

# Topological spaces after forcing

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I offer some notes on a general construction of topological spaces in forcing models.

I follow KUNEN 80 in my treatment of forcing; in particular, for a forcing notion  $\mathbb{P}$ , terms in  $V^{\mathbb{P}}$  are subsets of  $V^{\mathbb{P}} \times \mathbb{P}$ . For other unexplained notation it is worth checking in FREMLIN 02, FREMLIN 03 and FREMLIN 08.

This is an abridged version with many proofs and comments omitted.

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**1** Universally Baire-property sets (definition; universal Radon-measurability; alternative characterizations; metrizable spaces).

**2** Basic theory (Hausdorff spaces after forcing; closures and interiors; continuous functions; fixed-point sets; alternative description of Borel sets; convergent sequences; names for compact sets; Souslin schemes; finding  $[\vec{W} \neq \emptyset]$ ).

**3** Identifying the new spaces (products; regular open algebras; normal bases and finite cover uniformities; Boolean homomorphisms from  $\mathcal{UB}(X)$  to  $\text{RO}(\mathbb{P})$ ).

**4** Preservation of topological properties (regular, completely regular, compact, separable, metrizable, Polish, locally compact spaces, and small inductive dimension; zero-dimensional compact spaces; topological groups; order topologies,  $[0, 1]$  and  $\mathbb{R}$ , powers of  $\{0, 1\}$ ,  $\mathbb{N}^{\mathbb{N}}$ , manifolds; zero sets; connected and path-connected spaces; metric spaces; representing names for Borel sets by Baire sets).

**5** Cardinal functions (weight,  $\pi$ -weight, density; character; compact spaces; GCH).

**6** Radon measures (construction; product measures; examples; measure algebras; Maharam-type-homogeneous probability measures; almost continuous functions; Haar measures; representing measures of Borel sets; representing negligible sets; Baire measures on products of Polish spaces; representing new Radon measures).

**7** Second-countable spaces and Borel functions (Borel functions after forcing; pointwise convergent sequences; Baire classes; pointwise bounded families of functions;  $[\vec{W} \neq \emptyset]$ ; identifying  $\overline{\vec{W}}$ ).

**8** Forcing with quotient algebras (measurable spaces with negligibles; representing names for members of  $\tilde{X}$  by  $(\Sigma, \mathcal{Ba}(X))$ -measurable functions; representing names for sets; Baire subsets of products of Polish spaces; Baire measures on products of Polish spaces; liftings and lifting topologies; representing names for members of  $\tilde{X}$  by  $(\Sigma, \mathcal{UB}(X))$ -measurable functions).

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References.

## 1 Universally Baire-property sets

**1A Definition** Let  $X$  be a topological space. I will say that a set  $A \subseteq X$  is **universally Baire-property** if  $f^{-1}[A]$  has the Baire property in  $Z$  whenever  $Z$  is a Čech-complete completely regular Hausdorff space and  $f : Z \rightarrow X$  is a continuous function. Because the family  $\widehat{\mathcal{B}}(Z)$  of subsets of  $Z$  with the Baire property is always a  $\sigma$ -algebra closed under Souslin's operation and including the Borel  $\sigma$ -algebra, the family  $\mathcal{UB}(X)$  of universally Baire-property subsets of  $X$  is a  $\sigma$ -algebra of subsets of  $X$  closed under Souslin's operation and including the Borel  $\sigma$ -algebra.

**1B Elementary facts** Let  $X$  be a topological space.

(a) If  $Y$  is another topological space,  $h : X \rightarrow Y$  is continuous and  $A \in \mathcal{UB}(Y)$  then  $h^{-1}[A] \in \mathcal{UB}(X)$ .

(b)(i) If  $Y \subseteq X$  and  $A \in \mathcal{UB}(X)$  then  $A \cap Y \in \mathcal{UB}(Y)$ .

(ii) If  $F \in \mathcal{UB}(X)$  and  $A \in \mathcal{UB}(F)$  then  $A \in \mathcal{UB}(X)$ .

(c) If  $\langle X_i \rangle_{i \in I}$  is a countable family of topological spaces and  $A_i \in \mathcal{UB}(X_i)$  for every  $i$ , then  $\prod_{i \in I} A_i \in \mathcal{UB}(\prod_{i \in I} X_i)$ .

(d) Suppose that  $A \subseteq X$  and that  $\mathcal{G}$  is a family of open subsets of  $X$ , covering  $A$ , such that  $A \cap G \in \mathcal{UB}(X)$  for every  $G \in \mathcal{G}$ . Then  $A \in \mathcal{UB}(X)$ .

(e) If  $X$  is Čech-complete, then  $\mathcal{UB}(X) \subseteq \widehat{\mathcal{B}}(X)$ .

**1C Proposition** If  $X$  is a Hausdorff space and  $A \in \mathcal{UB}(X)$  then  $A$  is universally Radon-measurable in  $X$  in the sense of FREMLIN 03, 434E.

**1D** Let  $X$  be a Hausdorff space such that every compact subset of  $X$  is scattered. Then  $\mathcal{UB}(X) = \mathcal{P}X$ .

**1E Theorem** Let  $X$  be a compact Hausdorff space, and  $A \subseteq X$ . Then the following are equiveridical:

(i)  $A \in \mathcal{UB}(X)$ ;

(ii)  $f^{-1}[A] \in \widehat{\mathcal{B}}(W)$  whenever  $W$  is a topological space and  $f : W \rightarrow X$  is continuous;

(iii)  $f^{-1}[A] \in \widehat{\mathcal{B}}(Z)$  whenever  $Z$  is an extremally disconnected compact Hausdorff space and  $f : Z \rightarrow X$  is continuous;

(iv) there are a compact Hausdorff space  $K$  and a continuous surjection  $f : K \rightarrow X$  such that  $f^{-1}[A] \in \mathcal{UB}(K)$ .

**1F Corollary** (a) Let  $X$  be a topological space which is homeomorphic to a universally Baire-property subset of some compact Hausdorff space, and  $W$  any

topological space. Then any continuous function from  $W$  to  $X$  is  $(\widehat{\mathcal{B}}(W), \mathcal{UB}(X))$ -measurable.

(b) Let  $X$  be a locally compact Hausdorff space, and  $A \subseteq X$  a set such that  $f^{-1}[A] \in \widehat{\mathcal{B}}(Z)$  whenever  $Z$  is an extremally disconnected compact Hausdorff space and  $f : Z \rightarrow X$  is continuous. Then  $A \in \mathcal{UB}(X)$ .

**1G Proposition** (a) Suppose that  $Z$  is a topological space,  $X$  is second-countable and  $f : Z \rightarrow X$  is  $\widehat{\mathcal{B}}(Z)$ -measurable. Then there is a comeager  $Z_1 \subseteq Z$  such that  $f|_{Z_1}$  is continuous.

(b) Suppose that  $X$  is a topological space,  $Y$  is a second-countable space and  $\phi : X \rightarrow Y$  is  $\mathcal{UB}(X)$ -measurable. Then  $\phi$  is  $(\mathcal{UB}(X), \mathcal{UB}(Y))$ -measurable.

**1H Lemma** If  $W$  is a non-empty topological space,  $\kappa$  a cardinal and  $\pi(W) \leq \kappa$ , then  $\kappa^{\mathbb{N}}$  (giving each copy of  $\kappa$  the discrete topology) and  $W \times \kappa^{\mathbb{N}}$  have isomorphic regular open algebras.

**1I Lemma** Let  $X$  be a metrizable space,  $\kappa$  an infinite cardinal,  $W$  a Čech-complete space with regular open algebra isomorphic to that of  $\kappa^{\mathbb{N}}$ , and  $f : W \rightarrow X$  a continuous function. Then there are a dense  $G_\delta$  subset  $W'$  of  $W$  and continuous functions  $g : W' \rightarrow \kappa^{\mathbb{N}}$  and  $h : \kappa^{\mathbb{N}} \rightarrow X$  such that  $hg = f|_{W'}$ ; moreover, we can choose  $g$  in such a way that it is surjective and  $g[F]$  is not dense for any proper relatively closed set  $F \subseteq W'$ .

**1J Lemma** Let  $W$  be a topological space and  $Y$  a non-empty  $\alpha$ -favourable topological space.

- (a) If  $A \subseteq W$  is such that  $A \times Y$  is meager in  $W \times Y$ , then  $A$  is meager in  $W$ .
- (a) If  $A \subseteq W$  is such that  $A \times Y \in \widehat{\mathcal{B}}(W \times Y)$ , then  $A \in \widehat{\mathcal{B}}(W)$ .

**1K Theorem** (see FENG MAGIDOR & WOODIN 92, Theorem 2.1) Let  $X$  be a metrizable space and  $A \subseteq X$ . Then  $A \in \mathcal{UB}(X)$  iff whenever  $\kappa$  is a cardinal and  $f : \kappa^{\mathbb{N}} \rightarrow X$  is continuous, then  $f^{-1}[A] \in \widehat{\mathcal{B}}(\kappa^{\mathbb{N}})$ .

## 2 Basic theory

**2A Hausdorff spaces after forcing** Let  $(X, \mathfrak{T})$  be a Hausdorff space and  $\mathbb{P}$  a forcing notion.

(a) Let  $Z$  be the Stone space of the regular open algebra  $\text{RO}(\mathbb{P})$  of  $\mathbb{P}$ ; in this context I will interpret Boolean truth values  $[\phi]$  directly as open-and-closed sets in  $Z$ . For  $p \in \mathbb{P}$  let  $\widehat{p} \subseteq Z$  be the open-and-closed set corresponding to the regular open set  $\{q : \text{if } r \text{ is stronger than } q \text{ then } r \text{ is compatible with } p\}$ . For subsets  $S, T$  of  $Z$  I will say that  $S \subseteq^* T$  if  $S \setminus T$  is meager. Note that if  $S, T \in \widehat{\mathcal{B}}(Z)$  and  $S \not\subseteq^* T$ , then there is a  $p \in \mathbb{P}$  such that  $\widehat{p} \subseteq^* S \setminus T$ . Let  $C^-(Z; X)$  be the space of continuous functions from dense  $G_\delta$  subsets of  $Z$  to  $X$ .

For a function  $f \subseteq Z \times X$  let  $\vec{f}$  be the  $\mathbb{P}$ -name

$$\{(\check{g}, p) : g \in C^-(Z; X), p \in \mathbb{P}, \widehat{p} \subseteq^* \{z : z \in \text{dom } f \cap \text{dom } g, f(z) = g(z)\}\};$$

for  $A \subseteq X$  let  $\tilde{A}$  be the  $\mathbb{P}$ -name

$$\{(\vec{f}, p) : f \in C^-(Z; X), p \in \mathbb{P}, \hat{p} \subseteq^* f^{-1}[A]\}.$$

**(b)(i)** If  $f \subseteq Z \times X$  is a function,  $g \in C^-(Z; X)$  and  $p \in \mathbb{P}$  then  $p \Vdash_{\mathbb{P}} \check{g} \in \vec{f}$  iff  $(\check{g}, p) \in \vec{f}$ .

**(ii)** If  $f \subseteq Z \times X$  is a function,  $g \in C^-(Z; X)$  and  $p \in \mathbb{P}$  then  $p \Vdash_{\mathbb{P}} \vec{f} = \vec{g}$  iff  $\hat{p} \subseteq^* \{z : z \in \text{dom } f \cap \text{dom } g, f(z) = g(z)\}$ .

**(iii)** If  $A \in \mathcal{UB}(X)$ ,  $f \in C^-(Z; X)$  and  $p \in \mathbb{P}$ , then  $p \Vdash_{\mathbb{P}} \vec{f} \in \tilde{A}$  iff  $(\vec{f}, p) \in \tilde{A}$ .

**(iv)** Suppose that  $*$  is one of the four Boolean operations  $\cup, \cap, \setminus$  and  $\Delta$ . If  $A, B, C \in \mathcal{UB}(X)$  and  $A * B = C$  then  $\Vdash_{\mathbb{P}} \tilde{A} * \tilde{B} = \tilde{C}$ .

**(v)** Let  $\langle A_n \rangle_{n \in \mathbb{N}}$  be a sequence in  $\mathcal{UB}(X)$  with union  $A$ . Then  $\Vdash_{\mathbb{P}} \tilde{A} = \bigcup_{n \in \mathbb{N}} \tilde{A}_n$ .

**(vi)** Let  $\langle G_i \rangle_{i \in I}$  be a family in  $\mathfrak{T}$  with union  $G$ . Then

$$\Vdash_{\mathbb{P}} \tilde{G} = \bigcup_{i \in I} \tilde{G}_i.$$

**(vii)** Suppose that  $A \in \mathcal{UB}(X)$ ,  $p \in \mathbb{P}$  and that  $\dot{x}$  is a  $\mathbb{P}$ -name such that  $p \Vdash_{\mathbb{P}} \dot{x} \in \tilde{A}$ . Then there is an  $f \in C^-(Z; A)$  such that  $p \Vdash_{\mathbb{P}} \dot{x} = \vec{f}$ .

**(viii)** If, in (vii), the set  $A$  is compact, then every member of  $C^-(Z; A)$  will have a (unique) extension to a member of  $C(Z; A)$ , because  $Z$  is extremally disconnected; so we find that whenever  $p \in \mathbb{P}$  and  $\dot{x}$  is a  $\mathbb{P}$ -name such that  $p \Vdash_{\mathbb{P}} \dot{x} \in \tilde{A}$ , then there is an  $f \in C(Z; A)$  such that  $p \Vdash_{\mathbb{P}} \dot{x} = \vec{f}$ .

**(c)** Now set

$$\tilde{\mathfrak{T}} = \{(\tilde{G}, \mathbb{1}) : G \in \mathfrak{T}\}.$$

Then

$\Vdash_{\mathbb{P}} \tilde{\mathfrak{T}}$  is a topology base on  $\tilde{X}$  and generates a Hausdorff topology on  $\tilde{X}$ .

**(d)(i)** It is perhaps worth noting explicitly that we can use any base for  $\mathfrak{T}$  to define the topology on  $\tilde{X}$  in  $V^{\mathbb{P}}$ . If  $\mathcal{U}$  is a base for  $\mathfrak{T}$ , set  $\tilde{\mathcal{U}} = \{(\tilde{U}, \mathbb{1}) : U \in \mathcal{U}\}$ . Then

$\Vdash_{\mathbb{P}} \tilde{\mathcal{U}}$  is a topology base on  $\tilde{X}$  and generates the same topology as  $\tilde{\mathfrak{T}}$ .

**(ii)** Similarly, if  $\mathcal{U}$  is any subbase for  $\mathfrak{T}$ , and we set  $\tilde{\mathcal{U}} = \{(\tilde{U}, \mathbb{1}) : U \in \mathcal{U}\}$ , then

$\Vdash_{\mathbb{P}} \tilde{\mathcal{U}}$  generates the same topology as  $\tilde{\mathfrak{T}}$ .

**(e)**

$\Vdash_{\mathbb{P}} \tilde{F}$  is closed in  $\tilde{X}$

whenever  $F \subseteq X$  is closed.

$$\Vdash_{\mathbb{P}} \tilde{E} \text{ is Borel in } \tilde{X}$$

whenever  $E \subseteq X$  is Borel.

$$\Vdash_{\mathbb{P}} \tilde{A} \text{ is nowhere dense in } \tilde{X}$$

whenever  $A \in \mathcal{UB}(X)$  is nowhere dense in  $X$ .

$$\Vdash_{\mathbb{P}} \tilde{A} \text{ is meager in } \tilde{X}$$

whenever  $A \in \mathcal{UB}(X)$  is meager in  $X$ , and

$$\Vdash_{\mathbb{P}} \tilde{A} \text{ has the Baire property in } \tilde{X}$$

whenever  $A \in \mathcal{UB}(X)$  has the Baire property in  $X$ .

**(f)(i)** For  $x \in X$ , let  $e_x \in C^-(Z; X)$  be the constant function with domain  $Z$  and value  $x$ , and write  $\tilde{x}$  for the  $\mathbb{P}$ -name  $\vec{e}_x$ . Set

$$\dot{\varphi} = \{((\tilde{x}, \tilde{x}), \mathbf{1}) : x \in X\},$$

so that

$$\Vdash_{\mathbb{P}} \dot{\varphi} \text{ is a function from } \tilde{X} \text{ to } \tilde{X}.$$

$\Vdash_{\mathbb{P}} \dot{\varphi}$  is injective.

**(ii)** If  $A \in \mathcal{UB}(X)$  then

$$\Vdash_{\mathbb{P}} \tilde{A} = \dot{\varphi}^{-1}[\tilde{A}].$$

**(iii)** Next, if  $D \subseteq X$  is dense,

$$\Vdash_{\mathbb{P}} \dot{\varphi}[\tilde{D}] \text{ is dense in } \tilde{X}.$$

**(g)(i)** Suppose that every compact subset of  $X$  is scattered. Then

$$\Vdash_{\mathbb{P}} \tilde{X} = \dot{\varphi}[\tilde{X}].$$

**(ii)** In particular, if  $\#(X) < \mathfrak{c}$  or  $X$  is discrete,

$$\Vdash_{\mathbb{P}} \tilde{X} = \dot{\varphi}[\tilde{X}].$$

**(iii)** In fact, if  $X$  is discrete, then

$$\Vdash_{\mathbb{P}} \tilde{X} = \dot{\varphi}[\tilde{X}] \text{ is discrete.}$$

**2B Closures and interiors** In the context of 2A, suppose that  $A \in \mathcal{UB}(X)$ . Then

$$\Vdash_{\mathbb{P}} \text{int } \tilde{A} = (\text{int } A)^{\sim}, \quad \overline{\tilde{A}} = \overline{\dot{\varphi}[\tilde{A}]} = \tilde{\overline{A}} \text{ and } \partial \tilde{A} = (\partial A)^{\sim},$$

where  $\partial A$  is the topological boundary of  $A$ .

**2C Continuous functions, among others** Let  $\mathbb{P}$  be a forcing notion,  $Z$  the Stone space of its regular open algebra,  $(X, \mathfrak{T})$  and  $(Y, \mathfrak{S})$  Hausdorff spaces, and  $\tilde{X}$ ,  $\tilde{\mathfrak{T}}$ ,  $\tilde{Y}$  and  $\tilde{\mathfrak{S}}$  the  $\mathbb{P}$ -names as defined in 2A. Let  $\phi \subseteq X \times Y$  be a function.

(a) Let  $\tilde{\phi}$  be the  $\mathbb{P}$ -name

$$\{((\vec{f}, \vec{g}), p) : f \in C^-(Z; X), g \in C^-(Z; Y), p \in \mathbb{P}, \hat{p} \subseteq^* \text{dom}(g \cap \phi f)\}.$$

Then

$$\Vdash_{\mathbb{P}} \tilde{\phi} \text{ is a function from a subset of } \tilde{X} \text{ to } \tilde{Y}.$$

(b)(i) If  $p \in \mathbb{P}$  and  $\dot{x}, \dot{y}$  are  $\mathbb{P}$ -names such that  $p \Vdash_{\mathbb{P}} \tilde{\phi}(\dot{x}) = \dot{y}$ , then there are  $f \in C^-(Z; X)$  and  $g \in C^-(Z; Y)$  such that

$$p \Vdash_{\mathbb{P}} \dot{x} = \vec{f} \text{ and } \dot{y} = \vec{g},$$

$$\hat{p} \subseteq \text{dom}(g \cap \phi f).$$

(ii) In fact, if  $p \in \mathbb{P}$  and  $f \in C^-(Z; X)$  and  $g \in C^-(Z; Y)$ , then  $p \Vdash_{\mathbb{P}} \tilde{\phi}(\vec{f}) = \vec{g}$  iff  $\hat{p} \subseteq^* \text{dom}(g \cap \phi f)$ .

(c) Next, suppose that  $A \in \mathcal{UB}(X)$ ,  $A \subseteq \text{dom } \phi$ ,  $\phi \upharpoonright A$  is continuous and  $B \in \mathcal{UB}(Y)$ . Then  $A \cap \phi^{-1}[B] \in \mathcal{UB}(X)$  and

$$\Vdash_{\mathbb{P}} \tilde{A} \cap \tilde{\phi}^{-1}[\tilde{B}] = (A \cap \phi^{-1}[B])^\sim.$$

(In particular,  $\Vdash_{\mathbb{P}} \tilde{A} \subseteq \text{dom } \tilde{\phi}$ .)

(d) If  $A \in \mathcal{UB}(X)$ ,  $A \subseteq \text{dom } \phi$  and  $\phi \upharpoonright A$  is continuous, then

$$\Vdash_{\mathbb{P}} \tilde{\phi} \upharpoonright \tilde{A} \text{ is continuous.}$$

(e) If  $X_0, X_1, X_2$  are Hausdorff spaces and  $\phi : X_0 \rightarrow X_1$ ,  $\psi : X_1 \rightarrow X_2$  are continuous functions, then

$$\Vdash_{\mathbb{P}} (\psi \phi)^\sim = \tilde{\psi} \tilde{\phi}.$$

(f) If  $\phi$  is injective, then

$$\Vdash_{\mathbb{P}} \tilde{\phi} \text{ is injective.}$$

(g) If  $\phi$  is a homeomorphism between  $X$  and a set  $B \in \mathcal{UB}(Y)$ , then

$$\Vdash_{\mathbb{P}} \tilde{\phi} \text{ is a homeomorphism between } \tilde{X} \text{ and } \tilde{B}.$$

**2D Lemma** Suppose, in the context of 2C, that  $X = Y$  and we have a set  $A \in \mathcal{UB}(X)$  such that  $\phi(x) = x$  for every  $x \in A$ . Then

$$\Vdash_{\mathbb{P}} \tilde{\phi}(x) = x \text{ for every } x \in \tilde{A}.$$

**2E Alternative description of Borel sets** Let  $\mathbb{P}$ ,  $Z$  and  $(X, \mathfrak{T})$  be as in §2A.

(a) If  $\dot{G}$  is a  $\mathbb{P}$ -name such that

$$\Vdash_{\mathbb{P}} \dot{G} \text{ is an open set in } \tilde{X},$$

consider the open set

$$W = \bigcup_{G \in \mathfrak{X}} [\dot{G} \subseteq G] \times G \subseteq Z \times X.$$

If  $\dot{E}$ ,  $\dot{G}$  and  $\dot{H}$  are  $\mathbb{P}$ -names such that

$$\Vdash_{\mathbb{P}} \dot{G} \text{ and } \dot{H} \text{ are open subsets of } \tilde{X} \text{ and } \dot{E} = \dot{G} \cap \dot{H},$$

and  $W_{\dot{E}}$ ,  $W_{\dot{G}}$  and  $W_{\dot{H}}$  are the corresponding open subsets of  $Z \times X$ , then  $W_{\dot{E}} = W_{\dot{G}} \cap W_{\dot{H}}$ .

In particular,  $\Vdash_{\mathbb{P}} \dot{G} \cap \dot{H} = \emptyset$  iff  $W_{\dot{G}}$  and  $W_{\dot{H}}$  are disjoint.

(b) For any  $W \subseteq Z \times X$ , let  $\vec{W}$  be the  $\mathbb{P}$ -name

$$\{(\vec{f}, p) : f \in C^-(Z; X), p \in \mathbb{P}, \hat{p} \subseteq^* \{z : (z, f(z)) \in W\}\}.$$

(i) If  $\dot{G}$  is a  $\mathbb{P}$ -name such that

$$\Vdash_{\mathbb{P}} \dot{G} \text{ is an open set in } \tilde{X},$$

$W_{\dot{G}}$  is the corresponding open subset of  $Z \times X$ ,  $p \in \mathbb{P}$  and  $f \in C^-(Z; X)$ , then  $p \Vdash_{\mathbb{P}} \vec{f} \in \dot{G}$  iff  $(\vec{f}, p) \in W_{\dot{G}}$ .

(ii)

$$\Vdash_{\mathbb{P}} \vec{W}_{\dot{G}} = \dot{G}.$$

(iii)  $W_{\tilde{X}} = Z \times X$  and

$$\Vdash_{\mathbb{P}} \tilde{X} = (Z \times X)^{\neg}.$$

(iv) Next, observe that if  $W \in \mathcal{UB}(Z \times X)$  and  $f \in C^-(Z; X)$ , then

$$[\vec{f} \in \vec{W}] \Delta \{z : (z, f(z)) \in W\} \text{ is meager.}$$

(c)(i) If  $p \in \mathbb{P}$ ,  $A \in \mathcal{UB}(X)$  and  $\hat{p} \times A \subseteq W \in \mathcal{UB}(Z \times X)$ , then  $p \Vdash_{\mathbb{P}} \tilde{A} \subseteq \vec{W}$ .

(ii) If  $W \subseteq Z \times X$  is open, then

$$\Vdash_{\mathbb{P}} \vec{W} \text{ is open.}$$

(iii) If  $V \subseteq Z$  is open-and-closed,  $A \in \mathcal{UB}(X)$  and  $W = V \times A$ , then

$$V = [\vec{W} = \tilde{A}], \quad Z \setminus V = [\vec{W} = \emptyset].$$

(d) If  $V_1, V_2 \in \mathcal{UB}(Z \times X)$ ,  $*$  is any of the Boolean operations  $\cup$ ,  $\cap$ ,  $\setminus$  and  $\Delta$  and  $W = V_1 * V_2$ , then

$$\Vdash_{\mathbb{P}} \vec{W} = \vec{V}_1 * \vec{V}_2.$$

(d) If  $\langle V_n \rangle_{n \in \mathbb{N}}$  is a sequence in  $\mathcal{UB}(Z \times X)$  with union  $W$ , then  $\Vdash_{\mathbb{P}} \vec{W} = \bigcup_{n \in \mathbb{N}} \vec{W}_n$ .

(f) If  $\langle W_i \rangle_{i \in I}$  is a family of open subsets of  $Z \times X$  with union  $W$ , then  $\Vdash_{\mathbb{P}} \vec{W} = \bigcup_{i \in I} \vec{W}_i$ .

(g) It follows that if  $W \subseteq Z \times X$  is a Borel set, then  $\Vdash_{\mathbb{P}} \vec{W}$  is a Borel set in  $\tilde{X}$ .

(h)(i) Now suppose that  $p \in \mathbb{P}$ ,  $\alpha < \omega_1$  and that  $\dot{E}$  is a  $\mathbb{P}$ -name such that

$$p \Vdash_{\mathbb{P}} \dot{E} \text{ is a Borel subset of } \tilde{X} \text{ of class } \alpha.$$

Then there is a Borel set  $W \subseteq Z \times X$  of class  $\alpha$  such that  $p \Vdash_{\mathbb{P}} \dot{E} = \vec{W}$ .

(ii) If  $p \in \mathbb{P}$  and  $\dot{E}$  is a  $\mathbb{P}$ -name such that

$$p \Vdash_{\mathbb{P}} \dot{E} \text{ is a Borel subset of } \tilde{X},$$

then there is a  $W \in \mathcal{UB}(X)$  such that  $p \Vdash_{\mathbb{P}} \dot{E} = \vec{W}$ .

(iii) If  $\mathbb{P}$  is ccc,  $p \in \mathbb{P}$  and  $\dot{E}$  is a  $\mathbb{P}$ -name such that

$$p \Vdash_{\mathbb{P}} \dot{E} \text{ is a Borel set in } \tilde{X},$$

then there is a Borel set  $W \subseteq Z \times X$  such that  $p \Vdash_{\mathbb{P}} \dot{E} = \vec{W}$ .

(i) If  $W \subseteq Z \times X$  is open then

$$\Vdash_{\mathbb{P}} \overline{\vec{W}} = \vec{W}.$$

**2F Convergent sequences: Lemma** Suppose that  $\mathbb{P}$  is a forcing notion,  $Z$  the Stone space of its regular open algebra, and  $X$  a Hausdorff space. Suppose that  $\langle f_n \rangle_{n \in \mathbb{N}}$  is a sequence in  $C^-(Z; X)$  and  $f \in C^-(Z; X)$ ,  $p \in \mathbb{P}$  are such that

$$\hat{p} \subseteq^* \{z : f(z) = \lim_{n \rightarrow \infty} f_n(z) \text{ in } X\}.$$

Then

$$p \Vdash_{\mathbb{P}} \vec{f} = \lim_{n \rightarrow \infty} \vec{f}_n \text{ in } \tilde{X}.$$

**2G Theorem** Let  $X$  be a Hausdorff space and  $\mathbb{P}$  a forcing notion, with Stone space  $Z$ . If  $Z_0 \subseteq Z$  is comeager and  $V \subseteq Z_0 \times X$  is an usco-compact relation in  $Z_0 \times X$ , then, in the language of 2E,

$$\Vdash_{\mathbb{P}} \vec{V} \text{ is compact in } \tilde{X}.$$

**2H Theorem** Let  $X$  be a Hausdorff space,  $\mathbb{P}$  a forcing notion and  $Z$  its Stone space. Set  $S = \bigcup_{n \geq 1} \mathbb{N}^n$  and let  $\langle W_\sigma \rangle_{\sigma \in S}$  be a Souslin scheme in  $\mathcal{UB}(Z \times X)$  with kernel  $W$ . Then

$$\Vdash_{\mathbb{P}} \vec{W} \text{ is the kernel of the Souslin scheme } \langle \vec{W}_\sigma \rangle_{\sigma \in S}.$$



**2I Corollary** If  $\langle A_\sigma \rangle_{\sigma \in S}$  is a Souslin scheme in  $\widehat{\mathcal{UB}}(X)$  with kernel  $A$ , then

$$\Vdash_{\mathbb{P}} \tilde{A} \text{ is the kernel of } \langle \tilde{A}_\sigma \rangle_{\sigma \in S}.$$

**2J Finding the Boolean value**  $\llbracket \vec{W} \neq \emptyset \rrbracket$  Let  $X$  be a Hausdorff space,  $\mathbb{P}$  a forcing notion and  $Z$  its Stone space. If  $W \in \widehat{\mathcal{UB}}(Z \times X)$  then

$$\llbracket \vec{W} \neq \emptyset \rrbracket \subseteq^* W^{-1}[X].$$

(ii) If  $V, W \in \widehat{\mathcal{UB}}(Z \times X)$  then

$$\{z : V[\{z\}] \subseteq W[\{z\}]\} \subseteq^* \llbracket \vec{V} \subseteq \vec{W} \rrbracket.$$

(iii) If  $A \in \widehat{\mathcal{UB}}(X)$  and  $W \in \widehat{\mathcal{UB}}(Z \times X)$  then

$$\{z : A \subseteq W[\{z\}]\} \subseteq^* \llbracket \tilde{A} \subseteq \vec{W} \rrbracket.$$

(b) If  $Z_0 \subseteq Z$  is comeager and  $W \subseteq Z_0 \times X$  is usco-compact, then  $\llbracket \vec{W} \neq \emptyset \rrbracket \Delta W^{-1}[X]$  is meager. ■

(c) If  $W \subseteq Z \times X$  is K-analytic, then  $\llbracket \vec{W} \neq \emptyset \rrbracket \Delta W^{-1}[X]$  is meager.

(d) If  $W \subseteq Z \times X$  is open then  $\llbracket \vec{W} \neq \emptyset \rrbracket \Delta W^{-1}[X]$  is meager.

**2K Examples (a)** Let  $\mathbb{P}$  be a forcing notion and  $Z$  its Stone space. Suppose that  $Z$  is expressible as the union of  $\kappa$  nowhere dense zero sets. Set  $X = [0, 1]^\kappa$ . Then there is a closed set  $W \subseteq Z \times X$  such that  $W^{-1}[X] = Z$  but  $\Vdash_{\mathbb{P}} \vec{W} = \emptyset$ .

(b) Suppose that  $A \subseteq [0, 1]$  is a coanalytic set with no perfect subset and that  $\mathbb{P}$  is a forcing notion such that the Stone space  $Z$  of  $\mathbb{P}$  can be covered by  $\omega_1$  nowhere dense sets. Then there is a set  $W \in \widehat{\mathcal{UB}}(Z \times [0, 1])$  such that  $W^{-1}[/, [0, 1]/, ] = Z$  but  $\Vdash_{\mathbb{P}} \vec{W} = \emptyset$ .

### 3 Identifying the new spaces

The most pressing problem is to find ways of getting a clear picture of the ‘new’ spaces as topological spaces. For actual examples it will be easiest to wait for §4 below. Here I put together a handful of basic techniques.

**3A Theorem** Let  $\langle X_i \rangle_{i \in I}$  be a family of Hausdorff spaces with product  $X$ , and  $\mathbb{P}$  a forcing notion. Suppose that  $J = \{i : i \in I, X_i \text{ is not compact}\}$  is countable. Then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ can be identified with } \prod_{i \in J} \tilde{X}_i.$$

**3B Regular open algebras** Let  $\mathbb{P}$ ,  $(X, \mathfrak{F})$  and  $\tilde{X}$  be as in §2A.

(a) If  $G \subseteq X$  is a regular open set in  $X$ , then

$$\Vdash_{\mathbb{P}} \tilde{G} \text{ is a regular open set in } \tilde{X}.$$

(b) Let  $\text{RO}(X)$  be the regular open algebra of  $X$ . Then Write  $\dot{\vartheta}$  for the  $\mathbb{P}$ -name  $\{((\check{G}, \check{G}), \mathbf{1}) : G \in \text{RO}(X)\}$ . By (a),

$$\Vdash_{\mathbb{P}} \dot{\vartheta} \text{ is a function from } \text{RO}(X)^{\sim} \text{ to } \text{RO}(\check{X}).$$

Now

$$\Vdash_{\mathbb{P}} \dot{\vartheta} \text{ is a Boolean homomorphism.}$$

(c)  $\Vdash_{\mathbb{P}} \dot{\vartheta}$  is injective.

(d)  $\Vdash_{\mathbb{P}} \dot{\vartheta}[\text{RO}(X)^{\sim}]$  is order-dense in  $\text{RO}(\check{X})$ .

**3C Corollary** For any topological space  $X$ ,

$$\Vdash_{\mathbb{P}} \text{RO}(\check{X}) \text{ can be identified with the Dedekind completion of } \text{RO}(X)^{\sim}.$$

**3D Normal bases and the finite-cover uniformity (a)** Let  $X$  be a set. I will say that a topology base  $\mathcal{U}$  on  $X$  is **normal** if

- (i)  $U \cup V$  and  $U \cap V$  belong to  $\mathcal{U}$  for all  $U, V \in \mathcal{U}$ ,
- (ii) whenever  $x \in U \in \mathcal{U}$  there is a  $V \in \mathcal{U}$  such that  $U \cup V = X$  and  $x \notin V$ ,
- (iii) whenever  $U, V \in \mathcal{U}$  and  $U \cup V = X$  then there are disjoint  $U', V' \in \mathcal{U}$  such that  $U \cup V' = U' \cup V = X$ .

(b) Let  $\mathcal{U}$  be a normal topology base on  $X$ .

(i) If  $\mathcal{V} \subseteq \mathcal{U}$  is a finite cover of  $X$ , there is a finite  $\mathcal{V}^* \subseteq \mathcal{U}$ , a cover of  $X$ , which is a star-refinement of  $\mathcal{V}$ .

(ii) We have a uniformity  $\mathcal{W}$  on  $X$  defined by saying that a subset  $W$  of  $X \times X$  belongs to  $\mathcal{W}$  iff there is a finite subset  $\mathcal{V}$  of  $\mathcal{U}$ , covering  $X$ , such that  $W_{\mathcal{V}} \subseteq W$ , where  $W_{\mathcal{V}} = \bigcup_{V \in \mathcal{V}} V \times V$ .

(iii) The topologies  $\mathfrak{T}_{\mathcal{U}}, \mathfrak{T}_{\mathcal{W}}$  induced on  $X$  by  $\mathcal{U}, \mathcal{W}$  respectively are equal.

(iv) I will call  $\mathcal{W}$  the **finite-cover uniformity** derived from  $\mathcal{U}$ .

(c) The definition in (b-ii) makes it plain that  $X$  is totally bounded for the finite-cover uniformity.

(d) Let  $X$  be a compact Hausdorff space.

(i) If  $\mathcal{U}$  is a base for the topology of  $X$  closed under  $\cup$  and  $\cap$ , then  $\mathcal{U}$  is a normal topology base.

(ii) If  $Y \subseteq X$  is dense,  $\mathcal{U}$  is a base for the topology of  $X$  and  $\mathcal{U}_Y = \{Y \cap U : U \in \mathcal{U}\}$  is a normal topology base on  $Y$ , then  $X$  can be identified with the completion of  $Y$  for the finite-cover uniformity induced by  $\mathcal{U}_Y$ .

**3E Descriptions of  $\check{X}$ : Proposition** Let  $\mathbb{P}$  be a forcing notion,  $X$  a compact Hausdorff space and  $\mathcal{U}$  a normal base for the topology of  $X$ . Let  $Z, \check{X}, \dot{\varphi} : \check{X} \rightarrow \check{X}$  be as in §2.

(a)

$$\Vdash_{\mathbb{P}} \check{\mathcal{U}} \text{ is a normal topology base on } \check{X}.$$

(b)

$\Vdash_{\mathbb{P}}$  the embedding  $\dot{\varphi} : \check{X} \rightarrow \tilde{X}$  identifies  $\check{X}$ , with the unique uniformity compatible with its topology, with the completion of  $\check{X}$  with the finite-cover uniformity on  $\check{X}$  generated by  $\check{\mathcal{U}}$ .

**3F Proposition** Let  $\mathbb{P}$  be a forcing notion and  $Z$  the Stone space of  $\text{RO}(\mathbb{P})$ , which I think of as the algebra of open-and-closed sets in  $Z$ ; let  $X$  be a non-empty Hausdorff space.

(a)(i) For every  $f \in C^-(Z; X)$  we have a sequentially order-continuous Boolean homomorphism  $\pi_f : \mathcal{UB}(X) \rightarrow \text{RO}(\mathbb{P})$  defined by saying that  $\pi_f(A) \triangleq f^{-1}[A]$  is meager for every  $A \in \mathcal{UB}(X)$ .

(ii)  $\pi_f(A) = \llbracket \vec{f} \in \check{A} \rrbracket$  for any  $f \in C^-(Z; X)$  and  $A \in \mathcal{UB}(X)$ .

(iii)  $\pi_f$  is  $\tau$ -**additive** in the sense that if  $\mathcal{G}$  is a non-empty upwards-directed family of open sets with union  $H$ , then  $\pi_f H = \sup_{G \in \mathcal{G}} \pi_f G$  in  $\text{RO}(\mathbb{P})$ .

(iv) If  $f, g \in C^-(Z; X)$  and  $p \in \mathbb{P}$ , then the following are equiveridical:

( $\alpha$ )  $f$  and  $g$  agree on  $\hat{p} \cap \text{dom } f \cap \text{dom } g$ ;

( $\beta$ )  $\hat{p} \subseteq^* \text{dom}(f \cap g)$ ;

( $\gamma$ ) for any  $t$  and for any  $q$  stronger than  $p$ ,  $(t, q) \in \vec{f}$  iff  $(t, q) \in \vec{g}$ ;

( $\delta$ )  $p \Vdash_{\mathbb{P}} \vec{f} = \vec{g}$ ;

( $\epsilon$ )  $\hat{p} \cap \pi_f A = \hat{p} \cap \pi_g A$  for every  $A \in \mathcal{UB}(X)$ ;

( $\zeta$ ) there is a base  $\mathcal{U}$  for the topology of  $X$  such that  $\hat{p} \cap \pi_f G = \hat{p} \cap \pi_g G$  for every  $G \in \mathcal{U}$ .

(b)(i) Suppose that  $X$  is Čech-complete and that  $\pi : \mathcal{Ba}(X) \rightarrow \text{RO}(\mathbb{P})$  is a sequentially order-continuous Boolean homomorphism which is  $\tau$ -additive in the sense that  $\pi(\bigcup \mathcal{G}) = \sup_{G \in \mathcal{G}} \pi G$  whenever  $\mathcal{G} \subseteq \mathcal{Ba}(X)$  is a family of open sets with union in  $\mathcal{Ba}(X)$ . Then there is an  $f \in C^-(Z; X)$  such that  $\pi_f$  extends  $\pi$ .

(ii) If  $X$  is compact, then for every sequentially order-continuous  $\pi : \mathcal{Ba}(X) \rightarrow \text{RO}(\mathbb{P})$  there is an  $f \in C(Z; X)$  such that  $\pi_f$  extends  $\pi$ .

(iii) If  $X$  is Polish, then for every sequentially order-continuous  $\pi : \mathcal{Ba}(X) \rightarrow \text{RO}(\mathbb{P})$  there is an  $f \in C^-(Z; X)$  such that  $\pi_f$  extends  $\pi$ .

(c) Suppose that  $X$  is Čech-complete and that  $\pi : \mathcal{B}(X) \rightarrow \text{RO}(\mathbb{P})$  is a  $\tau$ -additive sequentially order-continuous Boolean homomorphism. Then there is an  $f \in C^-(Z; X)$  such that  $\pi_f$  extends  $\pi$ .

**3G Notation** Suppose that  $X$  is either compact or Polish,  $\mathbb{P}$  is a forcing notion and  $\pi : \mathcal{Ba}(X) \rightarrow \text{RO}(\mathbb{P})$  is a sequentially order-continuous Boolean homomorphism. Then 3Fb tells us that we have a  $\mathbb{P}$ -name  $\check{\pi}$  defined by saying that  $\check{\pi} = \vec{f}$  whenever  $f \in C^-(Z; X)$  and  $\pi \subseteq \pi_f$ .  $\Vdash_{\mathbb{P}} \check{\pi} \in \tilde{X}$ ;  $\llbracket \check{\pi} \in \tilde{F} \rrbracket = \pi F$  for every Baire set  $F \subseteq X$ .

**3H Proposition** Suppose that  $X$  is either compact or Polish,  $\mathbb{P}$  is a forcing notion and  $\pi, \phi : \mathcal{Ba}(X) \rightarrow \text{RO}(\mathbb{P})$  are sequentially order-continuous Boolean homomorphisms. Then, for any  $p \in \mathbb{P}$ , the following are equiveridical:

(i)  $p \Vdash_{\mathbb{P}} \check{\pi} = \check{\phi}$ ;

(ii)  $\hat{p} \cap \pi E = \hat{p} \cap \phi E$  for every  $E \in \mathcal{Ba}(X)$ ;

(iii) there is a base  $\mathcal{U}$  for the topology of  $X$ , consisting of cozero sets, such that  $\hat{p} \cap \pi U = \hat{p} \cap \phi U$  for every  $U \in \mathcal{U}$ .

#### 4 Preservation of topological properties

**4A Theorem** Let  $\mathbb{P}$ ,  $(X, \mathfrak{T})$  and  $\tilde{X}$  be as in §2A.

(a) If  $X$  is regular, then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is regular.}$$

(b) If  $X$  is completely regular, then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is completely regular.}$$

(c) If  $X$  is compact, then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is compact.}$$

(d) If  $X$  is separable, then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is separable.}$$

(e) If  $X$  is metrizable, then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is metrizable.}$$

(f) If  $X$  is Čech-complete, then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is Čech-complete.}$$

(g) If  $X$  is Polish, then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is Polish.}$$

(h) If  $X$  is locally compact, then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is locally compact.}$$

(i) If  $\text{ind } X \leq n \in \mathbb{N}$ , where  $\text{ind } X$  is the small inductive dimension of  $X$ , then

$$\Vdash_{\mathbb{P}} \text{ind } \tilde{X} \leq n.$$

(In particular, if  $X$  is zero-dimensional then  $\Vdash_{\mathbb{P}} \tilde{X}$  is zero-dimensional.)

(j) If  $X$  is chargeable, then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is chargeable.}$$

**4B Corollary** Let  $X$  be a zero-dimensional compact Hausdorff space, and  $\mathcal{E}$  the algebra of open-and-closed sets in  $X$ . Then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ can be identified with the Stone space of the Boolean algebra } \check{\mathcal{E}}.$$

**4C Proposition** Let  $\mathbb{P}$  be a forcing notion and  $Z$  the Stone space of  $\text{RO}(\mathbb{P})$ ; let  $X$  be a topological group.

(a) We have a  $\mathbb{P}$ -name for a group operation on  $\tilde{X}$ , defined by saying that

$$\Vdash_{\mathbb{P}} \vec{f} \cdot \vec{g} = \vec{h}$$

whenever  $f, g, h \in C^-(Z; X)$  and  $h(z) = f(z)g(z)$  for every  $z \in \text{dom } f \cap \text{dom } g$ ; and now

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is a topological group with identity } \vec{e}$$

where  $e$  is the identity of  $X$ .

(b)(i) For any  $A \in \mathcal{UB}(X)$ ,

$$\Vdash_{\mathbb{P}} \tilde{A}^{-1} = (A^{-1})^{\sim}.$$

(ii) For any  $a \in X$  and  $B \in \mathcal{UB}(X)$ ,

$$\Vdash_{\mathbb{P}} \tilde{a} \cdot \tilde{B} = (aB)^{\sim}, \tilde{B} \cdot \tilde{a} = (Ba)^{\sim}.$$

(iii) For any open set  $G \subseteq X$  and  $A \in \mathcal{UB}(X)$ ,

$$\Vdash_{\mathbb{P}} \tilde{G} \cdot \tilde{A} = (GA)^{\sim}, \tilde{A} \cdot \tilde{G} = (AG)^{\sim}.$$

**4D Examples** Let  $\mathbb{P}$  be a forcing notion and  $Z$  the Stone space of  $\text{RO}(\mathbb{P})$ .

(a) Suppose that  $X$  is a totally ordered set with its order topology. Let  $\tilde{\leq}$  be the  $\mathbb{P}$ -name

$$\{((\vec{f}, \vec{g}), p) : f, g \in C^-(Z; X), p \in \mathbb{P}, \\ \hat{p} \subseteq^* \{z : z \in \text{dom } f \cap \text{dom } g, f(z) \leq g(z)\}\}.$$

(i)  $\tilde{\leq}$  is a  $\mathbb{P}$ -name for a total ordering of  $\tilde{X}$ .

(ii) Now

$\Vdash_{\mathbb{P}}$  the order topology defined by  $\tilde{\leq}$  is the topology on  $\tilde{X}$  generated by  $\tilde{\mathfrak{T}}$ .

(iii) For any  $f, g \in C^{-1}(Z; X)$ ,  $f(z) \leq g(z)$  for every  $z \in \text{dom } f \cap \text{dom } g \cap \llbracket \vec{f} \tilde{\leq} \vec{g} \rrbracket$ .

(iv) In the language of 2Af,

$$\Vdash_{\mathbb{P}} \dot{\phi}[\tilde{X}] \text{ is cofinal and coinitial with } \tilde{X}.$$

(v) If  $X$  is Dedekind complete, then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is Dedekind complete.}$$

(b)(i) If  $X = [0, 1]$  with its usual topology, then

$\Vdash_{\mathbb{P}} \tilde{X}$ , with the topology generated by  $\tilde{\mathfrak{T}}$ , can be identified with the unit interval.

(ii) If  $X = \mathbb{R}$  with its usual topology, then

$\Vdash_{\mathbb{P}} \tilde{X}$ , with the topology generated by  $\tilde{\mathfrak{T}}$ , can be identified with the real line.

(c) Let  $I$  be any set, and  $X = \{0, 1\}^I$ . Then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ can be identified, as topological space, with } \{0, 1\}^{\tilde{I}}.$$

(d) If  $X = \mathbb{N}^{\mathbb{N}}$  then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ can be identified with } \tilde{\mathbb{N}}^{\tilde{\mathbb{N}}}.$$

(e) If  $X$  is an  $n$ -dimensional manifold, where  $n \geq 1$ , then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is an } n\text{-dimensional manifold.}$$

**4E Zero sets: Proposition** If  $X$  is a topological space and  $F \subseteq X$  is a zero set, then

$$\Vdash_{\mathbb{P}} \tilde{F} \text{ is a zero set in } \tilde{X}.$$

**4F Proposition** Let  $X$  be a connected Hausdorff space and  $\mathbb{P}$  a forcing notion. Then

(a) If  $X$  is compact,

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is connected.}$$

(b) If  $X$  is analytic,

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is connected.}$$

**4G Corollary** Let  $X$  be a Hausdorff space such that for any two points  $x, y \in X$  there is a connected compact set containing both. (For instance,  $X$  might be path-connected.) Then for any forcing notion  $\mathbb{P}$ ,

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is connected.}$$

**4H** For completeness, I set out two elementary remarks.

(a) If  $X$  is not connected then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is not connected.}$$

(For if  $U$  is a non-trivial open-and-closed subset of  $X$ , then

$$\Vdash_{\mathbb{P}} \tilde{U} \text{ is a non-trivial open-and-closed subset of } \tilde{X}.)$$

(b) If  $X$  is not compact, then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is not compact.}$$

**4I Metric spaces: Theorem** Let  $(X, \rho)$  be a metric space.

(a) There is a  $\mathbb{P}$ -name  $\tilde{\rho}$  such that

$$\Vdash_{\mathbb{P}} \tilde{\rho} \text{ is a metric on } \tilde{X} \text{ defining its topology, and } \dot{\varphi} : \tilde{X} \rightarrow \tilde{X} \text{ is an isometry for } \tilde{\rho} \text{ and } \dot{\rho}.$$

(b) If  $(X, \rho)$  is complete, then

$$\Vdash_{\mathbb{P}} (\tilde{X}, \tilde{\rho}) \text{ is complete.}$$

**4J** When studying random and Cohen forcing, among others, it is often useful to know when a name for a Borel set in  $\tilde{X}$  can be represented, in the manner of 2E, by a set  $W \subseteq Z \times X$  which factors through a continuous function from  $Z$  to

$\{0, 1\}^{\mathbb{N}}$ . Here I collect some simple cases in which this can be done, in preparation for §8 below.

**Proposition** Let  $\mathbb{P}$  be a forcing notion and  $Z$  the Stone space of its regular open algebra. Write  $\mathcal{B}\mathfrak{a}(Z)$  for the Baire  $\sigma$ -algebra of  $Z$ . Let  $X$  be a Hausdorff space and  $\Sigma$  a  $\sigma$ -algebra of subsets of  $X$  including a base for the topology of  $X$ . I will say that a  $\mathbb{P}$ -name  $\dot{E}$  is  **$(\mathcal{B}\mathfrak{a}, \Sigma)$ -representable** if there is a  $W \in \mathcal{B}\mathfrak{a}(Z) \hat{\otimes} \Sigma$  such that

$$\Vdash_{\mathbb{P}} \dot{E} = \vec{W},$$

defining  $\vec{W}$  as in 2E.

(a) Suppose that  $X$  is second-countable and that

$$\Vdash_{\mathbb{P}} \dot{E} \text{ is a Borel subset of } \tilde{X}.$$

If *either*  $\mathbb{P}$  is ccc *or* there is an  $\alpha < \omega_1$  such that

$$\Vdash_{\mathbb{P}} \dot{E} \text{ is of Borel class at most } \alpha,$$

then  $\dot{E}$  is  $(\mathcal{B}\mathfrak{a}, \Sigma)$ -representable.

(b) Suppose that  $\mathbb{P}$  is ccc.

(i) If

$$\Vdash_{\mathbb{P}} \dot{E} \text{ is a compact } G_{\delta} \text{ set}$$

then  $\dot{E}$  is  $(\mathcal{B}\mathfrak{a}, \Sigma)$ -representable.

(ii) If  $X$  is compact and

$$\Vdash_{\mathbb{P}} \dot{E} \in \mathcal{B}\mathfrak{a}(\tilde{X}),$$

then  $\dot{E}$  is  $(\mathcal{B}\mathfrak{a}, \Sigma)$ -representable.

## 5 Cardinal functions

**5A Theorem** Let  $\mathbb{P}$ ,  $(X, \mathfrak{T})$  and  $\tilde{X}$  be as in §2A, and  $\theta$  a cardinal.

(a) If the weight  $w(X)$  of  $X$  is  $\theta$  then

$$\Vdash_{\mathbb{P}} w(\tilde{X}) \leq \#(\check{\theta}).^1$$

(b) If the  $\pi$ -weight  $\pi(X)$  of  $X$  is  $\theta$  then

$$\Vdash_{\mathbb{P}} \pi(\tilde{X}) \leq \#(\check{\theta}).$$

(c) If the density  $d(X)$  of  $X$  is  $\theta$  then

$$\Vdash_{\mathbb{P}} d(\tilde{X}) \leq \#(\check{\theta}).$$

(d) If the saturation  $\text{sat}(X)$  of  $X$  is  $\theta$  then

$$\Vdash_{\mathbb{P}} \text{sat}(\tilde{X}) \geq \#(\check{\theta}).$$

**5B Theorem** Let  $\mathbb{P}$ ,  $Z$ ,  $(X, \mathfrak{T})$  and  $\tilde{X}$  be as in §2, and  $\theta$  a cardinal.

(a) If  $X$  is compact and  $w(X) = \theta$ , then

$$\Vdash_{\mathbb{P}} w(\tilde{X}) = \#(\check{\theta}).$$

(b) If  $X$  is metrizable and  $w(X) = \theta$ , then

<sup>1</sup>Recall that  $\Vdash_{\mathbb{P}} \check{\theta}$  is an ordinal, but that in many cases  $\Vdash_{\mathbb{P}} \check{\theta}$  is not a cardinal.

$$\Vdash_{\mathbb{P}} w(\tilde{X}) = \#(\tilde{\theta}).$$

**5C Theorem** Suppose that GCH is true, and that  $\mathbb{P}$  is any forcing notion.

(a) Let  $\mathfrak{A}$  be a Dedekind complete Boolean algebra and set  $\kappa = \pi(\mathfrak{A})$ . Then

$$\Vdash_{\mathbb{P}} \pi(\tilde{\mathfrak{A}}) = \#(\tilde{\kappa}).$$

(b) Let  $X$  be a regular topological space and set  $\kappa = \pi(X)$ . Then

$$\Vdash_{\mathbb{P}} \pi(\tilde{X}) = \#(\tilde{\kappa}).$$

(c) Let  $\mathfrak{A}$  be any Boolean algebra and set  $\kappa = \pi(\mathfrak{A})$ . Then

$$\Vdash_{\mathbb{P}} \pi(\tilde{\mathfrak{A}}) = \#(\tilde{\kappa}).$$

**5D Proposition** Let  $X$  be a ccc Hausdorff space, and  $\mathbb{P}$  a productively ccc forcing notion. Then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is ccc.}$$

**5E Proposition** Suppose that  $X$  is a hereditarily ccc compact Hausdorff space and that  $\mathbb{P}$  is a forcing notion such that  $\omega_1$  is a precaliber of  $\mathbb{P}$ . Then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is hereditarily ccc.}$$

## 6 Radon measures

**6A Theorem** Let  $(X, \mathfrak{T}, \Sigma, \mu)$  be a Radon measure space, and  $\mathbb{P}$  a forcing notion. Let  $\tilde{\mu}$  be the  $\mathbb{P}$ -name

$$\{((\tilde{A}, (\mu A)^\vee), \mathbb{1}) : A \in \mathcal{UB}(X)\}.$$

Then

$$\Vdash_{\mathbb{P}} \text{ there is a unique Radon measure on } \tilde{X} \text{ extending } \tilde{\mu}.$$

**Remark** Perhaps a note is in order on the interpretation of the formula  $(\mu A)^\vee$ . If we take a real number  $\alpha$  to be the set of rational numbers less than or equal to  $\alpha$ , then  $\check{\alpha}$  becomes a  $\mathbb{P}$ -name for a real number. If, in this context, we interpret  $\infty$  as the set of all rational numbers, then we can equally regard  $\check{\infty} = \check{\mathbb{Q}}$  as a  $\mathbb{P}$ -name for the top point of the two-point compactification of the reals.

**6B Theorem** Let  $\mathbb{P}$  be a forcing notion. Let  $\langle (X_i, \mathfrak{T}_i, \Sigma_i, \mu_i) \rangle_{i \in I}$  be a family of Radon probability spaces such that  $J = \{i : i \in I, X_i \text{ is not compact}\}$  is countable. Let  $\mu$  be the product Radon measure on  $X = \prod_{i \in I} X_i$ . Let  $\tilde{\mu}$ ,  $\tilde{\mu}_i$ , for  $i \in I$ , be  $\mathbb{P}$ -names for Radon measures on  $\tilde{X}$ ,  $\tilde{X}_i$  respectively, defined as in 6A. Then

$$\Vdash_{\mathbb{P}} \tilde{\mu} \text{ can be identified with the Radon product of } \langle \tilde{\mu}_i \rangle_{i \in \bar{I}}.$$

**6C** I extract a couple of simple facts about quasi-Radon measures for use in the next theorem.



**Lemma** Let  $(X, \mathfrak{T}, \Sigma, \mu)$  be a quasi-Radon measure space, and  $(\mathfrak{A}, \bar{\mu})$  its measure algebra.

(a) For every  $E \in \Sigma$  there is an  $A \in \mathcal{UB}(X)$  such that  $A \subseteq E$  and  $E \setminus A$  is negligible.

(b) If  $\mathcal{U}$  is any base for  $\mathfrak{T}$  closed under finite unions, then  $\{U^\bullet : U \in \mathcal{U}\}$  is dense in  $\mathfrak{A}$  for the measure-algebra topology.

**6D Theorem** Let  $(X, \mathfrak{T}, \Sigma, \mu)$  be a Radon measure space, and  $(\mathfrak{A}, \bar{\mu})$  its measure algebra. Let  $\mathbb{P}$  be a forcing notion, and  $\dot{\mu}$  a  $\mathbb{P}$ -name for a Radon measure on  $\tilde{X}$  as described in 6A; let  $(\dot{\mathfrak{A}}, \dot{\mu})$  be a  $\mathbb{P}$ -name such that

$$\Vdash_{\mathbb{P}} (\dot{\mathfrak{A}}, \dot{\mu}) \text{ is the measure algebra of } \dot{\mu}.$$

Let  $\dot{\omega}$  be the  $\mathbb{P}$ -name

$$\{((A^\bullet)^\smile, \tilde{A}^\bullet), \mathbb{1} : A \in \mathcal{UB}(X)\}.$$

Then

$\Vdash_{\mathbb{P}} \dot{\omega}$  is a measure-preserving Boolean homomorphism from  $(\dot{\mathfrak{A}}, \dot{\mu})$  to  $(\dot{\mathfrak{A}}, \dot{\mu})$ , and  $\dot{\omega}[\dot{\mathfrak{A}}]$  is dense in  $\dot{\mathfrak{A}}$  for the measure-algebra topology. ■

**6E Proposition** Let  $\mathbb{P}$  be a well-pruned Souslin tree, active upwards. Then there is a compact Hausdorff space  $X$  such that every Radon measure on  $X$  has metrizable support, but

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ has a subspace homeomorphic to } \{0, 1\}^{\omega_1}.$$

$\text{Mah}_{\mathbb{R}}(X) = \{0, \omega\}$  but

$$\Vdash_{\mathbb{P}} \text{Mah}_{\mathbb{R}}(\tilde{X}) \neq \{0, \omega\}.$$

## 11 Possibilities

Here I collect some conjectures which look as if they might sometime be worth exploring.

**11B** Let  $X, Y$  be Hausdorff spaces,  $\mathbb{P}$  a forcing notion and  $Z$  the Stone space of  $\text{RO}(\mathbb{P})$ .

(a) If  $Z_0 \subseteq Z$  is comeager and  $h : Z_0 \times X \rightarrow Y$  is continuous, then

$$\Vdash_{\mathbb{P}} \vec{h} \text{ is a continuous function from } \tilde{X} \text{ to } \tilde{Y}.$$

**11D** Let  $X, Y$  be Hausdorff spaces and  $\mathbb{P}$  a forcing notion.

(a) If  $R \subseteq X \times Y$  is an usco-compact relation, then

$$\Vdash_{\mathbb{P}} \tilde{R} \subseteq \tilde{X} \times \tilde{Y} \text{ is usco-compact.}$$

(b) If  $X$  is K-analytic then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is K-analytic.}$$

(c) If  $X$  is analytic then

$$\Vdash_{\mathbb{P}} \tilde{X} \text{ is analytic.}$$

**11G** Let  $\mathbb{P}$  be a forcing notion and  $Z$  the Stone space of its regular open algebra.

(a) If  $X$  is a K-analytic Hausdorff space,  $Y$  is a compact metrizable space and  $\dot{h}$  is a  $\mathbb{P}$ -name such that

$$\Vdash_{\mathbb{P}} \dot{h} \text{ is a continuous function from } \tilde{X} \text{ to } \tilde{Y},$$

then there is a function  $h : X \rightarrow Y$  such that

$$\Vdash_{\mathbb{P}} \dot{h} = \vec{h}.$$

(b) If  $X$  is a K-analytic Hausdorff space,  $\alpha < \omega_1$  and  $\dot{E}$  is a  $\mathbb{P}$ -name such that

$$\Vdash_{\mathbb{P}} \dot{E} \in \mathcal{B}\mathbf{a}_\alpha(\tilde{X}),$$

then there are a comeager set  $Z_0 \subseteq Z$  and a  $W \in \mathcal{B}\mathbf{a}_\alpha(Z_0 \times X)$  such that

$$\Vdash_{\mathbb{P}} \dot{E} = \vec{W}.$$

## 12 Problems

**12A** Suppose that  $\text{add}\mathcal{N} = \kappa < \text{add}\mathcal{M}$ , where  $\mathcal{N}$ ,  $\mathcal{M}$  are the Lebesgue null ideal and the ideal of meager subsets of  $\mathbb{R}$ . Then there is a family  $\langle E_\xi \rangle_{\xi < \kappa}$  of Borel subsets of  $[0, 1]$  such that  $A = \bigcup_{\xi < \kappa} E_\xi$  is not Lebesgue measurable, therefore not universally Baire-property, by 1C. But if  $Z$  is any Polish space and  $f : Z \rightarrow [0, 1]$  is continuous,  $f^{-1}[A]$  has the Baire property in  $Z$  (cf. MATHERON SOLECKI & ZELENÝ P05).

However, we can still ask: is there an example in ZFC of a Polish space  $X$  and a set  $A \subseteq X$  such that  $f^{-1}[A] \in \widehat{\mathcal{B}}(Z)$  whenever  $Z$  is Polish and  $f : Z \rightarrow X$  is continuous, but  $A \notin \widehat{\mathcal{U}\mathcal{B}}(X)$ ?

**12B** In Theorem 5C, is there a corresponding result for topological density, or for centering numbers of Boolean algebras?

**12C** In Corollary 7C, do we have a converse? that is, can  $\tilde{\phi}$  belong to a Baire class lower than the first Baire class containing  $\phi$ ?

**12D** In Theorem 6I, what can we do for non-Borel sets  $W \subseteq Z \times X$ ? Maybe we can reach a class closed under Souslin's operation. What about arbitrary  $W \in \widehat{\mathcal{U}\mathcal{B}}(Z \times X)$ ?

**12E** In Proposition 3F, are there any other natural classes of topological space for which 3Fb or 3Fc will be valid? What about analytic Hausdorff spaces?

**12F** In Theorem 2G, can we characterize those  $V \subseteq Z \times X$  for which  $\Vdash_{\mathbb{P}} \vec{V}$  is compact?

**12G** In Proposition 8I, can we characterize those  $(\Sigma, \mathcal{U}\widehat{\mathcal{B}}(X))$ -measurable functions  $g$  for which there is a  $\mathbb{P}$ -name  $\dot{x}$  such that  $\llbracket \dot{x} \in \tilde{F} \rrbracket = g^{-1}[F]^\bullet$  for every  $F \in \mathcal{U}\widehat{\mathcal{B}}(X)$ ?

**12H** In Theorem 4A, can we add

if  $X$  is a Hausdorff  $k$ -space, then  $\Vdash_{\mathbb{P}} \tilde{X}$  is a  $k$ -space,

if  $X$  is compact, Hausdorff and path-connected, then  $\Vdash_{\mathbb{P}} \tilde{X}$  is path-connected? ■

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### Appendix: Namba forcing

**A1** Let  $X$  be a set and  $\mathcal{I}$  a proper ideal of subsets of  $X$ . Consider the forcing notion  $\mathbb{P}$  defined by saying that  $\mathbb{P}$  is the set of those  $p \subseteq \bigcup_{n \in \mathbb{N}} X^n$  such that

$\sigma \upharpoonright n \in p$  whenever  $\sigma \in p$  and  $n \in \mathbb{N}$

there is an element  $\text{stem}(p)$  of  $p$  such that for every  $\sigma \in p$

either  $\sigma \subseteq \text{stem}(p)$

or  $\text{stem}(p) \subseteq \sigma$  and  $\{x : \sigma \hat{\ } \langle x \rangle \in p\} \notin \mathcal{I}$ ,

where, for  $\sigma \in X^n$  and  $x \in X$ ,  $\sigma \hat{\ } \langle x \rangle = \sigma \cup \{(n, x)\} \in X^{n+1}$ ; and that  $p$  is stronger than  $q$  if  $p \subseteq q$ . I will call this the  $(X, \mathcal{I})$ -Namba forcing notion; when  $X = \kappa$  is an infinite cardinal and  $\mathcal{I} = [\kappa]^{<\kappa}$  I will call it the  $\kappa$ -Namba forcing notion.

Note that if  $p$  is stronger than  $q$  then  $\text{stem}(p) \supseteq \text{stem}(q)$ .

**A2 Theorem** Let  $X$  be a set,  $\mathcal{I}$  a proper ideal of subsets of  $X$  with additivity and saturation greater than  $\omega_1$ , and  $\mathbb{P}$  the  $(X, \mathcal{I})$ -Namba forcing notion. If  $S \subseteq \omega_1$  is stationary then

$$\Vdash_{\mathbb{P}} \check{S} \text{ is stationary in } \check{\omega}_1.$$

**Remark** As for any forcing notion,

$$\Vdash_{\mathbb{P}} \check{\omega}_1 \text{ is a non-zero limit ordinal.}$$

We do not yet know that

$$\Vdash_{\mathbb{P}} \check{\omega}_1 \text{ is a cardinal}$$

(this will be considered in A3 below), so we need to say: if  $\alpha$  is an ordinal, a subset  $A$  of  $\alpha$  is ‘stationary’ if it meets every relatively closed subset of  $\alpha$  which is cofinal with  $\alpha$ . If  $\alpha$  is a non-zero limit ordinal of countable cofinality, this can happen only if  $\sup(\alpha \setminus A) < \alpha$ , of course.

**A3 Corollary** If  $X$  is a set,  $\mathcal{I}$  is a proper ideal of subsets of  $X$  which is  $\omega_2$ -additive and not  $\omega_1$ -saturated, and  $\mathbb{P}$  is the  $(X, \mathcal{I})$ -Namba forcing notion, then

$$\Vdash_{\mathbb{P}} \check{\omega}_1 \text{ is a cardinal.}$$

**A4 Proposition** If  $\kappa$  is an infinite cardinal and  $\mathbb{P}$  is the  $\kappa$ -Namba forcing notion,

$$\Vdash_{\mathbb{P}} \text{cf } \check{\kappa} = \omega.$$

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