

## Dependently selective filters

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I repeat and amplify the material of §2 of FREMLIN P09.

**1A Definitions** Let  $\mathcal{F}$  be a filter on a set  $X$ .

(a) I will say that  $\mathcal{F}$  is **dependently selective** if it has the following property:

whenever  $\mathcal{S} \subseteq [X]^{<\omega}$  is such that  $\emptyset \in \mathcal{S}$  and  $\{x : K \cup \{x\} \in \mathcal{S}\} \in \mathcal{F}$  for every  $K \in \mathcal{S}$ , then there is a  $F \in \mathcal{F}$  such that  $[F]^{<\omega} \subseteq \mathcal{S}$ .

(b)  $\mathcal{F}$  is **uniform** if  $\#(F) = \#(X)$  for every  $F \in \mathcal{F}$ .

(c) If  $A \subseteq X$ , set

$$\mathcal{F}[A = \{F \cap A : F \in \mathcal{F}\} = \{B : B \subseteq A, B \cup (X \setminus A) \in \mathcal{F}\}.$$

Note that  $\mathcal{F}[A$  is  $\mathcal{P}A$  if  $X \setminus A \in \mathcal{F}$ , and otherwise is a filter on  $A$ . If  $A \in \mathcal{F}$  then  $\mathcal{F}[A = \mathcal{F} \cap \mathcal{P}A$ .

**1B Proposition** Let  $X$  and  $Y$  be sets,  $f : X \rightarrow Y$  a function, and  $\mathcal{F}$  a dependently selective filter on  $X$ .

(a) The image filter  $f[[\mathcal{F}]] = \{B : f^{-1}[B] \in \mathcal{F}\}$  is a dependently selective filter on  $Y$ .

(b) If  $f[[\mathcal{F}]]$  is free, then there is an  $F \in \mathcal{F}$  such that  $f \upharpoonright F$  is injective.

(c) If  $\#(X) = \#(Y)$  and  $f[[\mathcal{F}]]$  is free, then  $\mathcal{F}$  and  $f[[\mathcal{F}]]$  are isomorphic.

**proof (a)** Let  $\mathcal{S} \subseteq [Y]^{<\omega}$  be such that  $\emptyset \in \mathcal{S}$  and  $\{y : K \cup \{y\} \in \mathcal{S}\} \in f[[\mathcal{F}]]$  for every  $K \in \mathcal{S}$ . Set  $\mathcal{S}' = \{K : K \in [X]^{<\omega}, f[K] \in \mathcal{S}\}$ . Then  $\emptyset \in \mathcal{S}'$ . If  $K \in \mathcal{S}'$ , then  $f[K] \in \mathcal{S}$ ,  $\{y : f[K] \cup \{y\} \in \mathcal{S}\} \in f[[\mathcal{F}]]$  and

$$\{x : K \cup \{x\} \in \mathcal{S}'\} = \{x : x \in X, f[K] \cup \{f(x)\} \in \mathcal{S}\} = f^{-1}[\{y : f[K] \cup \{y\} \in \mathcal{S}\}]$$

belongs to  $\mathcal{F}$ . Because  $\mathcal{F}$  is dependently selective, there is an  $F \in \mathcal{F}$  such that  $[F]^{<\omega} \subseteq \mathcal{S}'$ ; now  $f[F] \in f[[\mathcal{F}]]$  and  $[f[F]]^{<\omega} \subseteq \mathcal{S}$ . As  $\mathcal{S}$  is arbitrary,  $f[[\mathcal{F}]]$  is dependently selective.

(b) If  $f[[\mathcal{F}]]$  is free, consider

$$\mathcal{S} = \{K : K \in [X]^{<\omega}, f \upharpoonright K \text{ is injective}\}.$$

Of course  $\emptyset \in \mathcal{S}$ , and if  $K \in \mathcal{S}$  then

$$\{x : K \cup \{x\} \in \mathcal{S}\} \supseteq f^{-1}[Y \setminus f[K]]$$

belongs to  $\mathcal{F}$  because  $Y \setminus f[K] \in f[[\mathcal{F}]]$ . So there is an  $F \in \mathcal{F}$  such that  $[F]^{<\omega} \subseteq \mathcal{S}$ , that is,  $f \upharpoonright F$  is injective.

(c)(i) Set  $\mathcal{G} = f[[\mathcal{F}]]$  and  $B = f[F] \in \mathcal{G}$ . Then there is a  $C \subseteq B$  such that  $C \in \mathcal{G}$  and  $\#(B \setminus C) \geq \#(C)$ .

**P** As  $\mathcal{G}$  is free,  $B$  is infinite. So we have a set  $Z$  and a function  $g : Y \rightarrow Z$  such that  $\#(B \cap g^{-1}[\{z\}]) = 2$  for every  $z \in Z$ . By (a),  $\mathcal{G}$  is dependently selective; by (b), there is a  $G \in \mathcal{G}$  such that  $g \upharpoonright G$  is injective. Now  $C = B \cap G$  belongs to  $\mathcal{G}$  and  $g[B \setminus C] \supseteq g[C]$ , so  $\#(B \setminus C) \geq \#(C)$ . **Q**

(ii) Now  $F' = F \cap f^{-1}[C]$  belongs to  $\mathcal{F}$ , and  $f[F'] = C$ ,  $\#(X \setminus F') \geq \#(F')$ ,  $\#(Y \setminus C) \geq \#(C)$ . Consequently

$$\#(X \setminus F') = \#(X) = \#(Y) = \#(Y \setminus C),$$

and there is a bijection  $h : X \rightarrow Y$  extending  $f \upharpoonright F'$ ; in which case  $h[[\mathcal{F}]] = \mathcal{G}$  and  $\mathcal{F} \cong \mathcal{G}$ .

**1C Proposition** Let  $\mathcal{F}$  be a filter on a set  $X$ , and  $A$  a subset of  $X$  such that  $X \setminus A \notin \mathcal{F}$ .

(a) If  $\mathcal{F}$  is dependently selective, then  $\mathcal{F}[A$  is dependently selective.

(b) If  $A \in \mathcal{F}$  and  $\mathcal{F}[A$  is dependently selective, then  $\mathcal{F}$  is dependently selective.

**proof (a)** Let  $\mathcal{S} \subseteq [A]^{<\omega}$  be such that  $\emptyset \in \mathcal{S}$  and  $\{x : K \cup \{x\} \in \mathcal{S}\} \in \mathcal{F}[A]$  for every  $K \in \mathcal{S}$ . Set

$$\mathcal{S}' = \{K : K \in [X]^{<\omega}, K \cap A \in \mathcal{S}\}.$$

Then  $\emptyset \in \mathcal{S}'$  and for  $K \in \mathcal{S}'$

$$\{x : K \cup \{x\} \in \mathcal{S}'\} = (X \setminus A) \cup \{x : (K \cap A) \cup \{x\} \in \mathcal{S}\} \in \mathcal{F}.$$

So there is an  $F \in \mathcal{F}$  such that  $[F]^{<\omega} \subseteq \mathcal{S}'$ , and now  $F \cap A \in \mathcal{F}[A]$  and  $[F \cap A]^{<\omega} \subseteq \mathcal{S}$ . As  $\mathcal{S}$  is arbitrary,  $\mathcal{F}[A]$  is dependently selective.

**(b)** Let  $\mathcal{S} \subseteq [X]^{<\omega}$  be such that  $\emptyset \in \mathcal{S}$  and  $\{x : K \cup \{x\} \in \mathcal{S}\} \in \mathcal{F}$  for every  $K \in \mathcal{S}$ . Set

$$\mathcal{S}' = \{K \cap A : K \in \mathcal{S}\}.$$

Then  $\emptyset \in \mathcal{S}'$  and for  $K \in \mathcal{S}'$

$$\{x : K \cup \{x\} \in \mathcal{S}'\} = A \cap \{x : K \cup \{x\} \in \mathcal{S}\} \in \mathcal{F}[A].$$

So there is an  $F \in \mathcal{F}[A]$  such that  $[F]^{<\omega} \subseteq \mathcal{S}'$ , and now  $F \in \mathcal{F}$  and  $[F]^{<\omega} \subseteq \mathcal{S}$ . As  $\mathcal{S}$  is arbitrary,  $\mathcal{F}[A]$  is dependently selective.

**1D Proposition** Let  $X$  be a set and  $\mathcal{F}$  a dependently selective filter on  $X$ .

(a)  $\mathcal{F}$  is a rapid  $p$ -point filter in the sense that for every sequence  $\langle F_n \rangle_{n \in \mathbb{N}}$  in  $\mathcal{F}$  there is a  $F \in \mathcal{F}$  such that  $\#(F \setminus F_n) \leq n$  for every  $n \in \mathbb{N}$ .

(b) If  $\mathcal{A} \subseteq \mathcal{F}$  there is an  $F \in \mathcal{F}$  such that  $\#(F \setminus A) < \#(\mathcal{A})$  for every  $A \in \mathcal{A}$ .

**proof (a)** Let  $\mathcal{S}$  be

$$\{K : K \in [X]^{<\omega}, \#(K \setminus F_n) \leq n \text{ for every } n \in \mathbb{N}\}.$$

Of course  $\emptyset \in \mathcal{S}$ . If  $K \in \mathcal{S}$ , then

$$\{x : K \cup \{x\} \in \mathcal{S}\} \supseteq \bigcap_{n \leq \#(K)} F_n \in \mathcal{F},$$

so there is a  $F \in \mathcal{F}$  such that  $[F]^{<\omega} \subseteq \mathcal{S}$  and  $\#(F \setminus F_n) \leq n$  for every  $n$ .

**(b)** If  $\mathcal{A}$  is finite we can take  $F = X \cap \bigcap \mathcal{A}$ . Otherwise, enumerate  $\mathcal{A}$  as  $\langle F_\xi \rangle_{\xi < \kappa}$ . Set  $L = \bigcap \mathcal{A}$ , and for  $x \in X \setminus L$  set  $f(x) = \min\{\xi : x \notin F_\xi\}$ . Let  $\mathcal{S}$  be the family of finite sets  $K \subseteq X$  such that  $f \upharpoonright K \setminus L$  is injective. Of course  $\emptyset \in \mathcal{S}$ . If  $K \in \mathcal{S}$  then

$$\{x : K \cup \{x\} \in \mathcal{S}\} \supseteq X \cap \bigcap_{y \in K \setminus L} F_{f(y)}$$

belongs to  $\mathcal{F}$ . So there is a  $F \in \mathcal{F}$  such that  $[F]^{<\omega} \subseteq \mathcal{S}$ . Suppose that  $\xi < \kappa$  and consider  $C = F \setminus F_\xi$ . If  $x, y \in C$  then  $f(x) \neq f(y)$ , and both  $f(x)$  and  $f(y)$  are at most  $\xi$ ; so  $\#(C) \leq \#(\xi + 1) < \kappa$ , as required.

**1E Proposition** Let  $X$  be a set and  $\mathcal{F}$  a dependently selective filter on  $X$ . Set  $\kappa = \min\{\#(A) : A \subseteq X, X \setminus A \notin \mathcal{F}\}$ .

(a)  $\mathcal{F}$  is  $\kappa$ -complete.

(b)  $\kappa$  is either 1 or a regular infinite cardinal.

**proof (a)** If  $\kappa \leq \omega$  this is trivial. Otherwise, if  $\mathcal{A} \in [\mathcal{F}]^{<\kappa}$ , then by 1Db there is an  $F \in \mathcal{F}$  such that  $\#(F \setminus A) < \#(\mathcal{A})$  for every  $A \in \mathcal{A}$ . So  $B = \bigcup_{F \in \mathcal{F}} F \setminus A$  has cardinal at most  $\max(\omega, \#(\mathcal{A})) < \kappa$ , and  $X \setminus B$  and  $F \setminus B$  belong to  $\mathcal{F}$ . But  $F \setminus B \subseteq \bigcap \mathcal{A}$ , so  $X \cap \bigcap \mathcal{A} \in \mathcal{F}$ .

**(b)** Of course  $\kappa \neq 0$ . If  $\kappa > 1$ , then  $X \setminus \{x\} \in \mathcal{F}$  for every  $x \in X$ , so  $\kappa \geq \omega$ . If  $\kappa = \omega$  it is certainly regular, so suppose that  $\kappa > \omega$ . Let  $A \in [X]^\kappa$  be such that  $X \setminus A \notin \mathcal{F}$ , and enumerate  $A$  as  $\langle x_\xi \rangle_{\xi < \kappa}$ . If  $C \subseteq \kappa$  is cofinal with  $\kappa$ , then for  $\zeta \in C$  set  $F_\zeta = X \setminus \{x_\xi : \xi \leq \zeta\}$ ; then  $F_\zeta \in \mathcal{F}$  for every  $\zeta \in C$ , while  $\bigcap_{\zeta \in C} F_\zeta = X \setminus A$  does not belong to  $\mathcal{F}$ . By (a),  $\#(C) \geq \kappa$ . As  $C$  is arbitrary,  $\kappa$  is regular.

**Remark** If we take  $\mathcal{I}$  to be the dual ideal  $\{A : A \subseteq X, X \setminus A \in \mathcal{F}\}$ , then  $\kappa$  is the uniformity  $\text{non}\mathcal{I}$  of  $\mathcal{I}$  (FREMLIN 08, 511F), and (b) can be restated as ‘ $\text{add}\mathcal{I} \geq \text{non}\mathcal{I}$ ’, where  $\text{add}\mathcal{I}$  is the additivity of  $\mathcal{I}$  (FREMLIN 08, 511B). If  $\mathcal{F}$  is free (that is, contains all cofinite subsets of  $X$ ) then we must have equality.

**1F Proposition** Let  $\mathcal{F}$  and  $\mathcal{G}$  be dependently selective filters on a set  $X$  such that  $F \cap G$  is non-empty for all  $F \in \mathcal{F}$  and  $G \in \mathcal{G}$ , and let  $\mathcal{F} \vee \mathcal{G}$  be the filter on  $X$  generated by  $\mathcal{F} \cup \mathcal{G}$ . Then  $\mathcal{F} \vee \mathcal{G}$  is dependently selective.

**proof (a)** To begin with, suppose that  $\mathcal{F}$  and  $\mathcal{G}$  are both uniform. The case of finite  $X$  is trivial, so we may suppose that  $X = \kappa$  is an infinite cardinal; by 1E,  $\kappa$  is regular and both  $\mathcal{F}$  and  $\mathcal{G}$  are  $\kappa$ -additive.

Let  $\mathcal{S} \subseteq [\kappa]^{<\omega}$  be such that  $\emptyset \in \mathcal{S}$  and  $\{\xi : K \cup \{\xi\} \in \mathcal{S}\} \in \mathcal{F} \vee \mathcal{G}$  for every  $K \in \mathcal{S}$ . For  $K \in \mathcal{S}$  let  $F_K \in \mathcal{F}$ ,  $G_K \in \mathcal{G}$  be such that  $K \cup \{x\} \in \mathcal{S}$  whenever  $x \in F_K \cap G_K$ . Set

$$\mathcal{S}' = \{K : K \in [\kappa]^{<\omega}, \xi \in F_L \text{ whenever } \xi \in K \text{ and } L \subseteq K \cap \xi \text{ belongs to } \mathcal{S}\}.$$

Then  $\emptyset \in \mathcal{S}'$ . If  $K \in \mathcal{S}'$  then

$$\{\xi : K \cup \{\xi\} \in \mathcal{S}'\} \supseteq \{\xi : K \subseteq \xi < \kappa, \xi \in F_L \text{ whenever } L \subseteq K \text{ belongs to } \mathcal{S}\}$$

belongs to  $\mathcal{F}$ . (This is where we need to know that  $\mathcal{F}$  is uniform.) So there is an  $F \in \mathcal{F}$  such that  $[F]^{<\omega} \subseteq \mathcal{S}'$ . Similarly, setting

$$\mathcal{S}'' = \{K : K \in [\kappa]^{<\omega}, \xi \in G_L \text{ whenever } \xi \in K \text{ and } L \subseteq K \cap \xi \text{ belongs to } \mathcal{S}\},$$

there is a  $G \in \mathcal{G}$  such that  $[G]^{<\omega} \subseteq \mathcal{S}''$ . Set  $H = F \cap G \in \mathcal{F} \vee \mathcal{G}$ ; then  $[H]^n \subseteq \mathcal{S}$  for every  $n \in \mathbb{N}$ . **P** Induce on  $n$ . The case  $n = 0$  is trivial. For the inductive step to  $n + 1$ , take  $K \in [H]^{n+1}$  and set  $\xi = \max K$ ,  $L = K \setminus \{\xi\}$ . By the inductive hypothesis,  $L \in \mathcal{S}$ . As  $K \subseteq F$ ,  $K \in \mathcal{S}'$  and  $\xi \in F_L$ ; similarly,  $\xi \in G_L$ , so  $K = L \cup \{\xi\} \in \mathcal{S}$  by the choice of  $F_L$  and  $G_L$ . Thus the induction proceeds. **Q**

So  $[H]^{<\omega} \subseteq \mathcal{S}$ ; as  $\mathcal{S}$  is arbitrary,  $\mathcal{F} \vee \mathcal{G}$  is dependently selective.

**(b)** For the general case, let  $A \in \mathcal{F} \vee \mathcal{G}$  be a set of minimal cardinality. Then  $(\mathcal{F} \vee \mathcal{G}) \upharpoonright A = (\mathcal{F} \upharpoonright A) \vee (\mathcal{G} \upharpoonright A)$ , so  $\mathcal{F} \upharpoonright A$  and  $\mathcal{G} \upharpoonright A$  are both uniform; by 1Ca, they are dependently selective. So (a) tells us that  $(\mathcal{F} \vee \mathcal{G}) \upharpoonright A$  is dependently selective, and now 1Cb tells us that  $\mathcal{F} \vee \mathcal{G}$  itself is dependently selective.

**1G Proposition (a)** Let  $\kappa$  be a regular uncountable cardinal and  $\mathcal{F}$  a normal filter on  $\kappa$  (definition: FREMLIN 03, 4A1Ic). Then  $\mathcal{F}$  is dependently selective.

**(b)** If  $\kappa$  is any cardinal of uncountable cofinality, the filter generated by the closed cofinal subsets of  $\kappa$  is dependently selective.

**proof (a)** Let  $\mathcal{S} \subseteq [\kappa]^{<\omega}$  be such that  $\emptyset \in \mathcal{S}$  and  $F_K = \{\xi : K \cup \{\xi\} \in \mathcal{S}\} \in \mathcal{F}$  for every  $K \in \mathcal{S}$ . For each  $\xi < \kappa$ , set  $F'_\xi = \bigcap \{F_K : K \in \mathcal{S} \cap [\xi + 1]^{<\omega}\}$ ; because  $\mathcal{F}$  is  $\kappa$ -complete (FREMLIN 03, 4A1J),  $F'_\xi \in \mathcal{F}$ . Let  $F$  be the diagonal intersection of  $\langle F'_\xi \rangle_{\xi < \kappa}$ . Because  $\mathcal{F}$  is normal,  $F$  and  $F \cap F_\emptyset$  belong to  $\mathcal{F}$ . Now  $[F \cap F_\emptyset]^n \subseteq \mathcal{S}$  for every  $n \in \mathbb{N}$ . **P** Induce on  $n$ . The case  $n = 0$  is trivial, and  $\{\xi\} \in \mathcal{S}$  for every  $\xi \in F_\emptyset$ , which deals with the case  $n = 1$ . For the inductive step to  $n + 1 \geq 2$ , take  $K \in [F]^{n+2}$  and set  $\eta = \max K$ ,  $J = K \setminus \{\eta\}$  and  $\xi = \max J$ . By the inductive hypothesis,  $J \in \mathcal{S}$ , so  $F'_\xi \subseteq F_J$ ; since  $\eta \in F$  and  $\eta > \xi$ ,  $\eta \in F'_\xi$  and  $K = J \cup \{\eta\}$  belongs to  $\mathcal{S}$ . Thus the induction proceeds. **Q** At the end of the induction, we have  $[F \cap F_\emptyset]^{<\omega} \subseteq \mathcal{S}$ ; as  $\mathcal{S}$  is arbitrary,  $\mathcal{F}$  is dependently selective.

**(b)** Set  $\lambda = \text{cf } \kappa$ . Then we have an order-continuous strictly increasing function  $f : \lambda \rightarrow \kappa$  such that  $f[\lambda]$  is cofinal with  $\kappa$ . The filter  $\mathcal{F}$  on  $\lambda$  generated by the closed cofinal subsets of  $\lambda$  is normal (FREMLIN 03, 4A1B(c-ii)), so is dependently selective, by (a); by 1Ba,  $f[[\mathcal{F}]]$  is a dependently selective filter on  $\kappa$ ; but  $f[[\mathcal{F}]]$  is the filter generated by the closed cofinal subsets of  $\kappa$ .

**1H Proposition** Suppose that  $\mathfrak{m}_{\text{countable}} = \mathfrak{c}$ . Let  $\mathcal{A}$  be a family of fewer than  $\mathfrak{c}$  infinite subsets of  $\mathbb{N}$ . Then there is a free dependently selective filter  $\mathcal{F}$  on  $\mathbb{N}$  such that  $\mathbb{N} \setminus A \notin \mathcal{F}$  for every  $A \in \mathcal{A}$ .

**Remark** For the definition and basic properties of  $\mathfrak{m}_{\text{countable}}$ , see FREMLIN 08, 517O-517Q and 522R.

**proof** Enumerate  $\mathcal{P}([\mathbb{N}]^{<\omega})$  as  $\langle \mathcal{S}_\xi \rangle_{\xi < \mathfrak{c}}$ . For  $\xi < \mathfrak{c}$  and  $K \in [\mathbb{N}]^{<\omega}$  set  $C_\xi(K) = \{n : K \cup \{n\} \in \mathcal{S}_\xi\}$ . Choose a non-decreasing family  $\langle \mathcal{E}_\xi \rangle_{\xi < \mathfrak{c}}$  of filter bases inductively, as follows.  $\mathcal{E}_0 = \{\mathbb{N} \setminus n : n \in \mathbb{N}\}$ . Given that  $\#(\mathcal{E}_\xi) \leq \max(\omega, \#(\xi))$  and that  $E \cap A$  is non-empty for every  $E \in \mathcal{E}_\xi$  and  $A \in \mathcal{A}$ , consider  $\mathcal{S}_\xi$ . If either  $\emptyset \notin \mathcal{S}_\xi$  or there are  $E \in \mathcal{E}_\xi$ ,  $A \in \mathcal{A}$  and a finite family  $\mathcal{K} \subseteq \mathcal{S}_\xi$  such that  $\bigcap_{K \in \mathcal{K}} C_\xi(K) \cap E \cap A = \emptyset$ , set  $\mathcal{E}_{\xi+1} = \mathcal{E}_\xi$  and continue. Otherwise, set  $\mathcal{S}'_\xi = \{K : \mathcal{P}K \subseteq \mathcal{S}_\xi\}$ ; then  $\emptyset \in \mathcal{S}'_\xi$  and

$$\{K : K \in \mathcal{S}'_\xi, K \cap E \cap A \neq \emptyset\}$$

is cofinal with  $\mathcal{S}'_\xi$  for every  $E \in \mathcal{E}_\xi$  and  $A \in \mathcal{A}$ . Because  $\#(\mathcal{E}_\xi \cup \mathcal{A}) < \mathfrak{m}_{\text{countable}}$ , there is a  $J_\xi$ , meeting  $E \cap A$  for every  $E \in \mathcal{E}_\xi$  and  $A \in \mathcal{A}$ , such that  $[J_\xi]^{<\omega} \subseteq \mathcal{S}'_\xi$ . Set

$$\mathcal{E}_{\xi+1} = \mathcal{E}_\xi \cup \{J_\xi \cap E : E \in \mathcal{E}_\xi\},$$

and continue.

At non-zero limit ordinals  $\xi \leq \mathfrak{c}$ , set  $\mathcal{E}_\xi = \bigcup_{\eta < \xi} \mathcal{E}_\eta$ .

At the end of the induction, let  $\mathcal{F}$  be the filter generated by  $\mathcal{E}_\mathfrak{c}$ . If  $A \in \mathcal{A}$ , then  $F \cap A \neq \emptyset$  for every  $F \in \mathcal{F}$ , so  $\mathbb{N} \setminus A \notin \mathcal{F}$ . If  $\mathcal{S} \subseteq [\mathbb{N}]^{<\omega}$  is such that  $\emptyset \in \mathcal{S}$  and  $\{n : K \cup \{n\} \in \mathcal{F}\}$  for every  $K \in \mathcal{S}$ , let  $\xi < \mathfrak{c}$  be such that  $\mathcal{S} = \mathcal{S}_\xi$ . Then  $\emptyset \in \mathcal{S}_\xi$  and if  $\mathcal{K} \subseteq \mathcal{S}_\xi$  is finite,  $\mathbb{N} \cap \bigcap_{K \in \mathcal{K}} C_\xi(K)$  belongs to  $\mathcal{F}$  and must meet  $E \cap A$  whenever  $E \in \mathcal{E}_\xi$  and  $A \in \mathcal{A}$ . We therefore applied the second rule when determining  $\mathcal{E}_{\xi+1}$ , and  $J_\xi \in \mathcal{F}$  is such that  $[J_\xi]^{<\omega} \subseteq \mathcal{S}'_\xi \subseteq \mathcal{S}$ . As  $\mathcal{S}$  is arbitrary,  $\mathcal{F}$  is dependently selective.

**Remark** In terms of the dual ideal  $\mathcal{I}$  of  $\mathcal{F}$ ,  $\mathcal{A} \cap \mathcal{I} = \emptyset$ . So if, for instance,  $\mathcal{A}$  is almost disjoint, or we could otherwise arrange that  $A \cap B \in \mathcal{E}_0$  for all distinct  $A, B \in \mathcal{A}$ , we get  $\text{sat}(\mathcal{P}\mathbb{N}/\mathcal{I}) > \#(\mathcal{A})$ , and in particular,  $\mathcal{I}$  need not be  $\omega_1$ -saturated, at least if  $\mathfrak{m}_{\text{countable}} = \mathfrak{c}$ .

Conceivably things are different in random real models. See Problem 3A.

## 2 Ramsey ultrafilters

**2A Definition** If  $X$  is an infinite set, a filter  $\mathcal{F}$  on  $X$  is **Ramsey** if it is uniform and for every  $S \subseteq [X]^2$  there is a  $F \in \mathcal{F}$  such that either  $[F]^2 \subseteq S$  or  $[F]^2 \cap S = \emptyset$ .

**2B Theorem** (see COMFORT & NEGREPONTIS 74, Theorem 9.6) Let  $\mathcal{F}$  be a uniform Ramsey ultrafilter on an infinite cardinal  $\kappa$ .

(a)  $\mathcal{F}$  is  $\kappa$ -complete.

(b) If  $\kappa$  is uncountable, then it is two-valued-measurable and there is a bijection  $f : \kappa \rightarrow \kappa$  such that  $f[[\mathcal{F}]]$  is a normal ultrafilter.

(c) If  $\kappa$  is uncountable, then for every  $S \subseteq [\kappa]^{<\omega}$  there is an  $X \in \mathcal{F}$  such that for each  $n \in \mathbb{N}$  either  $[X]^n \subseteq S$  or  $[X]^n \cap S = \emptyset$ .

**proof (a) ?** Otherwise, let  $\lambda < \kappa$  be the least cardinal such that there is a non-empty family  $\mathcal{E} \in [\mathcal{F}]^{\leq \lambda}$  such that  $\bigcap \mathcal{E} \notin \mathcal{F}$ . Then there is a non-increasing family  $\langle F_\alpha \rangle_{\alpha < \lambda}$  in  $\mathcal{F}$  such that  $L = \bigcap_{\alpha < \lambda} F_\alpha \notin \mathcal{F}$  and  $F_\alpha = \bigcap_{\beta < \alpha} F_\beta$  if  $\alpha < \lambda$  is a non-zero limit ordinal.

Set

$$S = \bigcup_{\alpha < \lambda} \{\{\xi, \eta\} : \xi \in \kappa \setminus F_\alpha, \eta \in F_\alpha, \xi < \eta\} \subseteq [\kappa]^2.$$

Then there is an  $F \in \mathcal{F}$  such that either  $[F]^2 \subseteq S$  or  $[F]^2 \cap S = \emptyset$ .

In fact  $[F]^2 \subseteq S$ . **P** Take any  $\xi \in F \setminus L$ . Then there is an  $\alpha < \lambda$  such that  $\xi \notin F_\alpha$ . Now  $F \cap F_\alpha$  belongs to  $\mathcal{F}$ , so has cardinal  $\kappa$ , and there must be an  $\eta \in F \cap F_\alpha$  such that  $\xi < \eta$ ; in which case  $\{\xi, \eta\} \in [F]^2 \cap S$ . Thus  $[F]^2 \cap S \neq \emptyset$  and  $[F]^2 \subseteq S$ . **Q**

Since  $\#(F \setminus L) = \kappa > \lambda$ , there must be a  $\beta < \kappa$  such that  $F \cap F_\beta \setminus F_{\beta+1}$  has more than one member. Suppose that  $\xi, \eta \in F \cap F_\beta \setminus F_{\beta+1}$  and  $\xi < \eta$ . Then there is an  $\alpha < \lambda$  such that  $\xi \notin F_\alpha$  (so  $\alpha > \beta$ ) and  $\eta \in F_\alpha$  (so  $\alpha \leq \beta$ ); which is absurd. **X**

(b) Part (a) tells us immediately that  $\kappa$  is regular. By FREMLIN 08, 541F, there are a set  $Y \subseteq \kappa$  and a function  $g : Y \rightarrow \kappa$  such that  $\{B : B \subseteq \kappa, g^{-1}[B] \notin \mathcal{F}\}$  is a normal principal ideal of  $\mathcal{P}\kappa$ . Of course it follows that  $Y \in \mathcal{F}$  and that  $\mathcal{G} = \{B : B \subseteq \kappa, g^{-1}[B] \in \mathcal{F}\}$  is a normal ultrafilter on  $\kappa$ . Extending  $g$  to the whole of  $\kappa$  by setting  $g(\xi) = 0$  for  $\xi \in \kappa \setminus Y$ , we have  $g : \kappa \rightarrow \kappa$  such that  $\mathcal{G} = g[[\mathcal{F}]]$ .

Consider the set

$$S = \{\{\xi, \eta\} : \xi < \eta < \kappa, g(\xi) = g(\eta)\}.$$

If  $F \in \mathcal{F}$  then  $g[F] \in \mathcal{G}$  has cardinal  $\kappa$ , so  $[F]^2 \not\subseteq S$ ; it follows that there is an  $F \in \mathcal{F}$  such that  $[F]^2 \cap S = \emptyset$ , that is,  $g \upharpoonright F$  is injective. Next, there is certainly a partition of  $F$  into two sets of cardinal  $\kappa$ , just one of which belongs to  $\kappa$ ; so we can suppose that both  $\kappa \setminus F$  and  $\kappa \setminus g[F]$  have cardinal  $\kappa$ . In this case, there is an extension of  $g \upharpoonright F$  to a bijection  $f : \kappa \rightarrow \kappa$ , and  $f[[\mathcal{F}]] = \mathcal{G}$  is a normal ultrafilter on  $\kappa$ .

(c) This is true for normal ultrafilters by Rowbottom's theorem (FREMLIN 03, 4A1L); by (b), it is true for Ramsey ultrafilters.

**2C Proposition** If  $X$  is an infinite set, an ultrafilter on  $X$  is Ramsey iff it is uniform and dependently selective.

**proof** It is enough to consider the case in which  $X = \kappa$  is a cardinal.

(a)(i) If  $\mathcal{F}$  is a Ramsey ultrafilter on  $\kappa$ , then it is uniform (by definition) and  $\kappa$ -complete, by 2Ba. It follows that if  $\langle F_\xi \rangle_{\xi < \kappa}$  is any family in  $\mathcal{F}$ , there is an  $F \in \mathcal{F}$  such that  $F \setminus F_\xi \subseteq \xi + 1$  for every  $\xi \in F$ . **P** Set

$$S = \{\{\xi, \eta\} : \xi < \eta < \kappa, \eta \in F_\xi\}.$$

If  $F \in \mathcal{F}$  and  $\xi \in F$ , then  $F \cap F_\xi \setminus (\xi + 1)$  belongs to  $\mathcal{F}$ , so there is an  $\eta \in F \cap F_\xi$  such that  $\eta > \xi$  and  $\{\xi, \eta\} \in S$ . Thus  $[F]^2 \cap S \neq \emptyset$  for every  $F \in \mathcal{F}$ ; because  $\mathcal{F}$  is a Ramsey ultrafilter, there is  $F \in \mathcal{F}$  such that  $[F]^2 \subseteq S$ . Now  $F \setminus F_\xi \subseteq \xi + 1$  for every  $\xi \in F$ . **Q**

(ii) Now suppose that  $\mathcal{S} \subseteq [\kappa]^{<\omega}$  is such that  $\emptyset \in \mathcal{S}$  and  $\{\xi : K \cup \{\xi\} \in \mathcal{S}\} \in \mathcal{F}$  for every  $K \in \mathcal{S}$ . For  $\xi < \kappa$  set

$$F_\xi = \{\eta : K \cup \{\eta\} \in \mathcal{S} \text{ whenever } K \in [\xi + 1]^{<\omega} \text{ and } K \in \mathcal{S}\}.$$

Then  $F_\xi$  is the intersection of fewer than  $\kappa$  members of  $\mathcal{F}$  and belongs to  $\mathcal{F}$ . By (i), there is a  $F \in \mathcal{F}$  such that  $F \setminus F_\xi \subseteq \xi + 1$  for every  $\xi \in F$ ; and we can suppose that  $F \subseteq F_0$ . Now  $K \in \mathcal{S}$  whenever  $n \in \mathbb{N}$  and  $K \in [F]^n$ . **P** Induce on  $n$ . If  $n = 0$  we just have to recall that  $\emptyset \in \mathcal{S}$ . If  $n = 1$ , then  $K = \{\eta\}$  for some  $\eta \in F_0$ , so  $\{\eta\} \in \mathcal{S}$ . For the inductive step to  $n \geq 2$ , set  $\eta = \max K$ ,  $K' = K \setminus \{\eta\}$  and  $\xi = \max K'$ . Because  $\xi, \eta \in F$  and  $\xi < \eta$ ,  $\eta \in F_\xi$ ;  $K' \subseteq \xi + 1$  and  $K' \in \mathcal{S}$ , by the inductive hypothesis; so  $K = K' \cup \{\eta\} \in \mathcal{S}$  and the induction proceeds. **Q**

So  $[F]^{<\omega} \subseteq \mathcal{S}$ . As  $\mathcal{S}$  is arbitrary,  $\mathcal{F}$  is dependently selective.

(b) If  $\mathcal{F}$  is a uniform dependently selective ultrafilter on  $\kappa$ , take any  $S \subseteq [\kappa]^2$ . For  $\xi < \kappa$  set  $A_\xi = \{\eta : \{\xi, \eta\} \in S\}$ . Let  $\mathcal{S}$  be the family of finite subsets  $K$  of  $\kappa$  such that for all  $\xi, \eta \in K$  such that  $\xi < \eta$ ,  $\{\xi, \eta\} \in S$  iff  $A_\xi \in \mathcal{F}$ . If  $K \in \mathcal{S}$ , then (because  $\mathcal{F}$  is an ultrafilter) there is a  $F \in \mathcal{F}$  such that, for every  $\xi \in K$ ,  $F$  is either included in  $A_\xi$  or disjoint from  $A_\xi$ . Now  $K \cup \{\eta\} \in \mathcal{S}$  whenever  $\eta \in F$  and  $\eta > \xi$  for every  $\xi \in K$ . So  $\mathcal{S}$  satisfies the condition of 1A. Let  $F \in \mathcal{F}$  be such that  $[F]^{<\omega} \subseteq \mathcal{S}$ . In this case, if  $\xi, \eta \in F$  and  $\xi < \eta$ ,  $\{\xi, \eta\} \in S$  iff  $A_\xi \in \mathcal{F}$ . Now

$$F_1 = \{\xi : \xi \in F, A_\xi \in \mathcal{F}\}, \quad F_0 = \{\xi : \xi \in F, A_\xi \notin \mathcal{F}\}$$

have union  $F$  and one of them must belong to  $\mathcal{F}$ ; while  $[F_0]^2 \cap S = \emptyset$  and  $[F_1]^2 \subseteq S$ . As  $S$  is arbitrary,  $\mathcal{F}$  is a Ramsey ultrafilter.

**2D Lemma** (a) Let  $X$  be an infinite set,  $\mathcal{F}$  a Ramsey ultrafilter on  $X$ , and  $\mathcal{A} \subseteq \mathcal{F}$  a set of size at most  $\#(X)$ . Then there is a  $C \in \mathcal{F}$  such that  $\#(C \setminus A) < \#(X)$  for every  $A \in \mathcal{A}$ .

(b) Let  $\kappa$  be an infinite cardinal,  $\lambda \leq \kappa$  another cardinal, and  $\langle \mathcal{F}_\alpha \rangle_{\alpha < \lambda}$  a family of distinct Ramsey ultrafilters on  $\kappa$ . Then there is a disjoint family  $\langle A_\alpha \rangle_{\alpha < \lambda}$  of subsets of  $\kappa$  such that  $A_\alpha \in \mathcal{F}_\alpha$  for every  $\alpha < \lambda$ .

**proof** (a) Set  $A^* = \kappa \cap \bigcap \mathcal{A}$ . If  $A^* \in \mathcal{F}$ , we can set  $C = A^*$  and stop. Otherwise, enumerate  $\mathcal{A}$  as  $\langle A_\alpha \rangle_{\alpha < \lambda}$ . For  $i \in X$ , set  $f(i) = \min\{\alpha : \alpha < \lambda, i \notin A_\alpha \setminus A^*\}$ . Then there is a  $C \in \mathcal{F}$  such that  $f \upharpoonright C$  is either constant or injective (COMFORT & NEGREPONTIS 74, 9.6). The former is impossible, because  $\{i : f(i) = \alpha\}$  never belongs to  $\mathcal{F}$ . So  $f \upharpoonright C$  is injective and  $C \setminus A_\alpha = \{i : i \in C, f(i) \leq \alpha\}$  has cardinal less than  $\kappa$  for every  $\alpha < \lambda$ .

(b) For  $\alpha < \beta < \lambda$ , take  $A_{\alpha\beta} \in \mathcal{F}_\beta \setminus \mathcal{F}_\alpha$ . For each  $\alpha < \kappa$ , there is a  $B_\alpha \in \mathcal{F}_\alpha$  such that  $\#(B_\alpha \cap A_{\alpha\beta}) < \kappa$  for every  $\beta > \alpha$  (apply (a) to  $\{X \setminus A_{\alpha\beta} : \alpha < \beta < \lambda\} \subseteq \mathcal{F}_\alpha$ ). Set

$$A_\beta = B_\beta \setminus \bigcup_{\alpha < \beta} B_\alpha$$

for  $\beta < \lambda$ . Of course  $\langle A_\beta \rangle_{\beta < \lambda}$  is disjoint. On the other hand, for each  $\beta < \lambda$ ,  $A'_\beta = B_\beta \cap \bigcap_{\alpha < \beta} A_{\alpha\beta}$  belongs to  $\mathcal{F}$  because  $\mathcal{F}$  is  $\kappa$ -complete; and  $A_\beta \setminus A'_\beta \subseteq \bigcup_{\alpha < \beta} A_{\alpha\beta} \cap B_\alpha$  has cardinal less than  $\kappa$ , so  $A_\beta$  also belongs to  $\mathcal{F}$ .

**2E Proposition** Let  $X$  be an infinite set, and  $\mathfrak{F}$  a non-empty family of non-isomorphic Ramsey ultrafilters on  $X$  with  $\#(\mathfrak{F}) \leq \#(X)$ . Then  $\mathcal{H} = \bigcap \mathfrak{F}$  is a dependently selective filter on  $X$ .

**proof (a)** It is enough to consider the case in which  $X = \kappa$  is a cardinal. Let  $\langle \mathcal{F}_\alpha \rangle_{\alpha < \lambda}$  be an enumeration of  $\mathfrak{F}$ .

(b) If  $\langle A_\alpha \rangle_{\alpha < \lambda}$  is such that  $A_\alpha \in \mathcal{F}_\alpha$  for  $\alpha < \lambda$ , then there is a family  $\langle D_\alpha \rangle_{\alpha < \lambda}$  such that  $D_\alpha \in \mathcal{F}_\alpha$  and  $D_\alpha \subseteq A_\alpha$  for every  $\alpha < \lambda$ , and whenever  $\xi < \eta < \kappa$ ,  $\alpha, \beta < \lambda$  are such that  $\xi \in D_\alpha$  and  $\eta \in D_\beta$ , there is a  $\zeta \in A_\beta$  such that  $\xi \leq \zeta < \eta$ . **P** By 2Db, we may suppose that  $\langle A_\alpha \rangle_{\alpha < \lambda}$  is disjoint. For any  $\zeta < \kappa$ ,  $\{\alpha : \alpha < \lambda, A_\alpha \cap \zeta \neq \emptyset\}$  has cardinal less than  $\kappa$ ; so there is a closed cofinal set  $F \subseteq \kappa$ , containing 0, such that  $A_\alpha \cap \zeta' \setminus \zeta \neq \emptyset$  whenever  $\zeta < \zeta'$  in  $F$ ,  $\alpha < \lambda$  and  $A_\alpha \cap \zeta \neq \emptyset$ . Set  $f(\xi) = \max\{\zeta : \zeta \in F, \zeta \leq \xi\}$  for  $\xi < \kappa$ . Then  $\langle f[[\mathcal{F}_\alpha]] \rangle_{\alpha < \lambda}$  is a family of  $\kappa$ -complete uniform ultrafilters on  $F$ , so there must be a cofinal set  $V \subseteq F$  not belonging to any of them. (We can easily build inductively a family  $\langle V_\xi \rangle_{\xi < \kappa^+}$  of cofinal subsets of  $F$  such that  $\#(V_\xi \cap V_\eta) < \kappa$  whenever  $\xi < \eta < \kappa^+$ , and now each  $f[[\mathcal{F}_\alpha]]$  can contain  $V_\xi$  for at most one  $\xi$ , so there is a  $\xi$  left over for which we can set  $V = V_\xi$ .) Set  $M = f^{-1}[V]$ ; then  $A_\alpha \setminus M \in \mathcal{F}_\alpha$  for each  $\alpha$ .

Define  $g : \kappa \rightarrow \kappa$  by setting  $g(\xi) = \min\{\zeta : \xi \leq \zeta \in V\}$  for  $\xi < \kappa$ . By 1Bc, or otherwise,  $g[[\mathcal{F}_\alpha]]$  is isomorphic to  $\mathcal{F}_\alpha$ , and is surely a Ramsey ultrafilter. Because the  $\mathcal{F}_\alpha$  are non-isomorphic, all the  $g[[\mathcal{F}_\alpha]]$  are different. By 2Db again, there is a disjoint family  $\langle G_\alpha \rangle_{\alpha < \lambda}$  of sets such that  $G_\alpha \in g[[\mathcal{F}_\alpha]]$  for every  $\alpha$ .

Set

$$C_\alpha = A_\alpha \cap B_\alpha \cap g^{-1}[G_\alpha] \setminus M, \quad D_\alpha = C_\alpha \setminus \{\min C_\alpha\} \in \mathcal{F}_\alpha$$

for each  $\alpha < \lambda$ . Suppose that  $\xi \in D_\alpha$ ,  $\eta \in D_\beta$  and  $\xi < \eta$ . Then  $g(\xi) < g(\eta)$ . **P** If  $\alpha = \beta$ , this is because  $g \upharpoonright B_\alpha$  is injective; otherwise, it is because  $G_\alpha \cap G_\beta$  is empty. **Q** Let  $\eta_0$  be the least member of  $C_\beta$ . We have  $\eta_0 < \eta$ . If  $\xi \leq \eta_0$ , then  $\eta_0$  is a member of  $A_\beta \cap \eta \setminus \xi$ . Otherwise,  $A_\beta \cap g(\xi) \neq \emptyset$ , so there is a  $\zeta \in A_\beta \cap \gamma \setminus g(\xi)$ , where  $\gamma$  is the next member of  $F$  above  $g(\xi)$ . Now  $\gamma \setminus g(\xi) = f^{-1}[\{g(\xi)\}] \subseteq M$  is disjoint from  $D_\beta$ , so  $\gamma \leq \eta$  and  $\zeta \in A_\beta \cap \eta \setminus \xi$ .

Thus  $\langle D_\alpha \rangle_{\alpha < \lambda}$  is a suitable family. **Q**

(c) Now suppose that  $\mathcal{S}$  is a family of finite subsets of  $\kappa$  such that  $\emptyset \in \mathcal{S}$  and  $\{\xi : K \cup \{\xi\} \in \mathcal{S}\} \in \mathcal{H}$  for every  $K \in \mathcal{S}$ . For each  $\alpha < \lambda$ , set

$$S = \{\{\xi, \eta\} : \xi < \eta < \kappa, K \cup \{\eta\} \in \mathcal{S} \text{ whenever } K \in \mathcal{S} \text{ and } K \subseteq \xi + 1\}.$$

Then there is an  $A_\alpha \in \mathcal{F}_\alpha$  such that  $[A_\alpha]^2$  is either included in or disjoint from  $S_\alpha$ . But taking  $\xi = \min A_\alpha$ , we see that  $\{\eta : \eta > \xi, K \cup \{\eta\} \in \mathcal{S}\}$  belongs to  $\mathcal{H} \subseteq \mathcal{F}_\alpha$  for every  $K \in \mathcal{S}$ ; because  $\mathcal{F}_\alpha$  is  $\kappa$ -complete, there must be an  $\eta \in A_\alpha$  such that  $\eta > \xi$  and  $K \cup \{\eta\} \in \mathcal{S}$  whenever  $K \in \mathcal{S}$  and  $K \subseteq \xi + 1$ , in which case  $\{\xi, \eta\} \in S$ . So we must have  $[A_\alpha]^2 \subseteq S$ . Set  $A'_\alpha = \{\xi : \xi \in A_\alpha, \{\xi\} \in \mathcal{S}\}$ ; then  $A'_\alpha \in \mathcal{F}_\alpha$  because  $\{\xi : \{\xi\} \in \mathcal{S}\} \in \mathcal{H} \subseteq \mathcal{F}_\alpha$ .

By (b), we have a family  $\langle D_\alpha \rangle_{\alpha < \lambda}$  of sets such that  $D_\alpha \in \mathcal{F}_\alpha$  and  $D_\alpha \subseteq A'_\alpha$  for every  $\alpha < \lambda$ , and whenever  $\xi < \eta < \kappa$ ,  $\alpha, \beta < \lambda$  are such that  $\xi \in D_\alpha$  and  $\eta \in D_\beta$ , there is a  $\zeta \in A'_\beta$  such that  $\xi \leq \zeta < \eta$ . Set  $A = \bigcup_{\alpha < \lambda} D_\alpha \in \mathcal{H}$ . Then  $[A]^n \subseteq \mathcal{S}$  for every  $n$ . **P** Induce on  $n$ . The case  $n = 0$  is trivial, and the case  $n = 1$  has been dealt with when defining  $A'_\alpha$ . For the inductive step to  $n + 1 \geq 2$ , suppose that  $X \in [A]^{n+1}$ . Let  $\xi < \eta$  be the two greatest points of  $X$ ; suppose that  $\eta \in D_\beta$ . Then there is a  $\zeta \in A'_\beta$  such that  $\xi \leq \zeta < \eta$ . In this case,  $K = X \setminus \{\eta\}$  belongs to  $[A]^n \subseteq \mathcal{S}$  and  $K \subseteq \zeta + 1$ . Also  $\{\zeta, \eta\} \in [A_\beta]^2 \subseteq \mathcal{S}$ , so  $X = K \cup \{\eta\} \in \mathcal{S}$ . Thus the induction continues. **Q**

So  $[A]^{<\omega} \subseteq \mathcal{S}$ . As  $\mathcal{S}$  is arbitrary,  $\mathcal{F}$  is dependently selective.

**2F Proposition** Let  $X$  be a set, and  $\mathfrak{F}$  a non-empty countable family of non-isomorphic dependently selective ultrafilters on  $X$ . Then

- (a) there is a disjoint family  $\langle A_\mathcal{F} \rangle_{\mathcal{F} \in \mathfrak{F}}$  of sets such that  $A_\mathcal{F} \in \mathcal{F}$  for every  $\mathcal{F} \in \mathfrak{F}$ ;
- (b)  $\mathcal{H} = \bigcap \mathfrak{F}$  is dependently selective.

**proof (a)** For each  $\mathcal{F} \in \mathfrak{F}$ , let  $X_\mathcal{F} \in \mathcal{F}$  be a set of minimal size. Let  $K$  be the countable set  $\{\#(X_\mathcal{F}) : \mathcal{F} \in \mathfrak{F}\}$ ; for  $\kappa \in K$ , set  $\mathfrak{F}_\kappa = \{\mathcal{F} : \mathcal{F} \in \mathfrak{F}, \#(X_\mathcal{F}) = \kappa\}$  and  $F_\kappa = \bigcup_{\mathcal{F} \in \mathfrak{F}_\kappa} X_\mathcal{F}$ , so that  $\#(F_\kappa) \leq \kappa$ . (For if  $\kappa = 1$ , any member of  $\mathfrak{F}_\kappa$  is a principal ultrafilter, and there can be at most one such.) Set  $F'_\kappa = F_\kappa \setminus \bigcup_{\lambda \in K, \lambda < \kappa} F_\lambda$  for  $\kappa \in K$ ; then  $\langle F'_\kappa \rangle_{\kappa \in K}$  is disjoint and  $F'_\kappa \in \mathcal{F}$  whenever  $\kappa \in K$  and  $\mathcal{F} \in \mathfrak{F}_\kappa$ .

For  $\mathcal{F} \in \mathfrak{F}$ , let  $\mathcal{F}' = \mathcal{F} \cap \mathcal{P}F'_\kappa$  be the trace of  $\mathcal{F}$  on  $F'_\kappa$ , where  $\kappa \in \mathbf{K}$  is such that  $\mathcal{F} \in \mathfrak{F}_\kappa$ . Then  $\mathcal{F}'$  is either a principal ultrafilter or a Ramsey ultrafilter. Moreover,  $\mathcal{F}'$  and  $\mathcal{G}'$  must be non-isomorphic whenever  $\mathcal{F}, \mathcal{G}$  are distinct members of the same  $\mathfrak{F}_\kappa$ . So 2Db tells us that we have for each  $\kappa \in \mathbf{K}$  a disjoint family  $\langle A_{\mathcal{F}} \rangle_{\mathcal{F} \in \mathfrak{F}_\kappa}$  of subsets of  $F'_\kappa$  such that  $A_{\mathcal{F}} \in \mathcal{F}'$  for every  $\mathcal{F} \in \mathfrak{F}_\kappa$ , and 2E tells us that  $\mathcal{H}_\kappa = \bigcap \{ \mathcal{F}' : \mathcal{F} \in \mathfrak{F}_\kappa \}$  is dependently selective for every  $\kappa \in \mathbf{K}$ . Assembling the families  $\langle A_{\mathcal{F}} \rangle_{\mathcal{F} \in \mathfrak{F}_\kappa}$ , we have a disjoint family  $\langle A_{\mathcal{F}} \rangle_{\mathcal{F} \in \mathfrak{F}}$  such that  $A_{\mathcal{F}} \in \mathcal{F}$  for every  $\mathcal{F} \in \mathfrak{F}$ .

(b) Evidently

$$\mathcal{H} = \{ A : A \subseteq X, A \cap F'_\kappa \in \mathcal{H}_\kappa \text{ for every } \kappa \in \mathbf{K} \}.$$

Now suppose that  $\mathcal{S} \subseteq [X]^{<\omega}$  is such that  $\emptyset \in \mathcal{S}$  and  $\{ i : K \cup \{i\} \in \mathcal{S} \} \in \mathcal{H}$  for every  $K \in \mathcal{S}$ . Choose  $\langle B_\kappa \rangle_{\kappa \in \mathbf{K}}$  inductively, as follows. Given that  $\kappa \in \mathbf{K}$ , that  $B_\lambda \in \mathcal{H}_\lambda$  has been defined for  $\lambda \in \mathbf{K} \cap \kappa$  and that  $[\bigcup_{\lambda \in \mathbf{K} \cap \kappa} B_\lambda]^{<\omega} \subseteq \mathcal{S}$ , note that  $\#(\bigcup_{\lambda \in \mathbf{K} \cap \kappa} F'_\lambda) < \kappa$ , because if  $\kappa > \omega$  then  $\kappa$  is two-valued-measurable and certainly has uncountable cofinality. So  $C_\kappa = \bigcup_{\lambda \in \mathbf{K} \cap \kappa} B_\lambda$  and  $[C_\kappa]^{<\omega}$  have cardinal less than  $\kappa$ .

Set

$$\mathcal{S}_\kappa = \{ K : K \in [F'_\kappa]^{<\omega}, K \cup L \in \mathcal{S} \text{ for every } L \in [C_\kappa]^{<\omega} \}.$$

Then  $\emptyset \in \mathcal{S}_\kappa$ , by the hypothesis on  $C_\kappa$ . If  $K \in \mathcal{S}_\kappa$ , then for each  $L \in [C_\kappa]^{<\omega}$  the set  $C_L = \{ i : i \in F'_\kappa, K \cup L \cup \{i\} \in \mathcal{S} \}$  belongs to  $\mathcal{H}_\kappa$ ; but  $\mathcal{H}_\kappa$ , being an intersection of  $\kappa$ -complete filters, is again  $\kappa$ -complete, so  $C = \bigcap \{ C_L : L \in [C_\kappa]^{<\omega} \} \in \mathcal{H}_\kappa$ , and  $K \cup \{i\} \in \mathcal{S}_\kappa$  for every  $i \in C$ . As  $\mathcal{H}_\kappa$  is dependently selective, there is an  $B_\kappa \in \mathcal{H}_\kappa$  such that  $[B_\kappa]^{<\omega} \subseteq \mathcal{S}_\kappa$  and  $[B_\kappa \cup C_\kappa]^{<\omega} \subseteq \mathcal{S}$ .

The inductive hypothesis

$$[\bigcup_{\lambda \in \mathbf{K} \cap \kappa} B_\lambda]^{<\omega} \subseteq \mathcal{S}$$

gives no difficulty when  $\kappa \in \mathbf{K}$  is a limit in  $\mathbf{K}$ , so the induction proceeds to the end. Setting  $A = \bigcup_{\kappa \in \mathbf{K}} B_\kappa$ , we have  $A \in \mathcal{H}$  and  $[A]^{<\omega} \subseteq \mathcal{S}$ . As  $\mathcal{S}$  is arbitrary,  $\mathcal{H}$  is dependently selective.

### 3 Problems

**3A** Is it relatively consistent with ZFC to suppose that there are no free dependently selective filters on  $\mathbb{N}$ ?

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