  $|t| = m$ ,  $\|t\| = \sum_{i < m} r_i$ ; then  $\|t\| < \|s\|$  whenever  $t < s$  in Seq. Define  $\langle g_t \rangle_{t \in \text{Seq}}$  inductively by saying

$$g_t = 0 \text{ if } |t| \leq 1,$$

$$g_{t \wedge i \wedge j} = g_{t \wedge i} + 2^{-\|t\|} f'_{ij}$$

for  $t \in \text{Seq}$ ,  $i \in \mathbb{N}$ ,  $j \in \mathbb{N}$ . Then we see that  $|g_s(x) - g_{t \wedge i}(x)| \leq 2 \cdot 2^{-\|t\|}$  whenever  $t \wedge i \leq s$  in  $\text{Seq}$  and  $x \in X$ . Consequently we have

- (i)  $\lim_{i \rightarrow \infty} g_{t \wedge i} = g_t$  for every  $t \in \text{Seq}$ ;
- (ii) if  $t \in \text{Seq}$ ,  $\langle m(i) \rangle_{i \in \mathbb{N}} \rightarrow \infty$ ,  $\langle n(i) \rangle_{i \in \mathbb{N}}$  is any sequence in  $\mathbb{N}$ , then  $\lim_{i \rightarrow \infty} g_{t \wedge m(i) \wedge n(i)}(q) = g_t(q)$  for every  $q \in Q$ , but there is an  $x \in X$  such that  $\langle g_{t \wedge m(i) \wedge n(i)}(x) \rangle_{i \in \mathbb{N}}$  does not converge to  $g_t(x)$ ;
- (iii) if  $t \in \text{Seq}$ ,  $\langle m(i) \rangle_{i \in \mathbb{N}} \rightarrow \infty$ ,  $t \wedge m(i) < t_i$  in  $\text{Seq}$  for every  $i$ , then  $\lim_{i \rightarrow \infty} g_{t_i}(q) = g_t(q)$  for every  $q \in Q$ , but there is an  $x \in X$  such that  $\langle g_{t_i}(x) \rangle_{i \in \mathbb{N}}$  does not converge to  $g_t(x)$ ;
- (iv) consequently, under the conditions of (iv),  $\langle g_{t_i} \rangle_{i \in \mathbb{N}}$  has no limit in  $C(X)$ , because  $Q$  is dense in  $X$ .

(c) Finally, as in Theorem 9 of [Frp92], we can find a family  $\langle \delta_t \rangle_{t \in \text{Seq}}$  in  $[0, 1]$  such that  $t \mapsto e_t : \text{Seq} \rightarrow B_1(C(X))$  is a sequentially regular embedding, where  $e_t = \frac{1}{3}(g_t + \delta_t \chi_X)$ .

So  $\Sigma(B_1(C(X))) = \omega_1$ , by Lemma 8 of [Frp92].

**2F Corollary** If  $X$  is metrizable then  $\Sigma(B_1(C(X))) = \Sigma(C(X))$ .

**proof (a)** If  $X = \emptyset$  then  $\Sigma(B_1(C(X))) = \Sigma(C(X)) = 0$ .

(b) If  $X$  is a non-empty  $s_1$ -space then  $\Sigma(B_1(C(X))) = \Sigma(C(X)) = 1$ .

(c) If  $X$  is not an  $s_1$ -space and has a dense subset of cardinal less than  $\mathfrak{b}$ , then  $\Sigma(B_1(C(X))) = \Sigma(C(X)) = \omega_1$ , by 2E.

(d) Let  $\rho$  be a metric on  $X$  defining its topology. If  $X$  is not an  $s_1$ -space and has no dense subset of cardinal less than  $\mathfrak{b}$ , then it must have a metrically discrete subset of cardinal  $\mathfrak{b}$ , that is, there are  $\delta > 0$  and a family  $\langle x_\xi \rangle_{\xi < \mathfrak{b}}$  such that  $\rho(x_\xi, x_\eta) \geq 3\delta$  whenever  $\xi < \eta < \mathfrak{b}$ . (This is because  $\text{cf}(\mathfrak{b}) = \mathfrak{b} > \omega$ ; see [En89], 4.1.15.) Define  $e_\xi \in C(X)$  by setting  $e_\xi(x) = \max(0, 1 - \delta^{-1}\rho(x, x_\xi))$  for  $x \in X$ ,  $\xi < \mathfrak{b}$ . Then we have a map  $\psi : [0, 1]^{\mathfrak{b}} \rightarrow B_1(C(X))$  defined by setting  $\psi(g) = \sum_{\xi < \mathfrak{b}} g(\xi)e_\xi$ , and this embeds  $[0, 1]^{\mathfrak{b}}$  as a closed subspace of  $B_1(C(X))$ ; so that  $\Sigma(B_1(C(X))) \geq \Sigma([0, 1]^{\mathfrak{b}}) \geq \omega_1$ , by 2D, and we must have  $\Sigma(B_1(C(X))) = \Sigma(C(X)) = \omega_1$ .

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