

## Maharam algebras

D.H.FREMLIN

*University of Essex, Colchester, England; Université Paris VII*

### 1 Foundations

**1A Definitions** (See FREMLIN 04, §392.) Let  $\mathfrak{A}$  be a Boolean algebra.

(a)(i) A **submeasure** on  $\mathfrak{A}$  is a functional  $\nu : \mathfrak{A} \rightarrow [0, \infty[$  such that

$\nu$  is **subadditive**, that is,  $\nu(a \cup b) \leq \nu a + \nu b$  for all  $a, b \in \mathfrak{A}$ ,

$\nu 0_{\mathfrak{A}} = 0$ ,  $\nu a \leq \nu b$  whenever  $a \subseteq b \in \mathfrak{A}$ .

(ii) Let  $\nu$  be a submeasure on  $\mathfrak{A}$ .  $\nu$  is **exhaustive** if  $\lim_{n \rightarrow \infty} \nu a_n = 0$  for every disjoint sequence  $\langle a_n \rangle_{n \in \mathbb{N}}$  in  $\mathfrak{A}$ .  $\nu$  is **uniformly exhaustive** if for every  $\epsilon > 0$  there is an  $n \in \mathbb{N}$  such that  $\inf_{a \in A} \nu a < \epsilon$  for every disjoint set  $A \subseteq \mathfrak{A}$  of size greater than  $n$ .  $\nu$  is **strictly positive** if  $\nu a > 0$  for every non-zero  $a \in \mathfrak{A}$ .  $\nu$  is **countably subadditive** if  $\nu(\sup_{n \in \mathbb{N}} a_n) \leq \sum_{n=0}^{\infty} \nu a_n$  whenever  $\langle a_n \rangle_{n \in \mathbb{N}}$  is a sequence in  $\mathfrak{A}$  with a supremum in  $\mathfrak{A}$ .  $\nu$  is a **Maharam submeasure** if  $\lim_{n \rightarrow \infty} \nu a_n = 0$  whenever  $\langle a_n \rangle_{n \in \mathbb{N}}$  is a non-increasing sequence in  $\mathfrak{A}$  with infimum 0.  $\nu$  is **atomless** if whenever  $a \in \mathfrak{A}$  and  $\nu a > 0$  there is a  $b \subseteq a$  such that  $\nu b > 0$  and  $\nu(a \setminus b) > 0$ .  $\nu$  is **unital** if  $\nu 1_{\mathfrak{A}} = 1$ .  $\nu$  is **additive** if  $\nu(a \cup b) = \nu a + \nu b$  for all disjoint  $a, b \subseteq \mathfrak{A}$ .  $\nu$  is **completely additive** if it is additive and  $\inf_{a \in A} \nu a = 0$  whenever  $A$  is a non-empty downwards-directed set in  $\mathfrak{A}$  with infimum 0 (see FREMLIN 04, 326J).  $\nu$  is **pathological** if it is non-zero and there is no non-zero additive functional  $\mu$  on  $\mathfrak{A}$  such that  $0 \leq \mu a \leq \nu a$  for every  $a \in \mathfrak{A}$ .  $\nu$  is a **Ramsey submeasure** (ZAPLETAL P06) if  $\inf_{m < n \in \mathbb{N}} \nu(a_m \cup a_n) \leq \sup_{n \in \mathbb{N}} \nu a_n$  for every sequence  $\langle a_n \rangle_{n \in \mathbb{N}}$  in  $\mathfrak{A}$ .  $\nu$  is **diffuse** (Farah) if for every  $\epsilon > 0$  there is a finite partition  $D$  of the identity such that  $\nu d \leq \epsilon$  for every  $d \in D$ .

(iii) If  $\mu$  and  $\nu$  are two submeasures on  $\mathfrak{A}$ , I say that  $\mu$  is **absolutely continuous** with respect to  $\nu$  if for every  $\epsilon > 0$  there is a  $\delta > 0$  such that  $\mu a \leq \epsilon$  whenever  $\nu a \leq \delta$ .

(b)  $\mathfrak{A}$  is a **Maharam algebra** (VELIČKOVIĆ 05) if it is Dedekind complete and there is a strictly positive Maharam submeasure on  $\mathfrak{A}$ .  $\mathfrak{A}$  is a **measurable algebra** (FREMLIN 04, §391) if it is Dedekind complete and there is a strictly positive additive Maharam submeasure on  $\mathfrak{A}$ . (For an example of a Maharam algebra which is not measurable, see TALAGRAND 06 or FREMLIN N06.)  $\mathfrak{A}$  is **chargeable** if it has a strictly positive additive submeasure (FREMLIN 04, 391X). If  $\mathfrak{A}$  is Dedekind  $\sigma$ -complete, I will say that it is **nowhere measurable** if no non-zero principal ideal of  $\mathfrak{A}$  is a measurable algebra.

(c)  $\mathfrak{A}$  is **weakly**  $(\sigma, \infty)$ -**distributive** (FREMLIN 04, §316) if for every sequence  $\langle C_n \rangle_{n \in \mathbb{N}}$  of partitions of unity in  $\mathfrak{A}$  there is a partition  $D$  of unity in  $\mathfrak{A}$  such that  $\{c : c \in C_n, c \cap d \neq 0\}$  is finite for every  $n \in \mathbb{N}$  and every  $d \in D$ .  $\mathfrak{A}$  is **weakly**  $\sigma$ -**distributive** if for every sequence  $\langle C_n \rangle_{n \in \mathbb{N}}$  of countable partitions of unity in  $\mathfrak{A}$  there is a partition  $D$  of unity in  $\mathfrak{A}$  such that  $\{c : c \in C_n, c \cap d \neq 0\}$  is finite for every  $n \in \mathbb{N}$  and every  $d \in D$ . Note that every weakly  $(\sigma, \infty)$ -distributive algebra is weakly  $\sigma$ -distributive, and that a ccc weakly  $\sigma$ -distributive algebra is weakly  $(\sigma, \infty)$ -distributive.

If  $\kappa$  is any cardinal,  $\mathfrak{A}$  is **weakly**  $(\kappa, \infty)$ -**distributive** if whenever  $\langle C_\xi \rangle_{\xi < \kappa}$  is a family of partitions of unity in  $\mathfrak{A}$ , there is a partition  $D$  of unity such that  $\{c : c \in C_\xi, c \cap d \neq 0\}$  is finite for every  $d \in D$  and  $\xi < \kappa$ . Now the **weak distributivity**  $\text{wdistr}(\mathfrak{A})$  of  $\mathfrak{A}$  is the least cardinal  $\kappa$  such that  $\mathfrak{A}$  is not weakly  $(\kappa, \infty)$ -distributive. (If there is no such cardinal, write  $\text{wdistr}(\mathfrak{A}) = \infty$ .)

(d)  $\mathfrak{A}$  is  **$\sigma$ -finite-cc** (condition (ii) of HORN & TARSKI 48, Theorem 2.4) if there is a sequence  $\langle A_n \rangle_{n \in \mathbb{N}}$  of sets with union  $\mathfrak{A}$  such that no infinite subset of any  $A_n$  is disjoint; it is  **$\sigma$ -bounded-cc** (condition (ii)' of HORN & TARSKI 48, p. 482) if there is a sequence  $\langle A_n \rangle_{n \in \mathbb{N}}$  of sets with union  $\mathfrak{A}$  such that no  $A_n$  includes a disjoint set of size greater than  $n$ . For cardinals  $\kappa, \lambda$  and  $\theta$ , say that  $(\kappa, \lambda, <\theta)$  is a **precaliber triple** of  $\mathfrak{A}$  if for every family  $\langle a_\xi \rangle_{\xi < \kappa}$  in  $\mathfrak{A}^+ = \mathfrak{A} \setminus \{0\}$  there is a  $\Gamma \in [\kappa]^\lambda$  such that  $\inf_{\xi \in I} a_\xi \neq 0$  for every  $I \in [\Gamma]^{<\theta}$  (see FREMLIN 08?, §511). I will say that  $(\kappa, \lambda, \theta)$  is a precaliber triple of  $\mathfrak{A}$  if  $(\kappa, \lambda, <\theta^+)$  is a precaliber triple of  $\mathfrak{A}$ . [If  $(\omega_1, \omega_1, n)$  is a precaliber triple of  $\mathfrak{A}$ ,  $\mathfrak{A}$  is said to have **property  $\mathbf{K}_n$** .]

I will examine a further chain condition on a Boolean algebra in §§2D and 6A:

(\*)  $\mathfrak{A} = \bigcup_{n \in \mathbb{N}} A_n$  where every infinite subset of every  $A_n$  has an infinite centered subset.

(e) A sequence  $\langle a_n \rangle_{n \in \mathbb{N}}$  in  $\mathfrak{A}$  **order\*-converges** to  $a \in \mathfrak{A}$  (FREMLIN 04, §§367 and 392) if there is a partition  $C$  of unity in  $\mathfrak{A}$  such that  $\{n : c \cap (a_n \triangle a) \neq 0\}$  is finite for every  $c \in C$ . The **order-sequential topology** on  $\mathfrak{A}$  (FREMLIN 04, §392; compare BALCAR GŁOWCZYŃSKI & JECH 98) is the topology for which a set  $F \subseteq \mathfrak{A}$  is closed iff  $a \in F$  whenever  $\langle a_n \rangle_{n \in \mathbb{N}}$  is a sequence in  $F$  order\*-converging to  $a$ .

**1B Elementary remarks (a)(i)** Any Maharam submeasure is sequentially order-continuous. **P** Let  $\mu$  be a Maharam submeasure on a Boolean algebra  $\mathfrak{A}$ . ( $\alpha$ ) If  $\langle a_n \rangle_{n \in \mathbb{N}}$  is non-decreasing and has supremum  $a$ , then  $\langle a \setminus a_n \rangle_{n \in \mathbb{N}}$  is non-increasing and has infimum 0; now

$$\mu a_n \leq \mu a \leq \mu a_n + \mu(a \setminus a_n)$$

for each  $n$ , so

$$\lim_{n \rightarrow \infty} |\mu a - \mu a_n| \leq \lim_{n \rightarrow \infty} \mu(a \setminus a_n) = 0.$$

( $\beta$ ) If  $\langle a_n \rangle_{n \in \mathbb{N}}$  is non-increasing and has infimum  $a$ , then  $\langle a_n \setminus a \rangle_{n \in \mathbb{N}}$  is non-increasing and has infimum 0; now

$$\lim_{n \rightarrow \infty} |\mu a - \mu a_n| \leq \lim_{n \rightarrow \infty} \mu(a_n \setminus a) = 0. \quad \mathbf{Q}$$

(ii) A Maharam submeasure on a Dedekind  $\sigma$ -complete Boolean algebra is exhaustive (FREMLIN 04, 392Hc).

(iii) Any Boolean algebra with a strictly positive exhaustive submeasure (in particular, any Maharam algebra) is  $\sigma$ -finite-cc therefore ccc.

(b) If  $\mathfrak{A}$  is a Boolean algebra and  $\nu$  is an exhaustive submeasure on  $\mathfrak{A}$  which is sequentially order-continuous on the left (that is,  $\nu a = \sup_{n \in \mathbb{N}} \nu a_n$  whenever  $\langle a_n \rangle_{n \in \mathbb{N}}$  is a non-decreasing sequence in  $\mathfrak{A}$  with supremum  $a$ ) then  $\nu$  is a Maharam submeasure. **P** If  $\langle a_n \rangle_{n \in \mathbb{N}}$  is a non-increasing sequence in  $\mathfrak{A}$  with infimum 0, then  $\nu a_n = \lim_{i \rightarrow \infty} \nu(a_n \setminus a_i)$  for each  $n$ , so we can choose a strictly increasing sequence  $\langle n_k \rangle_{k \in \mathbb{N}}$  such that  $\nu(a_{n_k} \setminus a_{n_{k+1}}) \geq \nu a_{n_k} - 2^{-k}$  for each  $k$ ; now

$$\lim_{n \rightarrow \infty} \nu a_n = \lim_{k \rightarrow \infty} \nu a_{n_k} = \lim_{k \rightarrow \infty} (\nu a_{n_k} \setminus a_{n_{k+1}}) = 0. \quad \mathbf{Q}$$

(c) Let  $\mathfrak{A}$  be a Boolean algebra. (i) If  $\mathfrak{A}$  is  $\sigma$ -finite-cc then any subalgebra of  $\mathfrak{A}$  is  $\sigma$ -finite-cc. (If  $\langle A_n \rangle_{n \in \mathbb{N}}$  witnesses that  $\mathfrak{A}$  is  $\sigma$ -finite-cc, and  $\mathfrak{B}$  is a subalgebra of  $\mathfrak{A}$ , then  $\langle A_n \cap \mathfrak{B} \rangle_{n \in \mathbb{N}}$  will witness that  $\mathfrak{B}$  is  $\sigma$ -finite-cc.) (ii) If  $\mathfrak{A}$  has an order-dense  $\sigma$ -finite-cc subalgebra  $\mathfrak{B}$ , then  $\mathfrak{A}$  is  $\sigma$ -finite-cc. (If  $\langle B_n \rangle_{n \in \mathbb{N}}$  witnesses that  $\mathfrak{B}$  is  $\sigma$ -finite-cc, set  $A_n = \{a : a \in \mathfrak{A}, b \subseteq a \text{ for some } b \in B_n\}$  for each  $n$ ; then  $\langle A_n \rangle_{n \in \mathbb{N}}$  will witness that  $\mathfrak{A}$  is  $\sigma$ -finite-cc.) (iii) If  $\mathfrak{A}$  has an order-dense weakly  $(\sigma, \infty)$ -distributive subalgebra  $\mathfrak{B}$  then  $\mathfrak{A}$  is weakly  $(\sigma, \infty)$ -distributive. (If  $\langle C_n \rangle_{n \in \mathbb{N}}$  is a sequence of partitions of unity in  $\mathfrak{A}$ , then for each  $n \in \mathbb{N}$  we can find a partition of unity  $C'_n$  in  $\mathfrak{B}$  refining  $C_n$ . Now there is a partition  $D$  of unity in  $\mathfrak{B}$  such that  $\{c : c \in C'_n, c \cap d \neq 0\}$  is finite for every  $n \in \mathbb{N}$  and  $d \in D$ ; in this case,  $D$  is still a partition of unity in  $\mathfrak{A}$  and  $\{c : c \in C_n, c \cap d \neq 0\}$  is finite for every  $n \in \mathbb{N}$  and  $d \in D$ .)

**1C Lemma** Let  $\mathfrak{A}$  be a Dedekind  $\sigma$ -complete Boolean algebra and  $\nu$  an atomless Maharam submeasure on  $\mathfrak{A}$ .

- (a) If  $a \in \mathfrak{A}$  and  $0 \leq \gamma \leq \nu a$  there is a  $b \in \mathfrak{A}$  such that  $b \subseteq a$  and  $\nu b = \gamma$ .
- (b)  $\nu$  is diffuse.

**proof (a)(i)** Note first that if  $\delta > 0$ ,  $c \in \mathfrak{A}$  and  $\nu c > 0$  then there is a  $d \subseteq c$  such that  $0 < \nu d \leq \delta$ . **P** Choose  $\langle c_n \rangle_{n \in \mathbb{N}}$  inductively so that  $c_0 = c$ ,  $c_{n+1} \subseteq c_n$ ,  $\nu c_{n+1} > 0$  and  $\nu(c_n \setminus c_{n+1}) > 0$  for every  $n$ . By 1Ba,  $\nu$  is exhaustive. So  $\lim_{n \rightarrow \infty} \nu(c_n \setminus c_{n+1}) = 0$ , and we can take  $d = c_n \setminus c_{n+1}$  for an appropriate  $n$ . **Q**

(ii) Choose  $\langle b_n \rangle_{n \in \mathbb{N}}$  inductively, as follows.  $b_0 = 0$ . Given that  $b_n \subseteq a$ , set  $\gamma_n = \sup\{\nu c : b_n \subseteq c \subseteq a, \nu c \leq \gamma\}$  and choose  $b_{n+1}$  such that  $b_n \subseteq b_{n+1} \subseteq a$ ,  $\nu b_{n+1} \leq \gamma$  and  $\nu b_{n+1} \geq \gamma_n - 2^{-n}$ . Set  $b = \sup_{n \in \mathbb{N}} b_n$ ; then  $\langle b \setminus b_n \rangle_{n \in \mathbb{N}}$  is non-increasing and has infimum 0, so  $\lim_{n \rightarrow \infty} \nu(b \setminus b_n) = 0$  and  $\nu b = \lim_{n \rightarrow \infty} \nu b_n \leq \gamma$ .

If  $b \subseteq b' \subseteq a$  and  $\nu b' \leq \gamma$ , then  $\nu b' = \nu b$ . **P?** Otherwise, there is an  $n \in \mathbb{N}$  such that  $\nu b < \nu b' - 2^{-n}$ . But observe that  $b_n \subseteq b$  and  $\nu b \leq \gamma$ , so  $\nu b_n \geq \nu b' - 2^{-n}$ . **XQ**

**?** Suppose, if possible, that  $\nu b < \gamma$ . Let  $D$  be a maximal disjoint family in  $\mathfrak{A}$  such that  $0 < \nu d \leq \gamma - \nu b$  and  $b \cap d = 0$  for every  $d \in D$ . Because  $\nu$  is exhaustive,  $D$  must be countable; let  $\langle d_n \rangle_{n \in \mathbb{N}}$  run over  $D \cup \{0\}$ . By the last remark, we can induce on  $n$  to see that  $\nu(b \cup \sup_{i \leq n} d_i) = \nu b$  for every  $n \in \mathbb{N}$ . Set  $b^* = b \cup \sup_{i \in \mathbb{N}} d_i$ ; then

$$\nu b^* = \lim_{n \rightarrow \infty} \nu(b \cup \sup_{i \leq n} d_i) = \nu b < \gamma,$$

and  $\nu(a \setminus b^*) \geq \nu a - \nu b^* > 0$ . By (a), there is a  $d \subseteq a \setminus b^*$  such that  $0 < \nu d \leq \gamma - \nu b^*$ . So we ought to have put  $d$  into  $D$ . **X**

Thus  $\nu b = \gamma$ , as required.

**(b)** Let  $A_0 \subseteq \mathfrak{A}$  be a maximal disjoint set such that  $\nu a = \epsilon$  for every  $a \in A_0$ . Because  $\nu$  is exhaustive (1B(a-ii)),  $A_0$  is finite. Set  $c = 1 \setminus \sup A_0$ ; by (a),  $\nu c < \epsilon$ . So we can take  $A = A_0 \cup \{c\}$ .

**1D Proposition** Let  $\mathfrak{A}$  be a weakly  $(\sigma, \infty)$ -distributive Boolean algebra and  $\nu : \mathfrak{A} \rightarrow [0, \infty[$  a functional such that  $\nu b \leq \nu a$  whenever  $b \subseteq a$ . Set

$$\mu a = \inf \{ \sup_{c \in C} \nu c : C \subseteq \mathfrak{A} \text{ is non-empty and upwards-directed and } \sup C = a \}.$$

- (a)  $\mu b \leq \mu a$  whenever  $b \subseteq a$  in  $\mathfrak{A}$ .
- (b) If  $\nu a > 0$  for every non-zero  $a \in \mathfrak{A}$  then  $\mu a > 0$  for every non-zero  $a \in \mathfrak{A}$ .
- (c)  $\mu$  is sequentially order-continuous on the left, that is,  $\mu a = \sup_{n \in \mathbb{N}} \mu a_n$  whenever  $\langle a_n \rangle_{n \in \mathbb{N}}$  is a non-decreasing sequence with supremum  $a$ .
- (d) If  $\nu$  is subadditive, so is  $\mu$ .
- (e) If  $\nu$  is an exhaustive submeasure,  $\mu$  is a Maharam submeasure.
- (f) If  $\nu$  is a uniformly exhaustive submeasure, so is  $\mu$ .
- (g) If  $\nu$  is additive,  $\mu$  is countably additive.

**proof (a)** If  $b \subseteq a$  and  $C$  is an upwards-directed set with supremum  $a$ , then  $\{b \cap c : c \in C\}$  is an upwards-directed set with supremum  $b$ ; so  $\mu b \leq \mu a$ .

**(b)** If  $\mu a = 0$ , then for each  $n \in \mathbb{N}$  we can find a non-empty upwards-directed set  $C_n$  such that  $\sup C_n = a$  and  $\sup_{b \in C_n} \nu b \leq 2^{-n}$ . Set

$$C = \{c : \text{there is some } n \in \mathbb{N} \text{ such that for every } m \geq n \\ \text{there is a } b \in C_m \text{ such that } b \supseteq c\}.$$

Then  $C$  is upwards-directed and (because  $\mathfrak{A}$  is weakly  $(\sigma, \infty)$ -distributive)  $\sup C = a$ . But  $\nu c = 0$  for every  $c \in C$  so (because  $\nu$  is strictly positive)  $C = \{0\}$  and  $a = 0$ . Thus  $\mu$  is strictly positive.

**(c)** Suppose that  $\langle a_n \rangle_{n \in \mathbb{N}}$  is a non-decreasing sequence in  $\mathfrak{A}$  with supremum  $a$ , then of course  $\mu a \geq \sup_{n \in \mathbb{N}} \mu a_n$ . Now suppose that  $\alpha > \sup_{n \in \mathbb{N}} \mu a_n$ . For each  $n \in \mathbb{N}$ , we have a non-empty upwards-directed set  $B_n$  such that  $\sup B_n = a_n$  and  $\nu b \leq \alpha$  for every  $b \in B_n$ . Set

$$C = \{c : \text{there is some } n \in \mathbb{N} \text{ such that for every } m \geq n \\ \text{there is a } b \in B_m \text{ such that } b \supseteq c\}.$$

Then (as in (b))  $C$  is upwards-directed and  $\sup C = a$ . So  $\mu a \leq \sup_{c \in C} \nu c \leq \alpha$ . As  $\alpha$  is arbitrary,  $\mu a = \sup_{n \in \mathbb{N}} \mu a_n$ .

**(d)** If  $a, a' \in \mathfrak{A}$ ,  $B$  is a non-empty upwards-directed set with supremum  $a$ , and  $B'$  is a non-empty upwards-directed set with supremum  $a'$ , then  $C = \{b \cup b' : b \in B, b' \in B'\}$  is a non-empty upwards-directed set with supremum  $a \cup a'$ . If  $\nu$  is subadditive,

$$\mu(a \cup a') \leq \sup_{c \in C} \nu c \leq \mu a + \mu a';$$

thus  $\mu$  is subadditive.

**(e)** If  $\nu$  is an exhaustive submeasure, then  $\mu$  is exhaustive, because  $\mu \leq \nu$ . By 1Bb,  $\mu$  is a Maharam submeasure.

**(f)** If  $\nu$  is uniformly exhaustive, so is  $\mu$ , because  $\mu \leq \nu$ .

(g) If  $\nu$  is additive and  $a, a' \in \mathfrak{A}$  are disjoint, then  $\mu(a \cup a') \geq \mu a + \mu a'$ . **P** If  $C$  is non-empty, upwards directed and has supremum  $a$ , then  $B = \{c \cap a : c \in C\}$  and  $B' = \{c \cap a' : c \in C\}$  are upwards-directed with suprema  $a, a'$  respectively. So

$$\mu a + \mu a' \leq \sup_{b \in B} \nu b + \sup_{b' \in B'} \nu b' = \sup_{b \in B, b' \in B'} \nu(b \cup b') \leq \sup_{c \in C} \nu c.$$

because  $C$  is upwards-directed. As  $C$  is arbitrary,  $\mu a + \mu a' \leq \mu(a \cup a')$ . **Q** But we know already that  $\mu$  is subadditive, so it must be additive. Now it is actually countably additive because it is a Maharam submeasure.

**1E Proposition** Let  $\mathfrak{A}$  be a Boolean algebra and  $\mu$  a strictly positive exhaustive Maharam submeasure on  $\mathfrak{A}$ .

- (a)  $\mu$  is order-continuous.
- (b)  $\mu$  has a unique extension to a strictly positive Maharam submeasure  $\hat{\mu}$  on the Dedekind completion  $\widehat{\mathfrak{A}}$  of  $\mathfrak{A}$ , so  $\widehat{\mathfrak{A}}$  is a Maharam algebra.
- (c)(i)  $\hat{\mu}$  is uniformly exhaustive iff  $\mu$  is.
- (ii)  $\hat{\mu}$  is additive iff  $\mu$  is.

**proof (a)** Because  $\mu$  is strictly positive and exhaustive,  $\mathfrak{A}$  is ccc (1Ba(iii)); because  $\mu$  is sequentially order-continuous (1Ba(i)),  $\mu$  is order-continuous (FREMLIN 04, 316Fc).

(b) For  $d \in \widehat{\mathfrak{A}}$ , set  $\hat{\mu}d = \inf\{\mu a : d \subseteq a \in \mathfrak{A}\}$ . Then  $\hat{\mu}$  extends  $\mu$ , and  $\hat{\mu}d \leq \hat{\mu}d'$  whenever  $d \subseteq d'$  in  $\widehat{\mathfrak{A}}$ . If  $d, d' \in \widehat{\mathfrak{A}}$  then

$$\begin{aligned} \hat{\mu}(d \cup d') &= \inf\{\mu a : (d \cup d') \subseteq a \in \mathfrak{A}\} \leq \inf\{\mu(a \cup a') : d \subseteq a \in \mathfrak{A}, d' \subseteq a' \in \mathfrak{A}\} \\ &\leq \inf\{\mu a + \mu a' : d \subseteq a \in \mathfrak{A}, d' \subseteq a' \in \mathfrak{A}\} = \hat{\mu}d + \hat{\mu}d'. \end{aligned}$$

Thus  $\hat{\mu}$  is a submeasure. If  $d \in \widehat{\mathfrak{A}}$  is non-zero, there is a non-zero  $a \in \mathfrak{A}$  such that  $a \subseteq d$ , in which case  $\hat{\mu}d \geq \mu a > 0$ ; so  $\hat{\mu}$  is strictly positive. If  $\langle d_n \rangle_{n \in \mathbb{N}}$  is a non-increasing sequence in  $\widehat{\mathfrak{A}}$  with infimum 0, then  $A = \{a : a \in \mathfrak{A}, a \supseteq d_n \text{ for some } n \in \mathbb{N}\}$  is downwards-directed and has infimum 0 in  $\widehat{\mathfrak{A}}$  and therefore in  $\mathfrak{A}$ . Because  $\mu$  is order-continuous,

$$\inf_{n \in \mathbb{N}} \hat{\mu}d_n = \inf_{a \in A} \mu a = 0.$$

As  $\langle d_n \rangle_{n \in \mathbb{N}}$  is arbitrary,  $\hat{\mu}$  is a Maharam submeasure. By 1Ba(ii) (or otherwise), it is exhaustive.

(c)(i) If  $\mu$  is uniformly exhaustive and  $\epsilon > 0$ , let  $n \in \mathbb{N}$  be such that  $\min_{i \leq n} \mu a_i \leq \epsilon$  whenever  $a_0, \dots, a_n \in \mathfrak{A}$  are disjoint. If now  $d_0, \dots, d_n \in \widehat{\mathfrak{A}}$  are disjoint and  $\eta > 0$ , we have  $\hat{\mu}d_i = \sup\{\mu a : a \in \mathfrak{A}, a \subseteq d_i\}$  for each  $i$ , because  $\hat{\mu}$  is order-continuous, by (a) here (or otherwise). Take  $a_i \subseteq d_i$  such that  $\hat{\mu}a_i \geq \hat{\mu}d_i - \eta$ ; then  $a_0, \dots, a_n$  are disjoint, so

$$\min_{i \leq n} \hat{\mu}d_i \leq \eta + \min_{i \leq n} \hat{\mu}a_i \leq \eta + \min_{i \leq n} \mu a_i \leq \eta + \epsilon.$$

As  $\eta$  and  $\epsilon$  are arbitrary,  $\hat{\mu}$  is uniformly exhaustive.

In the other direction, if  $\hat{\mu}$  is uniformly exhaustive then  $\mu = \hat{\mu} \upharpoonright \mathfrak{A}$  must be uniformly exhaustive.

(ii) If  $\mu$  is additive and  $d, d' \in \mathfrak{A}$  are disjoint, set  $A = \{a : a \in \mathfrak{A}, a \subseteq d\}$  and  $A' = \{a : a \in \mathfrak{A}, a \subseteq d'\}$ . Then  $A, A'$  and  $B = \{a \cup a' : a \in A, a' \in A'\}$  are upwards-directed with suprema  $d, d'$  and  $d \cup d'$  respectively. So

$$\hat{\mu}(d \cup d') = \sup_{b \in B} \mu b = \sup_{a \in A, a' \in A'} \mu(a \cup a') = \sup_{a \in A, a' \in A'} \mu a + \mu a' = \hat{\mu}d + \hat{\mu}d'.$$

As  $d$  and  $d'$  are arbitrary,  $\hat{\mu}$  is additive.

In the other direction, if  $\hat{\mu}$  is additive then  $\mu = \hat{\mu} \upharpoonright \mathfrak{A}$  must be additive.

**1F Proposition** (a) Let  $\mathfrak{A}$  be a Dedekind  $\sigma$ -complete Boolean algebra. Then it is nowhere measurable iff the only completely additive functional on  $\mathfrak{A}$  is the zero functional.

(b) Let  $\mathfrak{A}$  be a Maharam algebra, not  $\{0\}$ , and  $\nu$  a strictly positive Maharam submeasure on  $\mathfrak{A}$ . Then  $\nu$  is pathological iff  $\mathfrak{A}$  is nowhere measurable.

**proof (a)** Suppose that  $\mathfrak{A}$  is nowhere measurable, and that  $\nu$  is a non-negative completely additive functional on  $\mathfrak{A}$ . By the Hahn decomposition theorem (FREMLIN 04, 326O), there is an element  $a = \llbracket \nu > 0 \rrbracket$  of  $\mathfrak{A}$  such that  $\nu b > 0$  if  $0 \neq b \subseteq a$  and  $\nu b \leq 0$  if  $b \cap a = 0$ . Now  $\nu \upharpoonright \mathfrak{A}_a$  witnesses that  $\mathfrak{A}_a$  is measurable, so  $a = 0$  and  $\nu = 0$ .

Conversely, if  $\mathfrak{A}$  is not nowhere measurable, let  $a \in \mathfrak{A}^+$  be such that  $\mathfrak{A}_a$  is a measurable algebra. Let  $\mu : \mathfrak{A}_a \rightarrow [0, 1]$  be a strictly positive measure, and set  $\nu b = \mu(a \cap b)$  for  $b \in \mathfrak{A}$ ; then  $\nu$  is a non-zero completely additive functional on  $\mathfrak{A}$ .

**(b)(i)** If  $\mathfrak{A}$  is nowhere measurable and  $\mu$  is an additive functional such that  $0 \leq \mu a \leq \nu a$  for every  $a \in \mathfrak{A}$ , then  $\mu$  must be completely additive. **P** If  $\langle a_n \rangle_{n \in \mathbb{N}}$  is a non-increasing sequence with infimum 0,

$$\lim_{n \rightarrow \infty} \mu a_n = \inf_{n \in \mathbb{N}} \mu a_n \leq \inf_{n \in \mathbb{N}} \nu a_n = 0.$$

So  $\mu$  is countably additive; because  $\mathfrak{A}$  is ccc,  $\mu$  is completely additive. **Q** By (a),  $\mu = 0$ ; as  $\mu$  is arbitrary,  $\nu$  is pathological.

**(ii)** If  $\mathfrak{A}$  is not nowhere measurable, let  $\mu$  be a non-zero non-negative completely additive functional on  $\mathfrak{A}$ ; re-scaling  $\mu$ , we may suppose that  $\mu 1 = \nu 1$ . Set  $C = \{c : \nu c < \mu c\}$ , and let  $D \subseteq C$  be a maximal disjoint set; set  $b = \sup D$ . Then either  $b = 0$  or  $\nu b \leq \sum_{d \in D} \nu d < \sum_{d \in D} \mu d = \mu b$ . So  $b \neq 1$ ; setting  $a = 1 \setminus b$ , we have  $\mu c \leq \nu c$  for every  $c \in \mathfrak{A}_a$ . Now take  $\mu' c = \mu(a \cap c)$  for every  $c \in \mathfrak{A}$ ; then  $\mu'$  is a non-zero non-negative additive functional and  $\mu' \leq \nu$ , so  $\nu$  is not pathological.

**1G Lemma** (CHRISTENSEN 78) Let  $\nu$  be a pathological unital submeasure on a Boolean algebra  $\mathfrak{A}$ . Then for every  $\epsilon > 0$  there is a non-empty finite family  $\langle b_i \rangle_{i \in I}$  in  $\mathfrak{A}$  such that  $\nu b_i \leq \epsilon$  for every  $i \in I$  and  $\sup_{i \in J} b_i = 1$  whenever  $J \subseteq I$  and  $\#(J) \geq \epsilon \#(I)$ .

**proof ?** Suppose, if possible, otherwise. Set  $C = \{1 \setminus b : \nu b \leq \epsilon\}$ . Then  $C$  has intersection number at least  $\epsilon$ , so there is an additive functional  $\mu : \mathfrak{A} \rightarrow [0, 1]$  such that  $\mu 1 = 1$  and  $\mu c \geq \epsilon$  for every  $c \in C$  (FREMLIN 04, 391I).

Choose  $\langle b_n \rangle_{n \in \mathbb{N}}$  inductively, as follows. Given  $\langle b_i \rangle_{i < n}$ , set

$$\delta_n = \sup\{\mu b : b \cap b_i = 0 \text{ for every } i < n, \nu b \leq \epsilon \mu b\},$$

and take  $b_n$  such that  $b_n \cap b_i = 0$  for every  $i < n$ ,  $\nu b_n \leq \epsilon \mu b_n$  and  $\mu b_n \geq \frac{1}{2} \delta_n$ . Note that  $\langle b_n \rangle_{n \in \mathbb{N}}$  is disjoint; set  $b'_n = \sup_{i < n} b_i$  for each  $n$ ; then

$$\nu b'_n \leq \sum_{i=0}^{n-1} \nu b_i \leq \epsilon \sum_{i=0}^{n-1} \mu b_i = \epsilon \mu b'_n \leq \epsilon$$

for every  $n$ , so  $\mu(1 \setminus b'_n) \geq \epsilon$  for every  $n$ .

Set  $\lambda a = \lim_{n \rightarrow \infty} \mu(a \setminus b'_n)$  for  $a \in \mathfrak{A}$ . Then  $\lambda$  is a finitely additive functional and  $\lambda 1 \geq \epsilon$ . Because  $\nu$  is pathological, there is an  $a \in \mathfrak{A}$  such that  $\nu a < \epsilon \lambda a$ . If  $n \in \mathbb{N}$ , then  $a \setminus b'_n$  is disjoint from  $b_i$  for each  $i < n$ , while

$$\nu(a \setminus b'_n) \leq \nu a \leq \epsilon \lambda a \leq \epsilon \mu(a \setminus b'_n).$$

So  $\mu(a \setminus b'_n) \leq \delta_n$  and

$$\lambda a \leq \delta_n \leq 2 \mu b_n.$$

And this has to be true for every  $n$ , so  $\sum_{n=0}^{\infty} \mu b_n = \infty$ , which is impossible. **X**

**1H Proposition** A simple product of a countable family of Maharam algebras is a Maharam algebra.

**proof** Let  $\langle \mathfrak{A}_i \rangle_{i \in I}$  be a countable family of Maharam algebras and  $\mathfrak{A}$  its simple product. Then  $\mathfrak{A}$  is Dedekind complete (FREMLIN 04, 315De). For each  $i \in I$ , let  $\nu_i$  be a strictly positive Maharam submeasure on  $\mathfrak{A}_i$ ; let  $\langle \epsilon_i \rangle_{i \in I}$  be a family of strictly positive real numbers such that  $\sum_{i \in I} \epsilon_i < \infty$ . Set  $\nu(\langle a_i \rangle_{i \in I}) = \sum_{i \in I} \min(\epsilon_i, \nu_i a_i)$  for  $\langle a_i \rangle_{i \in I} \in \mathfrak{A}$ ; it is easy to verify that  $\nu$  is a strictly positive Maharam submeasure on  $\mathfrak{A}$ , so that  $\mathfrak{A}$  is a Maharam algebra.

**1I The Loomis-Sikorski representation: Theorem** (a) Let  $X$  be a set,  $\Sigma$  a  $\sigma$ -algebra of subsets of  $X$ , and  $\mu$  a Maharam submeasure on  $\Sigma$ . Then  $\mathfrak{A} = \Sigma / \mu^{-1}\{0\}$  is a Maharam algebra, with a strictly positive Maharam submeasure  $\bar{\mu}$  defined by setting  $\bar{\mu} E = \mu E$  for every  $E \in \Sigma$ .

(b) Let  $\mathfrak{A}$  be a Maharam algebra, and  $X$  its Stone space; write  $\mathcal{B}\mathfrak{a}(X)$  for the Baire  $\sigma$ -algebra of  $X$ , and  $\mathcal{M}(X)$  for the ideal of meager subsets of  $X$ . Then

- (i) every member of  $\mathcal{M}(X)$  is included in a nowhere dense zero set;
- (ii)  $\mathfrak{A} \cong \mathcal{B}\mathfrak{a}(X)/\mathcal{B}\mathfrak{a}(X) \cap \mathcal{M}(X)$ ;
- (iii) there is a Maharam submeasure  $\mu$  on  $\mathcal{B}\mathfrak{a}(X)$  such that  $\mu^{-1}[\{0\}] = \mathcal{B}\mathfrak{a}(X) \cap \mathcal{M}(X)$ .

**proof (a)** Vér. fac.

(b) Because  $\mathfrak{A}$  is weakly  $(\sigma, \infty)$ -distributive, every meager set in  $X$  is nowhere dense (FREMLIN 04, 316I). Because  $\mathfrak{A}$  and  $X$  are ccc, every nowhere dense set in  $X$  is included in a nowhere dense zero set.

**P** If  $E$  is nowhere dense, let  $\mathcal{G}$  be a maximal disjoint family of cozero sets not meeting  $E$ ; then  $\mathcal{G}$  is countable so  $\bigcup \mathcal{G}$  is cozero, and its complement is a nowhere dense zero set including  $E$ . **Q** Consequently  $\mathfrak{A} \cong \mathcal{B}\mathfrak{a}(X)/\mathcal{B}\mathfrak{a}(X) \cap \mathcal{M}(X)$  (see the proof of 314L in FREMLIN 04).

Let  $\pi : \mathcal{B}\mathfrak{a}(X) \rightarrow \mathfrak{A}$  be the corresponding Boolean homomorphism. Then  $\pi$  is sequentially order-continuous (FREMLIN 04, 313Pb). Let  $\bar{\mu}$  be a strictly positive Maharam submeasure on  $\mathfrak{A}$ ; then  $\mu = \bar{\mu}\pi$  is a Maharam submeasure on  $\mathcal{B}\mathfrak{a}(X)$  and  $\mu^{-1}[\{0\}] = \mathcal{B}\mathfrak{a}(X) \cap \mathcal{M}(X)$ .

**1J Maharam-algebra topologies (a)** Let  $\mathfrak{A}$  be a Dedekind  $\sigma$ -complete Boolean algebra,  $\mu$  a strictly positive countably subadditive submeasure on  $\mathfrak{A}$  and  $\nu$  a Maharam submeasure on  $\mathfrak{A}$ . Then  $\nu$  is absolutely continuous with respect to  $\mu$ . **P?** Otherwise, there is a sequence  $\langle a_n \rangle_{n \in \mathbb{N}}$  in  $\mathfrak{A}$  and  $\epsilon > 0$  such that  $\mu a_n \leq 2^{-n}$  and  $\nu a_n \geq \epsilon$  for every  $n$ . Set  $b_n = \sup_{m \geq n} a_m$ ; then  $\mu b_n \leq 2^{-n+1}$  for every  $n \in \mathbb{N}$ . Set  $b = \inf_{n \in \mathbb{N}} b_n$ ; then  $\mu b = 0$  so  $b = 0$ . As  $\langle b_n \rangle_{n \in \mathbb{N}}$  is non-increasing,  $\lim_{n \rightarrow \infty} \nu b_n = 0$ ; but  $\nu b_n \geq \nu a_n \geq \epsilon$  for every  $n$ . **XQ**

(b) If  $\mathfrak{A}$  is a Boolean algebra and  $\mu$  is a strictly positive submeasure on  $\mathfrak{A}$ , then we have a metric  $\rho$  on  $\mathfrak{A}$  defined by setting  $\rho(a, b) = \mu(a \triangle b)$  for all  $a, b \in \mathfrak{A}$ . If  $\mathfrak{A}$  is a Maharam algebra and  $\mu$  is a Maharam submeasure, the topology generated by  $\rho$  is the order-sequential topology of  $\mathfrak{A}$ . **P** (i) Suppose that  $F \subseteq \mathfrak{A}$  is closed for the order-sequential topology and that  $a \in \mathfrak{A}$  belongs to the  $\rho$ -closure of  $F$ . Then there is a sequence  $\langle a_n \rangle_{n \in \mathbb{N}}$  in  $F$  such that  $\mu(a_n \triangle a) \leq 2^{-n}$  for every  $n \in \mathbb{N}$ . Set  $b_n = \sup_{m \geq n} a_m \triangle a$  for each  $n$ ; then  $\langle b_n \rangle_{n \in \mathbb{N}}$  is non-increasing and has infimum 0. So  $\langle a_n \rangle_{n \in \mathbb{N}}$  order\*-converges to  $a$  and  $a \in F$ . As  $a$  is arbitrary,  $F$  is  $\rho$ -closed. (ii) Suppose that  $F$  is  $\rho$ -closed and that  $\langle a_n \rangle_{n \in \mathbb{N}}$  is a sequence in  $F$  which order\*-converges to  $a \in \mathfrak{A}$ . Again set  $b_n = \sup_{m \geq n} a_m \triangle a$  for each  $n$ ; again,  $\langle b_n \rangle_{n \in \mathbb{N}}$  is non-increasing and has infimum 0. So  $\inf_{n \in \mathbb{N}} \rho(a_n, a) \leq \inf_{n \in \mathbb{N}} \mu b_n = 0$  and  $a \in F$ . As  $\langle a_n \rangle_{n \in \mathbb{N}}$  and  $a$  are arbitrary,  $F$  is closed for the order-sequential topology. **Q**

**1K Modular functionals** Recall that a real-valued functional  $f$  on a lattice  $P$  is called **supermodular** if  $f(p \vee q) + f(p \wedge q) \geq f(p) + f(q)$  for all  $p, q \in P$ ; **submodular** (also **strongly subadditive** when  $P$  is a Boolean algebra and  $f$  is non-negative) if  $f(p \vee q) + f(p \wedge q) \leq f(p) + f(q)$  for all  $p, q \in P$ ; and **modular** if it is both supermodular and submodular. Now we have the following fact.

**Proposition (a)** A supermodular submeasure is uniformly exhaustive.

(b) A submodular exhaustive submeasure is uniformly exhaustive.

**proof (a)** Let  $\mathfrak{A}$  be an algebra of sets and  $\nu$  a supermodular submeasure on  $\mathfrak{A}$ . Identifying  $\mathfrak{A}$  with the lattice of open-and-closed sets in its Stone space, Theorem 413P in FREMLIN 03 tells us that there is an additive  $\mu : \mathfrak{A} \rightarrow [0, \infty[$  such that  $\mu a \geq \nu a$  for every  $a \in \mathfrak{A}$ ; now  $\mu$  is uniformly exhaustive so  $\nu$  also is.

(b)(i) If  $\mathfrak{A}$  is a Boolean algebra and  $\nu$  is a non-zero submodular submeasure on  $\mathfrak{A}$ , there is a non-zero additive  $\mu : \mathfrak{A} \rightarrow [0, \infty[$  such that  $\mu a \leq \nu a$  for every  $a \in \mathfrak{A}$ . **P** Set  $\nu' a = \nu 1 - \nu(1 \setminus a)$  for  $a \in \mathfrak{A}$ . It is easy to check that  $\nu' : \mathfrak{A} \rightarrow [0, \infty[$  is order-preserving and supermodular, while  $\nu' 0 = 0$ . Again applying FREMLIN 03, 413P, in the Stone space of  $\mathfrak{A}$ , we have an additive functional  $\mu : \mathfrak{A} \rightarrow [0, \infty[$  such that  $\mu 1 = \nu' 1 = \nu 1$  and  $\mu a \geq \nu' a$  for every  $a \in \mathfrak{A}$ . Now

$$\mu a = \mu 1 - \mu(1 \setminus a) \leq \nu 1 - \nu'(1 \setminus a) = \nu a$$

for every  $a \in \mathfrak{A}$ .

(ii) If  $\mathfrak{A}$  is a Dedekind complete Boolean algebra with a strictly positive submodular Maharam submeasure, there is a non-zero  $c \in \mathfrak{A}$  such that the principal ideal  $\mathfrak{A}_c$  is a measurable algebra. **P** Let  $\nu$  be a strictly positive submodular Maharam submeasure on  $\mathfrak{A}$ . By (i), there is a non-zero additive functional

$\mu$  on  $\mathfrak{A}$  such that  $\mu \leq \nu$ ; it follows that  $\mu$  is countably additive, therefore completely additive (since  $\mathfrak{A}$  is ccc). Let  $c$  be the support of  $\mu$  (FREMLIN 02, 326O); then  $\mu c > 0$  and  $\mu \upharpoonright \mathfrak{A}_c$  is strictly positive, so  $\mathfrak{A}_c$  is measurable. **Q**

(iii) It follows immediately that if  $\mathfrak{A}$  is a Dedekind complete Boolean algebra with a strictly positive submodular Maharam submeasure, it is itself a measurable algebra.

(iv) Now suppose only that  $\mathfrak{A}$  is a Boolean algebra with a submodular exhaustive submeasure  $\nu$ . Set  $I = \{a : \nu a = 0\}$ ,  $\mathfrak{C} = \mathfrak{A}/I$ ; then we have a submodular exhaustive submeasure  $\bar{\nu}$  on  $\mathfrak{C}$  defined by setting  $\bar{\nu} a^\bullet = \nu a$  for every  $a \in \mathfrak{A}$ . Let  $\widehat{\mathfrak{C}}$  be the metric completion of  $\mathfrak{C}$  and  $\widehat{\nu}$  the continuous extension of  $\bar{\nu}$  to  $\widehat{\mathfrak{C}}$ , as in FREMLIN 02, 393B; then  $\widehat{\nu}$  is a strictly positive submodular Maharam submeasure on  $\widehat{\mathfrak{C}}$ , so  $\widehat{\mathfrak{C}}$  is a measurable algebra and  $\widehat{\nu}$  is uniformly exhaustive. Accordingly  $\bar{\nu}$  and  $\nu$  are uniformly exhaustive.

**1L Proposition** (ZAPLETAL P06, 4.3.12) Let  $\nu$  be a Ramsey submeasure on a Boolean algebra  $\mathfrak{A}$ . If  $\langle a_n \rangle_{n \in \mathbb{N}}$  is a sequence in  $\mathfrak{A}$  and  $\sup_{n \in \mathbb{N}} \nu a_n < \gamma$ , there is an infinite set  $I \subseteq \mathbb{N}$  such that  $\nu(\sup_{i \in I \cap n} a_i) \leq \gamma$  for every  $n \in \mathbb{N}$ .

**proof** Let  $\langle \gamma_n \rangle_{n \in \mathbb{N}}$  be a strictly increasing sequence such that  $\gamma_0 = \sup_{n \in \mathbb{N}} \nu a_n$  and  $\gamma_n < \gamma$  for every  $n$ . Choose  $\langle i_n \rangle_{n \in \mathbb{N}}$ ,  $\langle c_n \rangle_{n \in \mathbb{N}}$  and  $\langle J_n \rangle_{n \in \mathbb{N}}$  inductively, as follows.  $J_0 = \mathbb{N}$ ,  $c_0 = 0$ . Given that  $\nu(c_n \cup a_j) \leq \gamma_n$  for every  $j \in J_n$ , then, because  $\nu$  is a Ramsey submeasure, any infinite subset of  $J_n$  contains distinct  $i, j$  such that  $\nu(c_n \cup a_i \cup a_j) \leq \gamma_{n+1}$ . By Ramsey's theorem, there is an infinite  $J_{n+1} \subseteq J_n$  such that  $\nu(c_n \cup a_i \cup a_j) \leq \gamma_{n+1}$  for all  $i, j \in J_n$ . Take  $i_n \in J_{n+1} \setminus n$  and set  $c_{n+1} = c_n \cup a_{i_n}$ ; continue.

Now set  $I = \{i_n : n \in \mathbb{N}\}$ .

**1M The lattice of submeasures** Let  $\mathfrak{A}$  be a Boolean algebra and  $M$  the set of submeasures on  $\mathfrak{A}$ .

(a) If  $\langle \mu_i \rangle_{i \in I}$  is a family in  $M$ , then it is bounded above in  $M$  iff  $\sup_{i \in I} \mu_i 1$  is finite, and in this case its supremum  $\mu$  is given by  $\mu a = \sup_{i \in I} \mu_i a$  for every  $a \in \mathfrak{A}$  (counting  $\sup \emptyset$  as 0).

Consequently  $M$  is a Dedekind complete lattice.

(b) If  $\langle \mu_i \rangle_{i \in I}$  is a non-empty family in  $M$ , its infimum  $\mu$  is given by

$$\mu a = \inf \left\{ \sum_{i \in J} \mu_i a_i : J \subseteq I \text{ is finite, } a \subseteq \sup_{i \in J} a_i \right\}$$

for every  $a \in \mathfrak{A}$ .

(c) If  $\mathfrak{A}$  is Dedekind  $\sigma$ -complete and  $\mu, \nu$  are two Maharam submeasures on  $\mathfrak{A}$  such that  $\mu \wedge \nu = 0$ , there is a  $c \in \mathfrak{A}$  such that  $\mu c = \nu(1 \setminus c) = 0$ . **P** For each  $n \in \mathbb{N}$  there is an  $a_n \in \mathfrak{A}$  such that  $\mu a_n + \nu(1 \setminus a_n) \leq 2^{-n}$ ; set  $c = \inf_{n \in \mathbb{N}} \sup_{m \geq n} a_m$ . **Q**

## 2 Sequences in Maharam algebras

**2A Lemma** Let  $\mathfrak{A}$  be a ccc Boolean algebra, and  $\langle a_n \rangle_{n \in \mathbb{N}}$  a sequence in  $\mathfrak{A}$ . Then

either there is an infinite  $I \subseteq \mathbb{N}$  such that  $\langle a_i \rangle_{i \in I}$  order\*-converges to 0

or there are a non-zero  $d \in \mathfrak{A}$  and an infinite  $I \subseteq \mathbb{N}$  such that  $\sup_{i \in J} d \cap a_i = d$  for every infinite  $J \subseteq I$ .

**proof ?** Suppose, if possible, otherwise. Choose inductively families  $\langle I_\xi \rangle_{\xi < \omega_1}$  in  $[\mathbb{N}]^\omega$  and  $\langle c_\xi \rangle_{\xi < \omega_1}$  in  $\mathfrak{A}^+$  as follows.  $I_0 = \mathbb{N}$ . Given  $\langle I_\eta \rangle_{\eta \leq \xi}$  such that  $I_\eta \setminus I_\zeta$  is finite whenever  $\zeta \leq \eta \leq \xi$ , we are supposing that  $\langle a_i \rangle_{i \in I_\xi}$  does not order\*-converge to 0. Set  $C_\xi = \{c : c \in \mathfrak{A}, \{i : i \in I_\xi, a_i \cap c \neq 0\} \text{ is finite}\}$ . Then  $C_\xi$  does not include any partition of unity; as  $c \in C_\xi$  whenever  $c \subseteq c' \in C_\xi$ , it follows that there is a  $b \in \mathfrak{A}^+$  such that  $b \cap c = 0$  for every  $c \in C_\xi$ . Now there must be an infinite  $I_{\xi+1} \subseteq I_\xi$  such that  $b$  is not the supremum of  $\{b \cap a_i : i \in I_{\xi+1}\}$ ; let  $c_\xi \subseteq b$  be a non-zero element such that  $c_\xi \cap a_i = 0$  for every  $i \in I_{\xi+1}$ . Note that now  $I_\eta \setminus I_\zeta$  is finite whenever  $\zeta \leq \eta \leq \xi + 1$ , so that the induction continues. At non-zero countable limit ordinals  $\xi$ , let  $I_\xi \in [\mathbb{N}]^\omega$  be such that  $I_\xi \setminus I_\eta$  is finite for every  $\eta < \xi$ , and carry on.

Now observe that because  $I_\xi \setminus I_\eta$  is finite,  $C_\eta \subseteq C_\xi$  whenever  $\eta \leq \xi$ .  $I_{\eta+1}$  is constructed so that  $c_\eta \in C_{\eta+1}$ , and therefore  $c_\eta \cap c_\xi = 0$  whenever  $\eta < \xi$ . But this means that we have an uncountable disjoint family  $\langle c_\xi \rangle_{\xi < \omega_1}$  in  $\mathfrak{A}^+$ , and  $\mathfrak{A}$  is not ccc. **X**

**2B Theorem** (VELIČKOVIĆ 05, Theorem 2) If  $\mathfrak{A}$  is an atomless Maharam algebra, there is a sequence  $\langle a_n \rangle_{n \in \mathbb{N}}$  in  $\mathfrak{A}$  such that  $\sup_{n \in I} a_n = 1$  and  $\inf_{n \in I} a_n = 0$  for every infinite  $I \subseteq \mathbb{N}$ .

**proof (a)** Fix a strictly positive Maharam submeasure  $\nu$  on  $\mathfrak{A}$ . Before embarking on the main argument, let me note a simple fact. If  $\langle a_n \rangle_{n \in \mathbb{N}}$  is a sequence in  $\mathfrak{A}$  order\*-converging to 0,  $\lim_{n \rightarrow \infty} \nu a_n = 0$ . **P** Let  $C$  be a partition of unity in  $\mathfrak{A}$  such that  $\{n : a_n \cap c \neq 0\}$  is finite for every  $n \in \mathbb{N}$ . Then  $C$  is countable; enumerate it as  $\langle c_k \rangle_{k \in \mathbb{N}}$ . Set  $b_m = 1 \setminus \sup_{k \leq m} c_k$  for each  $m \in \mathbb{N}$ ; then  $\langle b_m \rangle_{m \in \mathbb{N}}$  is non-increasing and has infimum 0, so  $\lim_{m \rightarrow \infty} \nu b_m = 0$ . But each  $b_m$  includes all but finitely many of the  $a_n$ , so  $\lim_{n \rightarrow \infty} \nu a_n = 0$ . **Q** Turning this round: if  $\langle a_n \rangle_{n \in \mathbb{N}}$  is a sequence in  $\mathfrak{A}$  such that  $\inf_{n \in \mathbb{N}} \nu a_n > 0$ , it can have no subsequence order\*-converging to 0, so by Lemma 2A there are a non-zero  $d \in \mathfrak{A}$  and an infinite  $I \subseteq \mathbb{N}$  such that  $d = \sup_{i \in J} d \cap a_i$  for every infinite  $J \subseteq I$ .

(b) Let us say that a Boolean algebra  $\mathfrak{A}$  **splits reals** if there is a sequence  $\langle a_n \rangle_{n \in \mathbb{N}}$  in  $\mathfrak{A}$  such that  $\sup_{n \in I} a_n = 1$  and  $\inf_{n \in I} a_n = 0$  for every infinite  $I \subseteq \mathbb{N}$ . Now if  $\mathfrak{A}$  is a Maharam algebra, the set of those  $d \in \mathfrak{A}$  such that the principal ideal  $\mathfrak{A}_d$  generated by  $d$  splits reals is order-dense in  $\mathfrak{A}$ . **P** Let  $a \in \mathfrak{A}^+$ .

(i) If  $\nu \upharpoonright \mathfrak{A}_a$  is uniformly exhaustive, then  $\mathfrak{A}_a$  is measurable (KALTON & ROBERTS 83, or FREMLIN 04, 392J). Let  $\bar{\mu}$  be a probability measure on  $\mathfrak{A}_a$ ; because  $\mathfrak{A}_a$ , like  $\mathfrak{A}$ , is atomless, there is a stochastically independent family  $\langle a_n \rangle_{n \in \mathbb{N}}$  in  $\mathfrak{A}_a$  with  $\bar{\mu} a_n = \frac{1}{2}$  for every  $n$ , and now  $\langle a_n \rangle_{n \in \mathbb{N}}$  witnesses that  $\mathfrak{A}_a$  splits reals.

(ii) If  $\nu \upharpoonright \mathfrak{A}_a$  is not uniformly exhaustive, let  $\langle b_{ni} \rangle_{i \leq n \in \mathbb{N}}$  be a family of elements of  $\mathfrak{A}_a$  such that  $\langle b_{ni} \rangle_{i \leq n}$  is disjoint for each  $n$  and  $\epsilon = \inf_{i \leq n \in \mathbb{N}} \nu b_{ni}$  is greater than 0. Let  $\langle f_\xi \rangle_{\xi < \omega_1}$  be a family in  $\prod_{n \in \mathbb{N}} \{0, \dots, n\}$  such that  $\{n : f_\xi(n) = f_\eta(n)\}$  is finite whenever  $\eta < \xi < \omega_1$ . **?** If for every  $\xi < \omega_1$  and  $I \in [\mathbb{N}]^\omega$  there is a  $J \in [I]^\omega$  such that  $\inf_{i \in J} b_{i, f_\xi(i)} \neq 0$ , choose  $\langle I_\xi \rangle_{\xi < \omega_1}$  inductively so that  $I_\xi \in [\mathbb{N}]^\omega$ ,  $I_\xi \setminus I_\eta$  is finite for every  $\eta < \xi$ , and  $c_\xi = \inf_{i \in I_\xi} b_{i, f_\xi(i)} \neq 0$  for every  $\xi < \omega_1$ . Then whenever  $\eta < \xi$  the set  $I_\xi \cap I_\eta$  is infinite, so there is an  $i \in I_\xi \cap I_\eta$  such that  $f_\xi(i) \neq f_\eta(i)$ ; now  $c_\xi \cap c_\eta \subseteq b_{i, f_\xi(i)} \cap b_{i, f_\eta(i)} = 0$ . But this means that we have an uncountable disjoint family in  $\mathfrak{A}_a$ , which is impossible, because every Maharam algebra is ccc (FREMLIN 04, 392I). **X**

Thus we have a  $\xi < \omega_1$  and an infinite  $I \subseteq \mathbb{N}$  such that  $\inf_{i \in J} d_i = 0$  for every infinite  $J \subseteq I$ , where  $d_i = b_{i, f_\xi(i)}$  for  $i \in I$ . Next, applying (a) to  $\langle d_i \rangle_{i \in I}$ , we have an infinite  $K \subseteq I$  and a  $d \neq 0$  such that  $d = \sup_{i \in J} d_i$  for every infinite  $J \subseteq K$ . But this means that  $\langle d \cap d_i \rangle_{i \in K}$  witnesses that  $\mathfrak{A}_d$  splits reals; while  $d \subseteq a$ .

As  $a$  is arbitrary, we have the result. **Q**

(c) Let  $D \subseteq \mathfrak{A}$  be a partition of unity such that  $\mathfrak{A}_d$  splits reals for every  $d \in D$ ; choose sequences  $\langle a_{dn} \rangle_{n \in \mathbb{N}}$  in  $\mathfrak{A}_d$  witnessing this. Set  $a_n = \sup_{d \in D} a_{dn}$  for each  $n$ . If  $I \subseteq \mathbb{N}$  is infinite, then

$$\sup_{n \in I} a_n = \sup_{d \in D} \sup_{n \in I} a_{dn} = \sup D = 1,$$

while

$$d \cap \inf_{n \in I} a_n = \inf_{n \in I} a_{dn} = 0$$

for every  $d \in D$ , so  $\inf_{n \in I} a_n = 0$ . Thus  $\langle a_n \rangle_{n \in \mathbb{N}}$  witnesses that  $\mathfrak{A}$  splits reals, as claimed.

**Remark** More generally, a ccc Dedekind complete Boolean algebra splits reals iff no non-trivial principal ideal is sequentially compact in the order-sequential topology; see BALCAR JECH & PAZÁK P04, §4.

**2C Corollary** (ZAPLETAL P06, 4.3.23) If  $\mathfrak{A}$  is a Boolean algebra and  $\nu$  is a non-zero diffuse exhaustive submeasure on  $\mathfrak{A}$ ,  $\nu$  is not Ramsey.

**proof (a) ?** Suppose first that  $\mathfrak{A}$  is a non-trivial Maharam algebra and that  $\nu$  is a diffuse Ramsey strictly positive Maharam submeasure on  $\mathfrak{A}$ . Because  $\nu$  is diffuse,  $\mathfrak{A}$  can have no atom. Let  $\langle a_n \rangle_{n \in \mathbb{N}}$  be a sequence in  $\mathfrak{A}$  as in 2B. Set  $\gamma_n = (\frac{1}{2} + 2^{-n-1})\nu 1$  for each  $n$ , and choose  $\langle c_n \rangle_{n \in \mathbb{N}}$  and  $\langle i_n \rangle_{n \in \mathbb{N}}$  inductively, as follows.  $c_0 = 1$ . Given that  $\nu c_n \geq \gamma_n$ ,

$$\sup_{m \geq n} \nu(c_n \cap \sup_{i \in I \cap m} a_i) = \nu(c_n \cap \sup_{i \in I} a_i) = \nu c_n \geq \gamma_n$$

for every infinite  $I \subseteq \mathbb{N} \setminus n$ , so Proposition 1L tells us that  $\sup_{i \geq n} \nu(c_n \cap a_i) \geq \gamma_n$ ; take  $i_n \geq n$  such that  $\nu(c_n \cap a_{i_n}) \geq \gamma_{n+1}$ , and set  $c_{n+1} = c_n \cap a_{i_n}$ . Continue.

We now find that

$$c = \inf_{n \in \mathbb{N}} c_n \subseteq \inf_{n \in \mathbb{N}} a_{i_n} = 0$$

while



$$\nu c = \lim_{n \rightarrow \infty} \nu c_n = 0. \quad \mathbf{X}$$

(b) Thus the result is true in the special case in which  $\nu$  is a strictly positive Maharam submeasure on a Maharam algebra. Now suppose that  $\nu$  is just a strictly positive diffuse exhaustive submeasure on a non-trivial Boolean algebra  $\mathfrak{A}$ . Let  $\widehat{\mathfrak{A}}$  be the metric completion of  $\mathfrak{A}$ , and  $\hat{\nu}$  the canonical extension of  $\nu$  to  $\widehat{\mathfrak{A}}$ , as in FREMLIN 02, 393B. Then  $\hat{\nu}$  is a Maharam submeasure, and is still diffuse. By (a), it is not Ramsey; let  $\langle a_n \rangle_{n \in \mathbb{N}}$  be a sequence in  $\widehat{\mathfrak{A}}$  such that

$$\hat{\nu}(a_m \cup a_n) \geq \gamma > \gamma' \geq \hat{\nu} a_n$$

for all distinct  $m, n \in \mathbb{N}$ . For each  $n \in \mathbb{N}$  we can find an  $a'_n \in \mathfrak{A}$  such that  $\hat{\nu}(a'_n \triangle a_n) \leq \frac{1}{4}(\gamma - \gamma')$ , and now  $\langle a'_n \rangle_{n \in \mathbb{N}}$  witnesses that  $\nu$  is not Ramsey.

(c) Finally, for the case in which  $\nu$  is not strictly positive, let  $I$  be the ideal  $\{a : \nu a = 0\}$ ,  $\mathfrak{B}$  the quotient  $\mathfrak{A}/I$  and  $\nu'$  the submeasure on  $\mathfrak{B}$  defined by setting  $\nu' a^\bullet = \nu a$  for every  $a \in \mathfrak{A}$ . Then  $\nu'$  is diffuse, exhaustive and strictly positive, so is not Ramsey. If  $\langle a_n \rangle_{n \in \mathbb{N}}$  is such that  $\langle a_n^\bullet \rangle_{n \in \mathbb{N}}$  witnesses that  $\nu'$  is not Ramsey,  $\langle a_n \rangle_{n \in \mathbb{N}}$  witnesses that  $\nu$  is not Ramsey, as required.

**2D Lemma** Let  $\mathfrak{A}$  be a Boolean algebra and  $\nu$  an exhaustive submeasure on  $\mathfrak{A}$ . Let  $\langle a_n \rangle_{n \in \mathbb{N}}$  be a sequence in  $\mathfrak{A}$  such that  $\inf_{n \in \mathbb{N}} \nu a_n > 0$ . Then there is an infinite  $I \subseteq \mathbb{N}$  such that  $\{a_n : n \in I\}$  is centered.

**first proof** Set  $I = \{a : \nu a = 0\}$ . Then  $I \triangleleft \mathfrak{A}$ . On the quotient algebra  $\mathfrak{A}/I$  we have an exhaustive submeasure  $\bar{\nu}$  defined by saying that  $\bar{\nu} a^\bullet = \nu a$  for every  $a \in \mathfrak{A}$  (see FREMLIN 04, 392Xd).  $\bar{\nu}$  is strictly positive. We can therefore embed  $(\mathfrak{A}/I, \bar{\nu})$  in  $(\mathfrak{B}, \bar{\nu})$  where  $\mathfrak{B}$  is a Dedekind complete Boolean algebra and  $\bar{\nu}$  is a strictly positive Maharam submeasure on  $\mathfrak{B}$  (FREMLIN 04, 393B). Working in  $\mathfrak{B}$ ,  $\inf_{n \in \mathbb{N}} \bar{\nu} a_n^\bullet > 0$ , so  $b = \inf_{n \in \mathbb{N}} \sup_{m \geq n} a_m^\bullet \neq 0$ ; now take  $I \subseteq \mathbb{N}$  to be maximal such that  $b \cap \inf_{i \in I \cap n} a_i^\bullet \neq 0$  for every  $n$ . In this case  $\langle a_i \rangle_{i \in I}^\bullet$  is centered in  $\mathfrak{B}$  so  $\{a_i : i \in I\}$  is centered in  $\mathfrak{A}$ .

**second proof** For any  $m \in \mathbb{N}$  and  $\epsilon > 0$  there is an  $n \in \mathbb{N}$  such that  $\nu(\sup_{n \leq i < k} a_i \setminus \sup_{m \leq i < n} a_i) \leq \epsilon$  for every  $k \in \mathbb{N}$ . **P?** Otherwise, choose  $\langle n_k \rangle_{k \in \mathbb{N}}$  so that  $n_0 = m$  and  $\nu c_k > \epsilon$  where  $c_k = \sup_{n_k \leq i < n_{k+1}} a_i \setminus \sup_{m \leq i < n_k} a_i$  for every  $k$ . Then  $\langle c_k \rangle_{k \in \mathbb{N}}$  is disjoint, so  $\nu$  is not exhaustive. **XQ**

Set  $\delta = \frac{1}{2} \inf_{n \in \mathbb{N}} \nu a_n$ . Choose a strictly increasing sequence  $\langle m_k \rangle_{k \in \mathbb{N}}$  in  $\mathbb{N}$ , a non-increasing sequence  $\langle c_k \rangle_{k \in \mathbb{N}}$  in  $\mathfrak{A}$ , and  $a_{ki}$ , for  $i, k \in \mathbb{N}$ , as follows.  $m_0 = 0$  and  $a_{0i} = a_i$  for every  $i$ . Given that  $\nu a_{kn} \geq (1 + 2^{-k})\delta$  for every  $n \geq m_k$ , let  $m_{k+1}$  be such that  $\nu(\sup_{m_{k+1} \leq i < l} a_{ki} \setminus \sup_{m_k \leq i < m_{k+1}} a_{ki}) \leq 2^{-k-1}\delta$  for every  $l$ . Set  $c_k = \sup_{m_k \leq i < m_{k+1}} a_{ki}$  and  $a_{k+1, i} = a_{ki} \cap c_k$  for  $i \geq m_{k+1}$ . Then  $\nu a_{k+1, i} \geq \nu a_{ki} - \nu(a_{ki} \setminus c_k) \geq (1 + 2^{-k-1})\delta$  for every  $i \geq m_{k+1}$ , so the induction continues.

Now  $\langle c_k \rangle_{k \in \mathbb{N}}$  is a non-increasing sequence of non-zero elements, so is centered; and  $c_k \subseteq \sup_{m_k \leq i < m_{k+1}} a_i$  for every  $k$ . Taking a maximal centered family  $C$  containing every  $c_k$ , the set  $I = \{i : a_i \in C\}$  must meet  $[m_k, m_{k+1}[$  for every  $k$ , so is infinite; and  $\{a_i : i \in I\}$  is centered.

**Remark** Thus any Boolean algebra with a strictly positive exhaustive submeasure has the property (\*) of 1Ad. Compare 2E, 2H below.

**2E Proposition** Let  $\mathfrak{A}$  be a Boolean algebra,  $\nu$  an exhaustive submeasure on  $\mathfrak{A}$ , and  $\langle a_i \rangle_{i \in \mathbb{N}}$  a sequence in  $\mathfrak{A}$  such that  $\inf_{i \in \mathbb{N}} \nu a_i > 0$ . Then for every  $k \in \mathbb{N}$  there are an  $I \in [\mathbb{N}]^\omega$  and a  $\delta > 0$  such that  $\nu(\inf_{i \in J} a_i) \geq \delta$  for every  $J \in [I]^k$ .

**proof** Induce on  $k$ . The cases  $k = 0, k = 1$  are trivial. For the inductive step to  $k+1$ , let  $M \in [\mathbb{N}]^\omega$  and  $\delta > 0$  be such that  $\nu(\inf_{i \in J} a_i) \geq \delta$  for every  $J \in [M]^k$ . **?** Suppose, if possible, that for every  $I \in [M]^\omega$  and  $\gamma > 0$  there is a  $J \in [I]^{k+1}$  such that  $\nu(\inf_{i \in J} a_i) < \gamma$ . Using Ramsey's theorem repeatedly, we can find  $\langle I_n \rangle_{n \in \mathbb{N}}$  such that  $I_0 = M$ ,  $I_{n+1} \in [I_n]^\omega$ ,  $r_n = \min I_n \notin I_{n+1}$  and  $\nu(\inf_{i \in J} a_i) \leq 2^{-n-2}\delta$  for every  $J \in [I_n]^{k+1}$ . Set  $I = \{r_n : n \in \mathbb{N}\}$ . If  $J \in [I]^k$  and  $\min J = r_n$ , then  $J \cup \{r_m\} \in [I_m]^{k+1}$ , so  $\nu(\inf_{i \in J} a_i \cap a_{r_m}) \leq 2^{-m-2}\delta$ , for every  $m < n$ . It follows that  $\nu(\inf_{i \in J} a_i \cap \sup_{m < n} a_{r_m}) \leq \frac{1}{2}\delta$  and  $\nu(\inf_{i \in J} a_i \setminus \sup_{m < n} a_{r_m}) \geq \frac{1}{2}\delta$ . But this means that  $\nu c_n \geq \frac{1}{2}\delta$  where  $c_n = a_{r_n} \setminus \sup_{m < n} a_{r_m}$  for each  $n$ . As  $\langle c_n \rangle_{n \in \mathbb{N}}$  is disjoint, this is impossible. **X**

Thus we can find  $\gamma > 0$  and  $I \in [M]^\omega$  such that  $\nu(\inf_{i \in J} a_i) \geq \gamma$  for every  $J \in [I]^{k+1}$ , and the induction continues.

**2F Proposition** Let  $\kappa$  be a regular uncountable cardinal, and  $\nu$  an exhaustive submeasure on a Boolean algebra  $\mathfrak{A}$ . Suppose that  $\langle a_\xi \rangle_{\xi < \kappa}$  is a family in  $\mathfrak{A}$  such that  $\inf_{\xi < \kappa} \nu a_\xi > 0$ . Then for every  $n \in \mathbb{N}$  there are a stationary set  $S \subseteq \kappa$  and a  $\delta > 0$  such that  $\nu(\inf_{i \in J} a_i) \geq \delta$  for every  $J \in [S]^n$ .

**proof** Induce on  $n$ . The cases  $n = 0$ ,  $n = 1$  are trivial. For the inductive step to  $n + 1 \geq 2$ , write  $c_J = \inf_{i \in J} a_i$  for  $J \in [\kappa]^{<\omega}$ . We know from the inductive hypothesis that there are a stationary set  $S \subseteq \kappa$  and a  $\delta > 0$  such that  $\nu c_J \geq 3\delta$  for every  $J \in [S]^n$ . For each  $\xi \in S$ , choose  $m(\xi) \in \mathbb{N}$  and  $\langle J_{\xi i} \rangle_{i < m(\xi)}$  as follows. Given  $\langle J_{\xi i} \rangle_{i < j}$ , where  $j \in \mathbb{N}$ , choose, if possible,  $J_{\xi j} \in [S \cap \xi]^n$  such that  $\nu(c_{J_{\xi j}} \cap c_{J_{\xi i}}) \leq 2^{-i}\delta$  for every  $i < j$  and  $\nu(a_\xi \cap c_{J_{\xi j}}) \leq 2^{-j}\delta$ ; if this is not possible, set  $m(\xi) = j$  and stop. Now the point is that we always do have to stop. **P?** Otherwise, set  $d_i = c_{J_{\xi i}}$  for each  $i \in \mathbb{N}$ . Because  $J_{\xi i} \in [S]^n$ ,  $\nu d_i \geq 3\delta$  for each  $i$ ; also  $\nu(d_i \cap d_j) \leq 2^{-i}\delta$  for  $i < j$ ; so  $\nu d'_j \geq \delta$ , where  $d'_j = d_j \setminus \sup_{i < j} d_i$  for each  $j$ . But now  $\langle d'_j \rangle_{j \in \mathbb{N}}$  is disjoint and  $\nu$  is not exhaustive. **XQ**

At the end of the process, we have  $m(\xi)$  and  $\langle J_{\xi i} \rangle_{i < m(\xi)}$  for each  $\xi \in S$ . By the Pressing-Down Lemma, there are  $\tilde{m}$  and  $\langle \tilde{J}_i \rangle_{i < \tilde{m}}$  such that  $S' = \{\xi : \xi \in S, m(\xi) = \tilde{m}, J_{\xi i} = \tilde{J}_i \text{ for every } i < \tilde{m}\}$  is stationary in  $\kappa$ . **?** Suppose, if possible, that  $I \in [S']^{n+1}$  and  $\nu c_I \leq 2^{-\tilde{m}}\delta$ . Set  $\xi = \max I$ ,  $J = I \setminus \{\xi\}$ ,  $\eta = \min I \in J$ . Then  $J \in [S \cap \xi]^n$ . For each  $i < \tilde{m} = m(\xi)$ ,

$$\nu(c_J \cap c_{J_{\xi i}}) \leq \nu(a_\eta \cap c_{J_{\xi i}}) = \nu(a_\eta \cap c_{J_{\eta i}}) \leq 2^{-i}\delta,$$

while

$$\nu(a_\xi \cap c_J) = \nu c_I \leq 2^{-\tilde{m}}\delta.$$

But this means that we could have extended the sequence  $\langle J_{\xi i} \rangle_{i < \tilde{m}}$  by setting  $J_{\xi \tilde{m}} = J$ . **X**

So  $S'$  and  $2^{-\tilde{m}}\delta$  provide the next step in the induction.

**2G Corollary** If  $\mathfrak{A}$  is a Boolean algebra with a strictly positive exhaustive submeasure, then  $(\kappa, \kappa, n)$  is a precaliber triple of  $\mathfrak{A}$  for every regular uncountable cardinal  $\kappa$  and every  $n \in \mathbb{N}$ .

**2H Proposition** Let  $\mathfrak{A}$  be a Dedekind  $\sigma$ -complete Boolean algebra and  $\nu$  a Maharam submeasure on  $\mathfrak{A}$ . Let  $\langle a_n \rangle_{n \in \mathbb{N}}$  be a sequence in  $\mathfrak{A}$  and  $\delta = \inf_{n \in \mathbb{N}} \nu a_n$ . Then for any  $\delta' < \delta$  there is a strictly increasing sequence  $\langle m_k \rangle_{k \in \mathbb{N}}$  in  $\mathbb{N}$  such that  $\nu(\inf_{k \in \mathbb{N}} \sup_{m_k \leq n < m_{k+1}} a_n) \geq \delta'$ .

**proof** If  $\delta' \leq 0$  this is trivial; suppose that  $0 < \delta' < \delta$ . Repeat the argument of the ‘second proof’ of Lemma 2C, but this time requiring  $\nu a_{kn} \geq \delta_k$  for every  $n \geq m_k$ , where  $\langle \delta_k \rangle_{k \in \mathbb{N}}$  is a strictly decreasing sequence in  $[\delta', \delta]$ . Then  $\nu c_k \geq \delta_k$  for every  $k$ , so  $\nu(\inf_{k \in \mathbb{N}} c_k) \geq \delta'$ .

### 3 The theorems of Balcar-Główczyński-Jech, Balcar-Jech-Pazák and Todorčević

**3A Lemma** (BALCAR GŁÓWCZYŃSKI & JECH 98) Let  $\mathfrak{A}$  be a ccc Dedekind complete weakly  $(\sigma, \infty)$ -distributive Boolean algebra, endowed with its order-sequential topology. For  $A \subseteq \mathfrak{A}$ , set  $\bigvee_0(A) = \{0\}$  and  $\bigvee_{n+1}(A) = \{a \cup b : a \in A, b \in \bigvee_n(A)\}$  for  $n \in \mathbb{N}$ . Then for every open set  $G$  containing 0 there is an open set  $H$  containing 0 such that  $\bigvee_3(H) \subseteq \bigvee_2(G)$ .

**proof ?** Otherwise, choose  $H_n, a_n, b_n$  and  $c_n$  inductively, as follows.  $H_0 \subseteq G$  is to be an open neighbourhood of 0 such that  $[0, a] \subseteq H_0$  whenever  $a \in H_0$  (FREMLIN 04, 392Mc). Given that  $H_n$  is an open set containing 0, we are supposing that  $\bigvee_3(H_n) \not\subseteq \bigvee_2(G)$ ; choose  $a_n, b_n, c_n \in H$  such that  $a_n \cup b_n \cup c_n \notin \bigvee_2(G)$ , and set

$$H'_n = \{a : a, a \triangle a_n, a \triangle b_n \text{ and } a \triangle c_n \text{ all belong to } H_n\},$$

so that  $H'_n$  is an open set containing 0. Let  $H_{n+1}$  be an open neighbourhood of 0, included in  $H'_n$ , such that  $[0, a] \subseteq H_{n+1}$  for every  $a \in H_{n+1}$ . Continue.

Set  $F = \bigcap_{n \in \mathbb{N}} \overline{H_n}$  and  $a^* = \inf_{n \in \mathbb{N}} \sup_{i \geq n} a_i$ . Then  $a^* \cup c \in F$  for every  $c \in F$ . **P** For  $m \leq n \in \mathbb{N}$ ,  $\sup_{m \leq i \leq n} a_i \cup b \in H_m$  for every  $b \in H_{n+1}$  (induce downwards on  $m$ ). So  $\sup_{m \leq i \leq n} a_i \cup c \in \overline{H_m}$  for every  $c \in F$ . Letting  $n \rightarrow \infty$ ,  $c \cup \sup_{m \leq i} a_i \in \overline{H_m}$  for every  $c \in F$ ,  $m \in \mathbb{N}$ . Next, for any  $b \in \mathfrak{A}$ ,  $\{a : a \cap b \in \overline{H_m}\}$  is a closed set including  $H_m$ , so  $a \cap b \in \overline{H_m}$  for every  $a \in \overline{H_m}$ ; that is,  $[0, a] \subseteq \overline{H_m}$  for every  $a \in \overline{H_m}$ . As  $a^* \subseteq \sup_{i \geq m} a_i$ ,  $c \cup a^* \in \overline{H_m}$  for every  $c \in F$ . As  $m$  is arbitrary,  $c \cup a^* \in F$  for every  $c \in F$ . **Q**

Similarly, setting  $b^* = \inf_{n \in \mathbb{N}} \sup_{i \geq n} b_i$  and  $c^* = \inf_{n \in \mathbb{N}} \sup_{i \geq n} c_i$ ,  $c \cup b^*$  and  $c \cup c^*$  belong to  $F$  for every  $c \in F$ . So  $d = a^* \cup b^* \cup c^*$  belongs to  $F$ . For each  $n \in \mathbb{N}$ ,  $a_n \cup b_n \cup c_n \notin \bigvee_2(H_0)$ ; but  $[0, a] \subseteq \bigvee_2(H_0)$

for every  $a \in \bigvee_2(H_0)$ , so  $\sup_{i \geq n} a_i \cup b_i \cup c_i \notin \bigvee_2(H_0)$ . Accordingly  $d = \inf_{n \in \mathbb{N}} \sup_{i \geq n} a_i \cup b_i \cup c_i$  does not belong to  $\text{int}(\bigvee_2(H_0))$ . But  $\bigvee_2(H_0) = \{a \triangle b : a, b \in H_0\}$  is an open set including  $\overline{H_0}$ , so  $d \in F \setminus \overline{H_0}$ ; which is impossible. **X**

**3B Theorem** (BALCAR GŁÓWCYŃSKI & JECH 98) Let  $\mathfrak{A}$  be a Dedekind complete ccc Boolean algebra in which the order-sequential topology is Hausdorff. Then  $\mathfrak{A}$  is a Maharam algebra.

**proof (a)**  $\mathfrak{A}$  is weakly  $(\sigma, \infty)$ -distributive. **P** Let  $\langle A_n \rangle_{n \in \mathbb{N}}$  be a sequence of maximal antichains in  $\mathfrak{A}$ , and set

$$D = \{d : d \in \mathfrak{A}, \{a : a \in A_n, a \cap d \neq 0\} \text{ is finite for every } n \in \mathbb{N}\}.$$

Take any  $c \in \mathfrak{A}^+$ . Let  $G, H$  be disjoint open sets containing  $0, c$  respectively. Choose  $\langle c_n \rangle_{n \in \mathbb{N}}$  inductively, as follows.  $c_0 = c$ . Given  $c_n \in H$ , let  $\langle a_{ni} \rangle_{i \in \mathbb{N}}$  be a sequence running over  $A_n$ , and set  $c_{nj} = \sup_{i \leq j} c_n \cap a_{ni}$ ; then  $\langle c_{nj} \rangle_{j \in \mathbb{N}}$  order\*-converges to  $c_n$ , so there is a  $j_n$  such that  $c_{nj_n} \in H$ ; set  $c_{n+1} = c_{nj_n}$ , and continue.

This gives us a non-increasing sequence  $\langle c_n \rangle_{n \in \mathbb{N}}$  in  $H$ . Set  $d = \inf_{n \in \mathbb{N}} c_n$ ; then  $d \notin G$  so  $d \neq 0$ , while  $d \subseteq \sup_{i \leq j_n} a_{ni}$  for each  $n$ , so  $d \in D$ .

As  $c$  is arbitrary,  $D$  is order-dense in  $\mathfrak{A}$  and includes a maximal antichain. As  $\langle A_n \rangle_{n \in \mathbb{N}}$  is arbitrary,  $\mathfrak{A}$  is weakly  $(\sigma, \infty)$ -distributive. **Q**

**(b)** For any  $a \in \mathfrak{A}^+$  there is a sequence  $\langle H_n \rangle_{n \in \mathbb{N}}$  of neighbourhoods of  $0$  such that  $a \not\subseteq \sup(\bigcap_{n \in \mathbb{N}} H_n)$ . **P** For  $A \subseteq \mathfrak{A}$  and  $n \in \mathbb{N}$ , define  $\bigvee_n(A)$  as in 3A. Let  $H_0$  be a neighbourhood of  $0$  such that  $H_0$  and  $\{a \triangle b : b \in H_0\}$  are disjoint; by FREMLIN 04, 392Mc again, we may suppose that  $[0, b] \subseteq H_0$  for every  $b \in H_0$ , in which case  $[0, b] \subseteq \bigvee_2(H_0)$  for every  $b \in \bigvee_2(H_0)$ , while  $a \notin \bigvee_2(H_0)$ . By Lemma 3A, we can choose neighbourhoods  $H_n$  of  $0$ , for  $n \geq 1$ , such that  $H_{n+1} \subseteq H_n$  and  $\bigvee_3(H_{n+1}) \subseteq \bigvee_2(H_n)$  for every  $n$ . But this will ensure that  $\bigvee_4(H_{n+2}) \subseteq \bigvee_2(H_n)$  for every  $n$ , so that  $\bigvee_{2^k}(H_{2^k}) \subseteq \bigvee_2(H_2)$  for every  $k \geq 1$ . Set  $F = \bigcap_{n \in \mathbb{N}} H_n$ . Then

$$\bigvee_{2^k}(F) \subseteq \bigvee_{2^k}(H_{2^k}) \subseteq \bigvee_2(H_2)$$

for every  $k \geq 1$ . Since  $\sup F$  is the limit of a sequence in  $\bigcup_{k \geq 1} \bigvee_{2^k}(F)$ ,

$$\sup F \in \overline{\bigvee_2(H_2)} \subseteq \bigvee_3(H_2) \subseteq \bigvee_2(H_0)$$

and cannot include  $a$ . **Q**

**(c)** Now consider the set  $D$  of those  $d \in \mathfrak{A}$  such that there is a sequence  $\langle H_n \rangle_{n \in \mathbb{N}}$  of neighbourhoods of  $0$  such that  $d \cap \sup(\bigcap_{n \in \mathbb{N}} H_n) = 0$ . By (b),  $D$  is order-dense, so includes a maximal antichain  $A$ . Now  $A$  is countable, so there is a sequence  $\langle H_n \rangle_{n \in \mathbb{N}}$  of neighbourhoods of  $0$  such that  $d \cap \sup(\bigcap_{n \in \mathbb{N}} H_n) = 0$  for every  $d \in A$ ; but this means that  $\bigcap_{n \in \mathbb{N}} H_n = \{0\}$ . By FREMLIN 04, 392O,  $\mathfrak{A}$  is a Maharam algebra.

**3C Theorem** (TODORČEVIĆ P04) Let  $\mathfrak{A}$  be a  $\sigma$ -finite-cc weakly  $(\sigma, \infty)$ -distributive Dedekind complete Boolean algebra. Then  $\mathfrak{A}$  is a Maharam algebra.

**proof** (BALCAR N04) **(a)(i)** Suppose that  $\mathfrak{A} \neq \{0\}$ . Let  $\langle A_n \rangle_{n \in \mathbb{N}}$  be a sequence of sets, with union  $\mathfrak{A}^+$ , such that no  $A_n$  includes any infinite disjoint set. For each  $n$ , set  $B_n = \bigcup_{m \leq n} \bigcup_{a \in A_m} [a, 1]$ , so that  $B_n$  includes no infinite disjoint subset. Now there is an  $n$  such that  $1$  is in the interior of  $B_n$  for the order-sequential topology. **P?** Otherwise, there is for each  $n \in \mathbb{N}$  a sequence  $\langle b_{ni} \rangle_{i \in \mathbb{N}}$  in  $\mathfrak{A} \setminus B_n$  which is order\*-convergent to  $1$  (FREMLIN 04, 392Mb). By FREMLIN 04, 392Ma, there is a sequence  $\langle k(n) \rangle_{n \in \mathbb{N}}$  in  $\mathbb{N}$  such that  $\langle b_{n, k(n)} \rangle_{n \in \mathbb{N}}$  order\*-converges to  $1$ . As  $1 \neq 0$ , there must be an  $m \in \mathbb{N}$  such that  $c = \inf_{i \geq m} b_{i, k(i)} \neq 0$ . There is an  $n$  such that  $c \in A_n$ , in which case  $b_{i, k(i)} \in B_m \subseteq B_i$  for every  $i \geq \max(m, n)$ . **XQ**

**(ii)** Set  $H = \text{int } B_n$ . Then there is a  $c \in H$  such that for every  $d \in \mathfrak{A}$  one of  $c \cap d, c \setminus d \notin H$ . **P?** Otherwise, we can choose a sequence  $\langle c_i \rangle_{i \in \mathbb{N}}$  in  $H$  such that  $c_0 = 1$  and, for each  $i \in \mathbb{N}$ ,  $c_{i+1} \subseteq c_i$  and  $c_i \setminus c_{i+1} \in H$ . But in this case  $\langle c_i \setminus c_{i+1} \rangle_{i \in \mathbb{N}}$  is a disjoint sequence in  $B_n$ , which is impossible. **XQ**

**(iii)**  $0$  and  $1$  can be separated by open sets. **P** Take  $H$  and  $c$  from (ii). Then  $G_0 = \{d : c \setminus d \in H\}$  and  $G_1 = \{d : c \cap d \in H\}$  are disjoint open sets containing  $0$  and  $1$  respectively. **Q**

**(b)** It follows that  $\mathfrak{A}$  is actually Hausdorff in the order-sequential topology. **P** Let  $a_0, a_1 \in \mathfrak{A}$  be such that  $b = a_1 \setminus a_0$  is non-zero. Consider the principal ideal  $\mathfrak{A}_b$ . Like  $\mathfrak{A}$ , this is  $\sigma$ -finite-cc, weakly  $(\sigma, \infty)$ -distributive

and Dedekind complete. By (a), there are disjoint subsets  $U, V$  of  $\mathfrak{A}_b$ , open for the order-sequential topology of  $\mathfrak{A}_b$ , such that  $0 \in U$  and  $b \in V$ . Now the function  $a \mapsto a \cap b : \mathfrak{A} \rightarrow \mathfrak{A}_b$  is continuous for the order-sequential topologies (use FREMLIN 04, 3A3Pb), so  $G = \{a : a \cap b \in U\}$  and  $H = \{a : a \cap b \in V\}$  are open. Now  $G$  and  $H$  are open sets in  $\mathfrak{A}$  containing  $a_0, a_1$  respectively. As  $a_0$  and  $a_1$  are arbitrary,  $\mathfrak{A}$  is Hausdorff. **Q**

By Theorem 3B,  $\mathfrak{A}$  is a Maharam algebra.

**3D Lemma** (QUICKERT 02) Let  $\mathfrak{A}$  be a Boolean algebra, and  $\mathcal{I}$  be the family of countable subsets  $I$  of  $\mathfrak{A}^+$  for which there is a partition  $C$  of unity such that  $\{a : a \in I, a \cap c \neq 0\}$  is finite for every  $c \in C$ .

(a)  $\mathcal{I}$  is an ideal of  $\mathcal{P}\mathfrak{A}$  including  $[\mathfrak{A}]^{<\omega}$ .

(b) If  $A \subseteq \mathfrak{A}^+$  is such that  $A \cap I$  is finite for every  $I \in \mathcal{I}$ , and  $B = \{b : b \supseteq a \text{ for some } a \in A\}$ , then  $B \cap I$  is finite for every  $I \in \mathcal{I}$ .

(c) If  $\mathfrak{A}$  is ccc, then there is no uncountable  $B \subseteq \mathfrak{A}$  such that  $[B]^{\leq\omega} \subseteq \mathcal{I}$ .

(d) If  $\mathfrak{A}$  is ccc and weakly  $(\sigma, \infty)$ -distributive,  $\mathcal{I}$  is a **P-ideal**, that is, if  $\langle I_n \rangle_{n \in \mathbb{N}}$  is any sequence in  $\mathcal{I}$  there is an  $I \in \mathcal{I}$  such that  $I_n \setminus I$  is finite for every  $n \in \mathbb{N}$ .

**proof (a)** Of course every finite subset of  $\mathfrak{A}$  belongs to  $\mathcal{I}$ . If  $I_0, I_1 \in \mathcal{I}$  and  $J \subseteq I_0 \cup I_1$ , then  $J \in [\mathfrak{A}]^{\leq\omega}$ . For each  $j$ , we have a partition  $C_j$  of unity in  $\mathfrak{A}$  such that  $\{a : a \in I_j, a \cap c \neq 0\}$  is finite for every  $c \in C_j$ . Set  $C = \{c_0 \cap c_1 : c_0 \in C_0, c_1 \in C_1\}$ ; then  $C$  is a partition of unity in  $\mathfrak{A}$  and  $\{a : a \in J, a \cap c \neq 0\}$  is finite for every  $c \in C$ .

**(b) ?** Otherwise, set  $J = B \cap I \in \mathcal{I}$ . For each  $b \in J$ , let  $a_b \in A$  be such that  $a_b \subseteq b$ . Let  $C$  be a partition of unity such that  $\{b : b \in J, b \cap c \neq 0\}$  is finite for every  $c \in C$ ; then  $\{a_b : b \in J, a_b \cap c \neq 0\}$  is finite for every  $c \in C$ , so  $\{a_b : b \in J\}$  belongs to  $\mathcal{I}$  and must be finite. There is therefore an  $a \in A$  such that  $K = \{b : b \in J, a = a_b\}$  is infinite; but in this case there is a  $c \in C$  such that  $a \cap c \neq 0$  and  $b \cap c \neq 0$  for every  $b \in K$ . **X**

**(c)** Let  $\widehat{\mathfrak{A}}$  be the Dedekind completion of  $\mathfrak{A}$  (FREMLIN 04, 314T). Let  $\langle b_\xi \rangle_{\xi < \omega_1}$  be a family of distinct elements of  $B$  and set  $d = \inf_{\xi < \omega_1} \sup_{\xi \leq \eta < \omega_1} b_\eta$ , taken in  $\widehat{\mathfrak{A}}$ . Then (because  $\widehat{\mathfrak{A}}$  is ccc, see FREMLIN 04, 316Xf)  $d = \sup_{\xi \leq \eta < \omega_1} b_\eta$  for some  $\xi$ ; in particular,  $d \neq 0$ . Next, we can find a strictly increasing sequence  $\langle \xi_n \rangle_{n \in \mathbb{N}}$  in  $\omega_1$  such that  $d \subseteq \sup_{\xi_n \leq \eta < \xi_{n+1}} b_\eta$  for every  $n \in \mathbb{N}$ . Set  $I = \{b_\eta : \eta < \sup_{n \in \mathbb{N}} \xi_n\} \in [B]^{\leq\omega}$ . If  $C$  is any partition of unity in  $\mathfrak{A}$ , there must be some  $c \in C$  such that  $c \cap d \neq 0$ , and now  $\{a : a \in I, a \cap c \neq 0\}$  is infinite. So  $I \notin \mathcal{I}$ . **Q**

**(d)** For each  $n \in \mathbb{N}$ , let  $C_n$  be a partition of unity such that  $\{a : a \in I_n, a \cap c \neq 0\}$  is finite for every  $c \in C_n$ . Let  $D$  be a partition of unity such that  $\{c : c \in C_n, c \cap d \neq 0\}$  is finite for every  $d \in D$  and  $n \in \mathbb{N}$ . Then

$$\{a : a \in I_n, a \cap d \neq 0\} \subseteq \bigcup_{c \in C_n, c \cap d \neq 0} \{a : a \in I_n, a \cap c \neq 0\}$$

is finite for every  $d \in D$  and  $n \in \mathbb{N}$ . Let  $\langle d_n \rangle_{n \in \mathbb{N}}$  be a sequence running over  $D \cup \{\emptyset\}$  and set  $I = \bigcup_{n \in \mathbb{N}} \{a : a \in I_n, a \cap d_i = 0 \text{ for every } i \leq n\}$ . Then

$$I_n \setminus I \subseteq \bigcup_{i \leq n} \{a : a \in I_n, a \cap d_i \neq \emptyset\}$$

is finite for each  $n$ . Also

$$\{a : a \in I, a \cap d_n \neq 0\} \subseteq \bigcup_{i < n} \{a : a \in I_i, a \cap d_n \neq 0\}$$

is finite for each  $n$ , so  $I \in \mathcal{I}$ .

**Remark** In this context,  $\mathcal{I}$  is called **Quickert's ideal**.

**3E Lemma** (BALCAR JECH & PAZÁK P03) Let  $\mathfrak{A}$  be a weakly  $(\sigma, \infty)$ -distributive ccc Dedekind complete Boolean algebra, and suppose that  $\mathfrak{A}^+$  is expressible as  $\bigcup_{k \in \mathbb{N}} D_k$  where no infinite subset of any  $D_k$  belongs to Quickert's ideal  $\mathcal{I}$ . Then  $\mathfrak{A}$  is a Maharam algebra.

**proof** The point is that if  $\langle a_n \rangle_{n \in \mathbb{N}}$  is a sequence in  $\mathfrak{A}$  which order\*-converges to 0, then  $\{a_n : n \in \mathbb{N}\} \in \mathcal{I}$  (FREMLIN 04, 392La). So no sequence in any  $D_k$  can order\*-converge to 0. Because  $\mathfrak{A}$  is weakly  $(\sigma, \infty)$ -distributive and ccc, 0 does not belong to the closure  $\overline{D_k}$  of  $D_k$  for the order-sequential topology on  $\mathfrak{A}$  (FREMLIN 04, 392Mb). So  $\mathfrak{A}^+ = \bigcup_{k \in \mathbb{N}} \overline{D_k}$  is  $F_\sigma$  and  $\{0\}$  is  $G_\delta$  for the order-sequential topology. By FREMLIN 04, 392O,  $\mathfrak{A}$  is a Maharam algebra.

**3F Todorčević's P-ideal dichotomy** This is the statement

whenever  $X$  is a set and  $\mathcal{I}$  is a P-ideal of countable subsets of  $X$ , then

either there is a  $B \in [X]^{\omega_1}$  such that  $[B]^{\leq \omega} \subseteq \mathcal{I}$

or  $X$  is expressible as  $\bigcup_{n \in \mathbb{N}} X_n$  where  $\mathcal{I} \cap \mathcal{P}X_n \subseteq [X_n]^{< \omega}$  for every  $n \in \mathbb{N}$ .

This is a consequence of the Proper Forcing Axiom, and is also relatively consistent with the generalized continuum hypothesis (TODORČEVIĆ 00).

**3G Theorem** (BALCAR JECH & PAZÁK P03) If Todorčević's P-ideal dichotomy is true, then every Dedekind complete ccc weakly  $(\sigma, \infty)$ -distributive Boolean algebra is a Maharam algebra.

**proof** Let  $\mathfrak{A}$  be a Dedekind complete ccc weakly  $(\sigma, \infty)$ -distributive Boolean algebra. Let  $\mathcal{I}$  be Quickert's ideal on  $\mathfrak{A}$ ; then  $\mathcal{I}$  is a P-ideal (3Dd). By 3Dc, there is no  $B \in [\mathfrak{A}]^{\omega_1}$  such that  $[B]^{\leq \omega} \subseteq \mathcal{I}$ . We are assuming that Todorčević's P-ideal dichotomy is true; so  $\mathfrak{A}$  must be expressible as  $\bigcup_{n \in \mathbb{N}} D_n$  where no infinite subset of any  $D_n$  belongs to  $\mathcal{I}$ . By 3E,  $\mathfrak{A}$  is a Maharam algebra.

**3H Theorem** (JECH L04) Let  $\mathfrak{A}$  be a Boolean algebra. Then the following are equiveridical:

(i) the Dedekind completion of  $\mathfrak{A}$  is a Maharam algebra;

(ii) there is a family  $S$  of sequences in  $\mathfrak{A}$  such that

( $\alpha$ )  $\langle a_n \rangle_{n \in \mathbb{N}}$  is order\*-convergent to 0 for every  $\langle a_n \rangle_{n \in \mathbb{N}} \in S$ ;

( $\beta$ ) if  $\langle \langle a_{nk} \rangle_{k \in \mathbb{N}} \rangle_{n \in \mathbb{N}}$  is a sequence in  $S$  then  $\langle a_{nn} \rangle_{n \in \mathbb{N}} \in S$ ;

( $\gamma$ ) every sequence which order\*-converges to 0 has a subsequence in  $S$ .

**proof (i)  $\Rightarrow$  (ii)** If the Dedekind completion of  $\mathfrak{A}$  is a Maharam algebra, then  $\mathfrak{A}$  itself has a strictly positive Maharam submeasure  $\nu$ . Let  $S$  be the set of all sequences  $\langle a_n \rangle_{n \in \mathbb{N}}$  such that  $\nu a_n \leq 2^{-n}$  for every  $n$ ; then  $S$  satisfies the conditions of (ii). **P** If  $\langle a_n \rangle_{n \in \mathbb{N}}$  is a sequence in  $\mathfrak{A}$  which is *not* order\*-convergent to 0, there is a non-zero  $c \in \mathfrak{A}$  such that  $c = \sup_{i \geq n} c \cap a_i$  for every  $n$ . In this case,

$$0 < \nu c = \sup_{m \geq n} \nu(c \cap \sup_{n \leq i \leq m} a_i) \leq \sum_{i=n}^{\infty} \nu a_i$$

for every  $n$ , and  $\sum_{i=0}^{\infty} \nu a_i = \infty$ , so  $\langle a_n \rangle_{n \in \mathbb{N}} \notin S$ . This shows that  $S$  satisfies ( $\alpha$ ). The others are elementary.

**Q**

(ii)  $\Rightarrow$  (i) Given  $S \subseteq \mathfrak{A}^{\mathbb{N}}$  satisfying the conditions in (ii), let  $A_n$  be the set  $\{a_n : \langle a_k \rangle_{k \in \mathbb{N}} \in S\}$  for each  $n$ .

$\bigcap_{n \in \mathbb{N}} A_n = \{0\}$ . **P** By ( $\gamma$ ), the constant sequence with value 0 belongs to  $S$ , so  $0 \in A_n$  for every  $n$ . If  $a \in A_n$  for every  $n$ , then for each  $n \in \mathbb{N}$  we have a sequence  $\langle a_{nk} \rangle_{k \in \mathbb{N}} \in S$  such that  $a = a_{nn}$ ; now the constant sequence  $\langle a_{nn} \rangle_{n \in \mathbb{N}}$  belongs to  $S$ , by ( $\beta$ ), so is order\*-convergent to 0, by ( $\alpha$ ), and  $a = 0$ . **Q**

$\mathfrak{A}$  is  $\sigma$ -finite-cc. **P?** If  $\langle a_k \rangle_{k \in \mathbb{N}}$  is a disjoint sequence in  $\mathfrak{A} \setminus A_n$ , then it is order\*-convergent to 0, so has a subsequence belonging to  $S$  which must enter  $A_n$ . **X** So  $\langle \mathfrak{A} \setminus A_n \rangle_{n \in \mathbb{N}}$  witnesses that  $\mathfrak{A}$  is  $\sigma$ -finite-cc. **Q**

$\mathfrak{A}$  is weakly  $(\sigma, \infty)$ -distributive. **P** Let  $\langle C_n \rangle_{n \in \mathbb{N}}$  be a sequence of partitions of unity in  $\mathfrak{A}$ . Set  $C'_n = \{\inf_{i \leq n} c_i : c_i \in C_i \text{ for } i \leq n\}$ , so that  $C'_n$  is a partition of unity refining  $C_n$ , and  $C'_{n+1}$  refines  $C'_n$  for each  $n$ . Let  $\langle c_{nk} \rangle_{k \in \mathbb{N}}$  be a sequence running over  $C'_n \cup \{0\}$ . Set  $c'_{nm} = 1 \setminus \sup_{k < m} c_{nk}$ , so that  $\langle c'_{nm} \rangle_{m \in \mathbb{N}}$  is non-increasing and has infimum 0. As  $\langle c'_{nm} \rangle_{m \in \mathbb{N}}$  is order\*-convergent to 0, it has a subsequence  $\langle c'_{n,m(n,i)} \rangle_{i \in \mathbb{N}}$  belonging to  $S$ . Consider the sequence  $\langle c'_{n,m(n,n)} \rangle_{n \in \mathbb{N}} \in S$ . This is order\*-convergent to 0 so there is a partition  $D$  of unity such that  $\{n : d \cap c'_{n,m(n,n)} \neq 0\}$  is finite for each  $d \in D$ . So, given  $d \in D$  and  $j \in \mathbb{N}$ , there is an  $n \geq j$  such that  $d \cap c'_{n,m(n,n)} = 0$ , in which case  $d \subseteq \sup_{i < m(n,n)} c_{ni}$  and

$$\begin{aligned} \{c : c \in C_j, d \cap c \neq 0\} &\subseteq \bigcup_{i < m(n,n)} \{c : c \in C_j, c \cap c_{ni} \neq 0\} \\ &= \bigcup_{i < m(n,n)} \{c : c \in C_j, 0 \neq c_{ni} \subseteq c\} \end{aligned}$$

is finite. As  $\langle C_n \rangle_{n \in \mathbb{N}}$  is arbitrary,  $\mathfrak{A}$  is weakly  $(\sigma, \infty)$ -distributive. **Q**

Now the Dedekind completion of  $\mathfrak{A}$  is still weakly  $(\sigma, \infty)$ -distributive (1B(c-iii)) and  $\sigma$ -finite-cc (1B(c-ii)), so is a Maharam algebra by Todorčević's theorem 3C.

#### 4 Products of submeasures

**4A Construction** There seems to be no satisfactory general method of constructing products of submeasures. However the following method may turn out to be useful.

(a) Let  $\mathfrak{A}$  and  $\mathfrak{B}$  be Boolean algebras with submeasures  $\mu, \nu$  respectively. On the free product  $\mathfrak{A} \otimes \mathfrak{B}$  (FREMLIN 04, §315), we have a functional  $\lambda$  defined by saying that whenever  $c \in \mathfrak{A} \otimes \mathfrak{B}$  is of the form  $\sup_{i \in I} a_i \otimes b_i$  where  $\langle a_i \rangle_{i \in I}$  is a finite partition of unity in  $\mathfrak{A}$ , then

$$\begin{aligned} \lambda c &= \min_{J \subseteq I} \max(\{\mu(\sup_{i \in J} a_i)\} \cup \{\nu b_i : i \in I \setminus J\}) \\ &= \min\{\epsilon : \epsilon \geq 0, \mu(\sup\{a_i : i \in I, \nu b_i > \epsilon\}) \leq \epsilon\}. \end{aligned}$$

**P** Every  $c \in \mathfrak{A} \otimes \mathfrak{B}$  can be expressed in this form (FREMLIN 04, 315Na). Of course this can be done in many different ways. But if  $c = \sup_{j \in J} a'_j \otimes b'_j$  is another expression of the same kind, then  $b_i = b'_j$  whenever  $a_i \cap a'_j \neq 0$ . So

$$\begin{aligned} \sup\{a_i : i \in I, \nu b_i > \epsilon\} &= \sup\{a_i \cap a'_j : i \in I, j \in J, a_i \cap a'_j \neq 0, \nu b_i > \epsilon\} \\ &= \sup\{a_i \cap a'_j : i \in I, j \in J, a_i \cap a'_j \neq 0, \nu b'_j > \epsilon\} \\ &= \sup\{a'_j : j \in J, \nu b'_j > \epsilon\} \end{aligned}$$

for any  $\epsilon$ , and the two calculations for  $\lambda$  give the same result. **Q**

Note that  $\lambda(a \otimes b) = \min(\mu a, \nu b)$  for all  $a \in \mathfrak{A}$  and  $b \in \mathfrak{B}$ .

(b) In the context of (a),  $\lambda$  is a submeasure.

**P** By definition,  $\lambda c \geq 0$  for every  $c \in \mathfrak{A} \otimes \mathfrak{B}$ ; and if  $c = 0$  then it is  $1 \otimes 0$  and  $\lambda c = 0$ .

If  $c, c'$  are two members of  $\mathfrak{A} \otimes \mathfrak{B}$ , express them in the forms  $c = \sup_{i \in I} a_i \otimes b_i$  and  $c' = \sup_{j \in J} a'_j \otimes b'_j$  where  $\langle a_i \rangle_{i \in I}$  and  $\langle a'_j \rangle_{j \in J}$  are partitions of unity in  $\mathfrak{A}$ . Set  $K = \{(i, j) : a_i \cap a'_j \neq 0\} \subseteq I \times J$ ,  $a''_{ij} = a_i \cap a'_j$  for  $(i, j) \in K$ ; then  $\langle a''_{ij} \rangle_{(i, j) \in K}$  is a partition of unity in  $\mathfrak{A}$ ,  $c = \sup_{(i, j) \in K} a''_{ij} \otimes b_i$  and  $c' = \sup_{(i, j) \in K} a''_{ij} \otimes b'_j$ . Set  $\alpha = \lambda c, \beta = \lambda c', L = \{(i, j) : (i, j) \in K, \nu b_i > \alpha\}, L' = \{(i, j) : (i, j) \in K, \nu b'_j > \beta\}, e = \sup\{a_{ij} : (i, j) \in L\}$  and  $e' = \sup\{a_{ij} : (i, j) \in L'\}$ ; then  $\mu e \leq \alpha$  and  $\mu e' \leq \beta$ . So  $\mu(e \cup e') \leq \alpha + \beta$ ; but  $e \cup e' = \sup_{(i, j) \in L \cup L'} a''_{ij}$  and

$$\nu(b_i \cup b'_j) \leq \nu b_i + \nu b'_j \leq \alpha + \beta$$

for all  $(i, j) \in K \setminus (L \cup L')$ . So  $\lambda(c \cup c') \leq \alpha + \beta$ .

If  $c \subseteq c'$ , then  $b_i \subseteq b'_j$  for every  $(i, j) \in K$ . So  $\nu b_i \leq \beta$  for every  $(i, j) \in K \setminus L'$  and  $\lambda c \leq \beta$ .

Thus  $\lambda$  is subadditive and order-preserving and is a submeasure. **Q**

(c) In this context, I will write  $\lambda = \mu \times \nu$ . I note that only in exceptional, and usually trivial, cases will  $\mu \times \nu$  be matched with  $\nu \times \mu$  by the canonical isomorphism between  $\mathfrak{A} \otimes \mathfrak{B}$  and  $\mathfrak{B} \otimes \mathfrak{A}$ ; this product is not 'commutative'. It is however 'associative', in the following sense. Let  $(\mathfrak{A}_1, \mu_1), (\mathfrak{A}_2, \mu_2), (\mathfrak{A}_3, \mu_3)$  be Boolean algebras endowed with submeasures. Set

$$\lambda_{12} = \mu_1 \times \mu_2, \quad \lambda_{(12)3} = \lambda_{12} \times \mu_3, \quad \lambda_{23} = \mu_2 \times \mu_3, \quad \lambda_{1(23)} = \mu_1 \times \lambda_{23}.$$

Then the canonical isomorphisms between  $(\mathfrak{A}_1 \otimes \mathfrak{A}_2) \otimes \mathfrak{A}_3, \mathfrak{A}_1 \otimes \mathfrak{A}_2 \otimes \mathfrak{A}_3$  and  $(\mathfrak{A}_1 \otimes (\mathfrak{A}_2 \otimes \mathfrak{A}_3))$  (FREMLIN 04, 315K) identify  $\lambda_{(12)3}$  with  $\lambda_{1(23)}$ .

**P** Take any  $d \in \mathfrak{A}_1 \otimes \mathfrak{A}_2 \otimes \mathfrak{A}_3$ . Express  $d$  as  $\sup_{i \in I} a_i \otimes e_i$  where  $\langle a_i \rangle_{i \in I}$  is a partition of unity in  $\mathfrak{A}_1$  and  $e_i \in \mathfrak{A}_2 \otimes \mathfrak{A}_3$  for each  $i$ ; express each  $e_i$  as  $\sup_{j \in J_i} b_{ij} \otimes c_{ij}$  where  $\langle b_{ij} \rangle_{j \in J_i}$  is a partition of unity in  $\mathfrak{A}_2$  and  $c_{ij} \in \mathfrak{A}_3$  for  $i \in I, j \in J_i$ . In this case,  $\langle a_i \otimes b_{ij} \rangle_{i \in I, j \in J_i}$  is a partition of unity in  $\mathfrak{A}_1 \otimes \mathfrak{A}_2$  and  $d = \sup_{i \in I, j \in J_i} a_i \otimes b_{ij} \otimes c_{ij}$ .

Let  $\epsilon > 0$ . For  $i \in I$ , set  $J'_i = \{j : j \in J_i, \mu_3 c_{ij} > \epsilon\}, e'_i = \sup_{j \in J'_i} b_{ij}$ . Then  $\lambda_{23}(\sup_{j \in J_i} b_{ij} \otimes c_{ij}) \leq \epsilon$  iff  $\mu_2 e'_i \leq \epsilon$ . Set  $I' = \{i : \mu_2 e'_i > \epsilon\}$ ; then  $\lambda_{1(23)} d \leq \epsilon$  iff  $\mu_1(\sup_{i \in I'} a_i) \leq \epsilon$ . From the other direction, set  $f = \sup\{a_i \otimes b_{ij} : i \in I, j \in J'_i\}$ ; then  $\lambda_{(12)3} d \leq \epsilon$  iff  $\lambda_{12} f \leq \epsilon$ . But  $f = \sup_{i \in I} a_i \otimes e'_i$ , so  $\lambda_{12} f \leq \epsilon$  iff  $\mu_1(\sup_{i \in I'} a_i) \leq \epsilon$ .

As  $\epsilon$  and  $d$  are arbitrary,  $\lambda_{(12)3} = \lambda_{1(23)}$ , as claimed. **Q**

(d) Returning to the notation of (a)-(b): if  $\mu$  and  $\nu$  are exhaustive, so is  $\lambda$ . **P** Let  $\langle c_n \rangle_{n \in \mathbb{N}}$  be a sequence in  $\mathfrak{A} \otimes \mathfrak{B}$  such that  $\lambda c_n > \epsilon > 0$  for every  $n$ . For each  $n$ , express  $c_n$  as  $\sup_{i \in I_n} a_{ni} \otimes b_{ni}$  where  $\langle a_{ni} \rangle_{i \in I_n}$  is a partition of unity; set  $I'_n = \{i : i \in I_n, \nu b_{ni} > \epsilon\}, a_n = \sup_{i \in I'_n} a_{ni}$ ; then  $\mu a_n > \epsilon$ . By Lemma 2D, there

is an infinite  $J \subseteq \mathbb{N}$  such that  $\{a_n : n \in J\}$  is centered. Let  $D \subseteq \mathfrak{A}$  be a maximal centered family including  $\{a_n : n \in J\}$ ; then for every  $n \in J$  there is an  $i_n \in I'_n$  such that  $a_{n,i_n} \in D$ . But now observe that  $\nu b_{n,i_n} > \epsilon$  for every  $n \in J$ , so there must be distinct  $m, n \in J$  such that  $b_{m,i_m} \cap b_{n,i_n} \neq 0$ ; as  $a_{m,i_m} \cap a_{n,i_n}$  is also non-zero,  $c_m \cap c_n \neq 0$ . As  $\langle c_n \rangle_{n \in \mathbb{N}}$  is arbitrary,  $\lambda$  is exhaustive. **Q**

(e) We can extend the construction to infinite products, as follows. Let  $I$  be a totally ordered set and  $\langle \langle \mathfrak{A}_i, \mu_i \rangle \rangle_{i \in I}$  a family of Boolean algebras endowed with unital submeasures. For a finite set  $J = \{i_0, \dots, i_n\}$  where  $i_0 < \dots < i_n$  in  $I$ , let  $\lambda_J$  be the product submeasure  $(\dots(\mu_{i_0} \times \mu_{i_1}) \times \dots) \times \mu_{i_n}$  on  $\mathfrak{C}_J = \bigotimes_{j \in J} \mathfrak{A}_j$ ; for definiteness, on  $\mathfrak{C}_\emptyset = \{0, 1\}$  take  $\lambda_\emptyset$  to be the unital submeasure. Using (c) repeatedly, we see that if  $J, K \in [I]^{<\omega}$  and  $j < k$  for every  $j \in J, k \in K$ , then the identification of  $\mathfrak{C}_{J \cup K}$  with  $\mathfrak{C}_J \otimes \mathfrak{C}_K$  (FREMLIN 04, 315K) matches  $\lambda_{J \cup K}$  with  $\lambda_J \times \lambda_K$ . Moreover, if  $K \in [I]^{<\omega}$  and  $J$  is any subset of  $K$  (not necessarily an initial segment) and  $\varepsilon_{JK} : \mathfrak{C}_J \rightarrow \mathfrak{C}_K$  is the canonical embedding corresponding to the identification of  $\mathfrak{C}_K$  with  $\mathfrak{C}_J \otimes \mathfrak{C}_{K \setminus J}$ , then  $\lambda_J = \lambda_K \varepsilon_{JK}$ ; this is also an easy induction on  $\#(K)$ . What this means is that for any subset  $M$  of  $I$  we have a submeasure  $\lambda_M$  on  $\mathfrak{C}_M = \bigcup \{\varepsilon_{JM} \mathfrak{C}_J : J \in [M]^{<\omega}\}$ , being the unique functional such that  $\lambda_M \varepsilon_{JM} = \lambda_J$  for every  $J \in [M]^{<\omega}$ . Finally, if  $L, M$  are subsets of  $I$  with  $l < m$  for every  $l \in L$  and  $m \in M$ , then  $\lambda_{L \cup M}$  can be identified with  $\lambda_L \times \lambda_M$ .

Unhappily it is not clear that we can get new exhaustive submeasures this way. If  $I$  is any infinite totally ordered set, and for each  $i \in I$  we set  $\mathfrak{A}_i = \mathcal{P}\{0, 1\}$  with  $\nu_i\{0\} = \nu_i\{1\} = \nu_i\{0, 1\} = 1$ , then  $\bigotimes_{i \in I} \mathfrak{A}_i$  can be identified with the algebra  $\mathcal{E}$  of open-and-closed subsets of  $\{0, 1\}^I$ , and  $\lambda_I$  with the submeasure on  $\mathcal{E}$  which gives every non-empty set the submeasure 1; which is about as far from exhaustive as it could well be.

(f) Turning now to products of Maharam algebras, it is easy to see, in (a), that if  $\mu$  and  $\nu$  are strictly positive so is  $\mu \times \nu$ . At this point it is worth observing that if  $\mu, \mu'$  are submeasures on  $\mathfrak{A}$ ,  $\nu$  and  $\nu'$  are submeasures on  $\mathfrak{B}$ ,  $\mu$  is absolutely continuous with respect to  $\mu'$  and  $\nu$  is absolutely continuous with respect to  $\nu'$ , then  $\mu \times \nu$  is absolutely continuous with respect to  $\mu' \otimes \nu'$ . **P** For any  $\epsilon > 0$  there is a  $\delta > 0$  such that  $\mu a \leq \epsilon$  whenever  $\mu' a \leq \delta$  and  $\nu b \leq \epsilon$  whenever  $\nu' b \leq \delta$ . If now  $c \in \mathfrak{A} \otimes \mathfrak{B}$  and  $(\mu' \times \nu')(c) \leq \delta$ , we have  $c = \sup_{i \in I} a_i \otimes b_i$  and  $J \subseteq I$  such that  $\langle a_i \rangle_{i \in I}$  is a partition unity,  $\mu'(\sup_{i \in J} a_i) \leq \delta$  and  $\nu' b_i \leq \delta$  for every  $i \in I \setminus J$ ; so  $\mu(\sup_{i \in J} a_i) \leq \epsilon$  and  $\nu b_i \leq \epsilon$  for every  $i \in I \setminus J$  and  $(\mu \times \nu)(c) \leq \epsilon$ . **Q**

Now suppose that  $\langle \mathfrak{A}_i \rangle_{i \in I}$  is a family of non-trivial Maharam algebras, where  $I$  is a finite totally ordered set. Then we can take a strictly positive unital Maharam submeasure  $\mu_i$  on each  $\mathfrak{A}_i$ , form an exhaustive submeasure  $\lambda$  on  $\mathfrak{C}_I = \bigotimes_{i \in I} \mathfrak{A}_i$ , and use  $\lambda$  to construct a metric completion  $\widehat{\mathfrak{C}}_I$  which is a Maharam algebra, as in FREMLIN 04, 393B. If we change each  $\mu_i$  to  $\mu'_i$ , where  $\mu'_i$  is another strictly positive Maharam submeasure on  $\mathfrak{A}_i$ , then every  $\mu'_i$  is absolutely continuous with respect to  $\mu_i$  (FREMLIN 04, 393E), so the corresponding  $\lambda'$  will be absolutely continuous with respect to  $\lambda$ , and vice versa; in which case the metrics on  $\mathfrak{C}_I$  are uniformly equivalent and we get the same completion  $\widehat{\mathfrak{C}}_I$  up to Boolean algebra isomorphism. We can therefore think of  $\widehat{\mathfrak{C}}_I$  as ‘the’ Maharam algebra free product of the family  $\langle \mathfrak{A}_i \rangle_{i \in I}$  of Boolean algebras; as before, we shall have an isomorphism between  $\widehat{\mathfrak{C}}_J \widehat{\otimes} \widehat{\mathfrak{C}}_K$  and  $\widehat{\mathfrak{C}}_{J \cup K}$  whenever  $J, K \subseteq I$  and  $j < k$  for every  $j \in J, k \in K$ .

(g) I should perhaps have remarked already that if  $\mu$  and  $\nu$ , in (a), are additive and unital, then we have an additive function  $\lambda'$  on  $\mathfrak{A} \otimes \mathfrak{B}$  such that  $\lambda'(a \otimes b) = \mu a \cdot \nu b$  for every  $a \in \mathfrak{A}$  and  $b \in \mathfrak{B}$  (FREMLIN 04, 326Q). Now if we take  $\lambda$  as constructed in (a), each of  $\lambda, \lambda'$  is absolutely continuous with respect to the other. **P** If  $c \in \mathfrak{A} \otimes \mathfrak{B}$ , express  $c$  as  $\sup_{i \in I} a_i \otimes b_i$  where  $\langle a_i \rangle_{i \in I}$  is a finite partition of unity. Then  $\mu(\sup\{a_i : \nu b_i > \lambda c\}) \leq \lambda c$ , so  $\lambda' c = \sum_{i \in I} \mu a_i \cdot \nu b_i$  is at most  $2\lambda c$ . On the other hand,  $\mu(\sup\{a_i : \nu b_i > \sqrt{\lambda' c}\}) \leq \sqrt{\lambda' c}$ , so  $\lambda c \leq \sqrt{\lambda' c}$ . **Q**

What this means is that if  $(\mathfrak{A}, \mu)$  and  $(\mathfrak{B}, \nu)$  are probability algebras, then their Maharam algebra free product, regarded as a Boolean algebra, is identical to their probability algebra free product as defined in FREMLIN 04, §326. Now this extends to finite products, as in (f) here.

**4B Representing products of Maharam algebras: Theorem** Let  $X$  and  $Y$  be sets, with  $\sigma$ -algebras  $\Sigma$  and  $T$  and Maharam submeasures  $\mu$  and  $\nu$  defined on  $\Sigma, T$  respectively. Set  $\mathcal{I} = \mu^{-1}\{\{0\}\}$ ,  $\mathcal{J} = \nu^{-1}\{\{0\}\}$ ,  $\mathfrak{A} = \Sigma/\mathcal{I}$  and  $\mathfrak{B} = T/\mathcal{J}$ , and write  $\bar{\mu}, \bar{\nu}$  for the strictly positive Maharam submeasures on  $\mathfrak{A}$  and  $\mathfrak{B}$  induced by  $\mu$  and  $\nu$  as in 1I above. Let  $\Sigma \widehat{\otimes} T$  be the  $\sigma$ -algebra of subsets of  $X \times Y$  generated by  $\{E \times F : E \in \Sigma, F \in T\}$ .

(a) (Compare FREMLIN 03, 418T.) Give  $\mathfrak{B}$  the topology induced by the metric  $(b, b') \mapsto \bar{\nu}(b \triangle b')^1$ . If  $W \in \Sigma \widehat{\otimes} T$  then  $W[\{x\}] \in T$  for every  $x \in X$  and the function  $x \mapsto W[\{x\}]^\bullet : X \rightarrow \mathfrak{B}$  is  $\Sigma$ -measurable and has separable range. Consequently  $x \mapsto \nu W[\{x\}] : X \rightarrow [0, \infty[$  is  $\Sigma$ -measurable.

(b) For  $W \in \Sigma \widehat{\otimes} T$  set

$$\lambda W = \inf\{\epsilon : \epsilon > 0, \mu\{x : \nu W[\{x\}] > \epsilon\} \leq \epsilon\}.$$

Then  $\lambda$  is a Maharam submeasure on  $\Sigma \widehat{\otimes} T$ , and

$$\lambda^{-1}[\{0\}] = \{W : W \in \Sigma \widehat{\otimes} T, \{x : W[\{x\}] \notin \mathcal{J}\} \in \mathcal{I}\}.$$

(c)  $\mathfrak{C} = \Sigma \widehat{\otimes} T / \lambda^{-1}[\{0\}]$  is a Maharam algebra with a strictly positive Maharam submeasure  $\bar{\lambda}$  induced by  $\lambda$ .

(d)  $\mathfrak{A} \otimes \mathfrak{B}$  can be embedded in  $\mathfrak{C}$  by mapping  $E^\bullet \otimes F^\bullet$  to  $(E \times F)^\bullet$  for all  $E \in \Sigma, F \in T$ .

(e) This embedding identifies  $(\mathfrak{C}, \bar{\lambda})$  with the metric completion  $\mathfrak{A} \widehat{\otimes} \mathfrak{B}$  of  $(\mathfrak{A} \otimes \mathfrak{B}, \bar{\mu} \times \bar{\nu})$  as described in 4Af.

**proof (a)** Write  $\mathcal{W}$  for the set of those  $W \subseteq X \times Y$  such that  $W[\{x\}] \in T$  for every  $x \in X$  and  $x \mapsto W[\{x\}]^\bullet : X \rightarrow \mathfrak{B}$  is  $\Sigma$ -measurable and has separable range. Then  $\Sigma \otimes T$  (identified with the algebra of subsets of  $X \times Y$  generated by  $\{E \times F : E \in \Sigma, F \in T\}$ ) is included in  $\mathcal{W}$ .

If  $\langle W_n \rangle_{n \in \mathbb{N}}$  is a non-decreasing sequence in  $\mathcal{W}$  with union  $W$ , then  $W \in \mathcal{W}$ . **P** Of course  $W[\{x\}] = \bigcup_{n \in \mathbb{N}} W_n[\{x\}]$  belongs to  $T$  for every  $x \in X$ . Set  $f_n(x) = W_n[\{x\}]^\bullet$  for  $n \in \mathbb{N}$  and  $x \in X$ . For each  $x \in X$ ,  $W[\{x\}] \setminus W_n[\{x\}]$  is a non-increasing sequence with empty intersection, so  $\lim_{n \rightarrow \infty} \nu(W[\{x\}] \setminus W_n[\{x\}]) = 0$  and  $\langle f_n(x) \rangle_{n \in \mathbb{N}}$  converges to  $f(x) = W[\{x\}]^\bullet$  in  $\mathfrak{B}$ . By FREMLIN 03, 418B,  $f$  is measurable. Also  $D = \{f_n(x) : x \in X, n \in \mathbb{N}\}$  is a separable subspace of  $\mathfrak{B}$  including  $f[X]$ . So  $W \in \mathcal{W}$ . **Q**

Similarly,  $\bigcap_{n \in \mathbb{N}} W_n \in \mathcal{W}$  for any non-increasing sequence  $\langle W_n \rangle_{n \in \mathbb{N}}$  in  $\mathcal{W}$ .  $\mathcal{W}$  therefore includes the  $\sigma$ -algebra generated by  $\Sigma \otimes T$  (FREMLIN 00, 136G), which is  $\Sigma \widehat{\otimes} T$ .

Now  $x \mapsto \nu W[\{x\}] = \bar{\nu} W[\{x\}]^\bullet$  is measurable because  $\bar{\nu} : \mathfrak{B} \rightarrow \mathbb{R}$  is continuous.

(b) Of course  $\lambda \emptyset = 0$  and  $\lambda W \leq \lambda W'$  if  $W, W' \in \Sigma \widehat{\otimes} T$  and  $W \subseteq W'$ . If  $W_1, W_2 \in \Sigma \widehat{\otimes} T$  have union  $W$ ,  $\lambda W_1 = \alpha_1$  and  $\lambda W_2 = \alpha_2$ , then

$$\{x : \nu W[\{x\}] > \alpha_1 + \alpha_2\} \subseteq \{x : \nu W_1[\{x\}] > \alpha_1\} \cup \{x : \nu W_2[\{x\}] > \alpha_2\},$$

so, setting  $\alpha = \alpha_1 + \alpha_2$ ,

$$\mu\{x : \nu W[\{x\}] > \alpha\} \leq \mu\{x : \nu W_1[\{x\}] > \alpha_1\} + \mu\{x : \nu W_2[\{x\}] > \alpha_2\} \leq \alpha_1 + \alpha_2 = \alpha,$$

and  $\lambda W \leq \alpha$ . Thus  $\lambda$  is monotonic and subadditive.

If now  $\langle W_n \rangle_{n \in \mathbb{N}}$  is a non-increasing sequence in  $\Sigma \widehat{\otimes} T$  with empty intersection, and  $\epsilon > 0$ , set  $E_n = \{x : \nu W_n[\{x\}] \geq \epsilon\}$  for each  $n$ . Then  $\langle E_n \rangle_{n \in \mathbb{N}}$  is non-increasing; moreover, for any  $x \in X$ ,  $\langle W_n[\{x\}] \rangle_{n \in \mathbb{N}}$  is a non-increasing sequence in  $T$  with empty intersection, so  $\lim_{n \rightarrow \infty} \nu W_n[\{x\}] = 0$  and  $x \notin \bigcap_{n \in \mathbb{N}} E_n$ . There is therefore an  $n$  such that  $\mu E_n \leq \epsilon$  and  $\lambda W_n \leq \epsilon$ . As  $\langle W_n \rangle_{n \in \mathbb{N}}$  and  $\epsilon$  are arbitrary,  $\lambda$  is a Maharam submeasure.

Finally, for  $W \in \Sigma \widehat{\otimes} T$ ,

$$\begin{aligned} \lambda W = 0 &\iff \mu\{x : \nu W[\{x\}] \geq 2^{-n}\} \leq 2^{-n} \text{ for every } n \in \mathbb{N} \\ &\iff \mu\{x : \nu W[\{x\}] \geq 2^{-m}\} \leq 2^{-n} \text{ for every } m, n \in \mathbb{N} \\ &\iff \mu\{x : \nu W[\{x\}] > 0\} \leq 2^{-n} \text{ for every } n \in \mathbb{N} \\ &\iff \mu\{x : \nu W[\{x\}] > 0\} = 0 \iff \{x : W[\{x\}] \notin \mathcal{J}\} \in \mathcal{I}. \end{aligned}$$

(c) Put (b) together with Theorem 1I.

(d) If either  $\mathfrak{A}$  or  $\mathfrak{B}$  is  $\{0\}$ , this is trivial. Otherwise, we have a Boolean homomorphism  $E \mapsto (E \times Y)^\bullet : \Sigma \rightarrow \mathfrak{C}$  with kernel  $\mathcal{I}$ , so there is a corresponding Boolean homomorphism  $E^\bullet \mapsto (E \times Y)^\bullet : \mathfrak{A} \rightarrow \mathfrak{C}$ . Similarly we have a Boolean homomorphism  $F^\bullet \mapsto (X \times F)^\bullet : \mathfrak{B} \rightarrow \mathfrak{C}$ . Accordingly we have a Boolean homomorphism  $\phi : \mathfrak{A} \otimes \mathfrak{B} \rightarrow \mathfrak{C}$  defined by saying that

<sup>1</sup>that is, its order-sequential topology (1Jb).



$$\phi(E^\bullet \otimes F^\bullet) = (E \times Y)^\bullet \cap (X \times F)^\bullet = (E \times F)^\bullet$$

for  $E \in \Sigma$  and  $F \in \mathsf{T}$ . Now  $\phi$  is injective. **P** If  $e \in \mathfrak{A} \otimes \mathfrak{B}$  is non-zero, there are  $E \in \Sigma$ ,  $F \in \mathsf{T}$  such that  $0 \neq E^\bullet \otimes F^\bullet \subseteq e$ . In this case,  $E \notin \mathcal{I}$  and  $F \notin \mathcal{J}$  so  $\lambda(E \times F) > 0$  and

$$\phi e \supseteq \phi(E^\bullet \otimes F^\bullet) = (E \times F)^\bullet \neq 0. \quad \mathbf{Q}$$

(e)  $\bar{\lambda}(\phi e) = (\mu \times \nu)(e)$  for every  $e \in \mathfrak{A} \otimes \mathfrak{B}$ . **P** Express  $e$  as  $\sup_{i \in I} a_i \otimes b_i$  where  $\langle a_i \rangle_{i \in I}$  is a finite partition of unity in  $\mathfrak{A}$  and  $b_i \in \mathfrak{B}$  for each  $i$ . For each  $i$ , we can express  $a_i, b_i$  as  $E_i^\bullet, F_i^\bullet$  where  $E_i \in \Sigma$  and  $F_i \in \mathsf{T}$ ; moreover, we can do this in such a way that  $\langle E_i \rangle_{i \in I}$  is a partition of  $X$ . In this case,  $\phi e = W^\bullet$  where  $W = \bigcup_{i \in I} E_i \times F_i$ , so that, for  $\epsilon > 0$ ,

$$\mu\{x : \nu W[\{x\}] > \epsilon\} = \mu(\bigcup\{E_i : i \in I, \nu F_i > \epsilon\}) = \bar{\mu}(\sup\{a_i : i \in I, \bar{\nu} b_i > \epsilon\}).$$

Accordingly

$$\begin{aligned} (\mu \times \nu)(e) &= \inf\{\epsilon : \bar{\mu}(\sup\{a_i : i \in I, \bar{\nu} b_i > \epsilon\}) \leq \epsilon\} \\ &= \inf\{\epsilon : \mu\{x : \nu W[\{x\}] > \epsilon\} \leq \epsilon\} = \lambda W = \bar{\lambda} W^\bullet = \bar{\lambda}(\phi e). \quad \mathbf{Q} \end{aligned}$$

Next,  $\phi[\mathfrak{A} \otimes \mathfrak{B}]$  is dense in  $\mathfrak{C}$  for the metric induced by  $\bar{\lambda}$ . **P** Let  $\mathfrak{D}$  be the metric closure of  $\phi[\mathfrak{A} \otimes \mathfrak{B}]$  and set  $\mathcal{V} = \{V : V \in \Sigma \otimes \mathsf{T}, V^\bullet \in \mathfrak{D}\}$ . Then  $\mathcal{V}$  includes  $\Sigma \otimes \mathsf{T}$  and is closed under unions and intersections of monotonic sequences, so is the whole of  $\Sigma \widehat{\otimes} \mathsf{T}$ , and  $\mathfrak{D} = \mathfrak{C}$ , as required. **Q** But this means that we can identify  $\mathfrak{C}$  with the metric completion of  $\phi[\mathfrak{A} \otimes \mathfrak{B}]$  and with  $\mathfrak{A} \widehat{\otimes} \mathfrak{B}$ .

**4C The robust  $\sigma$ -bounded-cc (a)** Let  $\mathfrak{A}$  be a Boolean algebra and  $\mu$  a strictly positive submeasure on  $\mathfrak{A}$ . I will say that  $(\mathfrak{A}, \mu)$  is **robustly  $\sigma$ -bounded-cc** if  $\mathfrak{A}^+$  can be expressed as  $\bigcup_{n \in \mathbb{N}} A_n$  where for each  $n \in \mathbb{N}$  there are  $m \in \mathbb{N}$ ,  $\delta > 0$  such that whenever  $a_0, \dots, a_m \in A_n$  then there are distinct  $i, j < m$  such that  $\mu(a_i \cap a_j) \geq \delta$ .

(b) Observe that if  $\mathfrak{A}$  is a Boolean algebra and  $\mu$  is a strictly positive additive functional on  $\mathfrak{A}$ , then  $(\mathfrak{A}, \mu)$  is robustly  $\sigma$ -finite-cc. **P** Set  $A_n = \{a : \mu a \geq \frac{\mu 1}{n+1}\}$  for each  $n \in \mathbb{N}$ . If  $a_0, \dots, a_{n+1} \in A_n$ , then

$$\frac{n+2}{n+1} \mu 1 \leq \sum_{i=0}^{n+1} \mu a_i \leq \mu 1 + \mu(\sup_{i < j \leq n+1} a_i \cap a_j),$$

so there must be distinct  $i, j \leq n+1$  such that  $\mu(a_i \cap a_j) \geq \frac{2\mu 1}{(n+2)(n+1)^2}$ . **Q**

(c) If  $\mu, \nu$  are two strictly positive submeasures on  $\mathfrak{A}$ , each absolutely continuous with respect to the other, then  $(\mathfrak{A}, \mu)$  is robustly  $\sigma$ -bounded-cc iff  $(\mathfrak{A}, \nu)$  is.

**4D Proposition** Let  $\mathfrak{A}$  be a  $\sigma$ -bounded-cc Maharam algebra, and  $\mu$  a strictly positive Maharam submeasure on  $\mathfrak{A}$ . Then  $(\mathfrak{A}, \mu)$  is robustly  $\sigma$ -bounded-cc.

**proof** Let  $\langle A_n \rangle_{n \in \mathbb{N}}, \langle m_n \rangle_{n \in \mathbb{N}}$  be such that  $\mathfrak{A}^+ = \bigcup_{n \in \mathbb{N}} A_n$  and no  $A_n$  includes any disjoint set of size greater than  $m_n$ . For  $n \in \mathbb{N}$  set  $A'_n = \bigcup\{[a, 1] : a \in A_n\}$ ; then  $A'_n$  includes no disjoint set of size greater than  $m_n$ . For  $n, k \in \mathbb{N}$  set

$$B_{nk} = \{a : a \in \mathfrak{A}, a \setminus b \in A'_n \text{ whenever } \mu b \leq 2^{-k}\}.$$

Then  $\bigcup_{n, k \in \mathbb{N}} B_{nk} = \mathfrak{A}^+$ . **P?** Otherwise, there is an  $a \in \mathfrak{A}^+$  such that for every  $n \in \mathbb{N}$  there is a  $b_n$  such that  $\mu b_n \leq 2^{-n-2} \mu a$  and  $a \setminus b_n \notin A'_n$ . Set  $a' = a \setminus \sup_{n \in \mathbb{N}} b_n$ ; then  $\mu a' > 0$  but  $a' \notin \bigcup_{n \in \mathbb{N}} A_n$ . **XQ**

Set  $\delta_{nk} = \frac{1}{2^k(m_n+1)}$  for  $m, n \in \mathbb{N}$ . If  $n, k \in \mathbb{N}$  and  $a_0, \dots, a_{m_n} \in B_{nk}$ , then there are distinct  $i, j \leq m_n$  such that  $\mu(a_i \cap a_j) \geq \delta_{nk}$ . **P?** Otherwise, set  $b_i = \sup_{j \leq m_n, j \neq i} a_j \cap a_i$  for each  $i$ . Then  $\mu b_i \leq 2^{-k}$  so  $a_i \setminus b_i \in A'_n$  for each  $i \leq m_n$ . But  $\langle a_i \setminus b_i \rangle_{i \leq m_n}$  is disjoint. **XQ**

So  $\langle B_{nk} \rangle_{n, k \in \mathbb{N}}$  witnesses that  $(\mathfrak{A}, \mu)$  is robustly  $\sigma$ -bounded-cc.

**4E Proposition** Suppose that  $\mathfrak{A}$  and  $\mathfrak{B}$  are  $\sigma$ -bounded-cc Maharam algebras. Then their Maharam algebra free product  $\mathfrak{C}$  is  $\sigma$ -bounded-cc.

**proof (a)** We may suppose throughout that neither  $\mathfrak{A}$  nor  $\mathfrak{B}$  is  $\{0\}$ . Express  $\mathfrak{A}$  and  $\mathfrak{B}$  as quotients of  $(X, \Sigma, \mu)$  and  $(Y, \mathsf{T}, \nu)$  as in 1Ib. Then we can identify  $\mathfrak{C}$  with the quotient of  $(X \times Y, \Sigma \otimes \mathsf{T}, \lambda)$  where  $\lambda$  is defined as in Theorem 4B. Let  $\langle A_n \rangle_{n \in \mathbb{N}}, \langle B_n \rangle_{n \in \mathbb{N}}$  witness that  $(\mathfrak{A}, \bar{\mu})$  and  $(\mathfrak{B}, \bar{\nu})$  are robustly  $\sigma$ -bounded-cc (Proposition 4D); for each  $n \in \mathbb{N}$  let  $m_n, m'_n \in \mathbb{N}$  and  $\delta_n, \delta'_n > 0$  be appropriate parameters as required in the definition 4Ca. Set

$$\mathcal{E}_n = \{E : E \in \Sigma, E^\bullet \in A_n\}, \quad \mathcal{F}_n = \{F : F \in \mathsf{T}, F^\bullet \in B_n\}$$

for each  $n$ . Then  $\bigcup_{n \in \mathbb{N}} \mathcal{E}_n = \{E : \mu E > 0\}$  and  $\bigcup_{n \in \mathbb{N}} \mathcal{F}_n = \{F : \nu F > 0\}$ .

(b) If  $W \in \Sigma \widehat{\otimes} \mathsf{T}$  and  $\lambda W > 0$ , there is an  $F \in \mathsf{T}$  such that  $\nu F > 0$  and  $\mu\{x : \nu(F \setminus W[\{x}]) < \eta\} > 0$  for every  $\eta > 0$ . **P** By Theorem 4Ba,  $x \mapsto W[\{x\}]^\bullet$  is measurable and has separable range. Set  $E = \{x : W[\{x\}]^\bullet \neq 0\}$ ,  $D = \{W[\{x\}]^\bullet : x \in E\}$ ; then  $\mu E > 0$  and  $D$  is separable. As  $D$  is Lindelöf, there is a  $b \in D$  such that  $\mu\{x : W[\{x\}]^\bullet \in U\} > 0$  for every open neighbourhood  $U$  of  $b$ . Take  $F \in \mathsf{T}$  such that  $F^\bullet = b$ ; then  $\nu F > 0$ . If  $\eta > 0$ , then  $U = \{b' : \bar{\nu}(b \setminus b') < \eta\}$  is a neighbourhood of  $b$ , so

$$\mu\{x : \nu(F \setminus W[\{x}]) < \eta\} = \mu\{x : W[\{x\}]^\bullet \in U\} > 0. \quad \mathbf{Q}$$

(c) For  $k, l \in \mathbb{N}$ , let  $\mathcal{W}_{kl}$  be the set of those  $W \in \Sigma \widehat{\otimes} \mathsf{T}$  for which there are  $E \in \mathcal{E}_k, F \in \mathcal{F}_l$  such that  $\nu(F \setminus W[\{x}]) \leq \frac{1}{3}\delta'_l$  for every  $x \in E$ . By (b), every  $W \in \Sigma \widehat{\otimes} \mathsf{T}$  such that  $\lambda W > 0$  belongs to  $\mathcal{W}_{kl}$  for some  $k, l$ .

(d) Take  $k, l \in \mathbb{N}$ . Let  $m \geq 1$  be so large that whenever  $S \subseteq [m+1]^2$  there is either an  $I \in [m+1]^{m_k+1}$  such that  $[I]^2 \subseteq S$  or a  $J \in [m+1]^{m_l+1}$  such that  $[J]^2 \cap S = \emptyset$ . Then if  $W_0, \dots, W_m \in \mathcal{W}_{kl}$  there are distinct  $i, j \leq m$  such that  $\lambda(W_i \cap W_j) > 0$ . **P** For each  $i \leq m$  choose  $E_i \in \mathcal{E}_k$  and  $F_i \in \mathcal{F}_l$  such that  $\nu(F_i \setminus W_i[\{x}]) \leq \frac{1}{3}\delta'_l$  for every  $x \in E_i$ . Consider  $S = \{\{i, j\} : i < j \leq m, \mu(E_i \cap E_j) < \delta_k\}$ . If  $I \subseteq m+1$  and  $\#(I) = m_k+1$  there must be distinct  $i, j \in I$  such that  $\mu(E_i \cap E_j) \geq \delta_k$ , so that  $\{i, j\} \notin S$ . Accordingly there is a set  $J \subseteq m+1$  such that  $\#(J) = m_l+1$  and  $[J]^2 \cap S = \emptyset$ . Let  $i, j$  be distinct members of  $J$  such that  $\nu(F_i \cap F_j) \geq \delta'_l$ . Then

$$\nu(W_i \cap W_j)[\{x\}] = \nu(W_i[\{x\}] \cap W_j[\{x\}]) \geq \nu(F_i \cap F_j) - \frac{2}{3}\delta'_l \geq \frac{1}{2}\delta'_l$$

for every  $x \in E_i \cap E_j$ . So

$$\lambda(W_i \cap W_j) \geq \min(\mu(E_i \cap E_j), \frac{1}{3}\delta'_l) > 0. \quad \mathbf{Q}$$

Accordingly, setting  $C_{kl} = \{W^\bullet : W \in \mathcal{W}_{kl}\}$  for  $k, l \in \mathbb{N}$ ,  $\langle C_{kl} \rangle_{k, l \in \mathbb{N}}$  witnesses that  $(\mathfrak{C}, \bar{\lambda})$  is  $\sigma$ -bounded-cc.

**4F Definitions** (FREMLIN 08?, §527) Suppose that  $\mathcal{I} \triangleleft \mathcal{P}X$  and  $\mathcal{J} \triangleleft \mathcal{P}Y$  are ideals of subsets of sets  $X, Y$  respectively.

(a) I will write  $\mathcal{I} \times \mathcal{J}$  for their **skew product**  $\{W : W \subseteq X \times Y, \{x : W[\{x\}] \notin \mathcal{J}\} \in \mathcal{I}\}$ . and  $\mathcal{I} \rtimes \mathcal{J}$  for  $\{W : W \subseteq X \times Y, \{y : W^{-1}[\{y\}] \notin \mathcal{I}\} \in \mathcal{J}\}$ ; these are ideals of subsets of  $X \times Y$ .

(b) If  $\Lambda$  is a family of subsets of  $X \times Y$ , write  $\mathcal{I} \times_\Lambda \mathcal{J}, \mathcal{I} \rtimes_\Lambda \mathcal{J}$  for the ideals generated by  $(\mathcal{I} \times \mathcal{J}) \cap \Lambda, (\mathcal{I} \rtimes \mathcal{J}) \cap \Lambda$  respectively.

**4G Proposition** Let  $X$  be a set,  $\Sigma$  a  $\sigma$ -algebra of subsets of  $X$ ,  $\mu : \Sigma \rightarrow [0, \infty[$  a Maharam submeasure, and  $\mathcal{N}(\mu)$  the null ideal of  $\mu$ , that is, the ideal of subsets of  $X$  generated by  $\mu^{-1}[\{0\}]$ . Let  $\mu_L$  be Lebesgue measure on  $[0, 1]$ .

(a) If  $\mathcal{N}(\mu) \times_{\Sigma \widehat{\otimes} \Sigma_L} \mathcal{N}(\mu_L) \subseteq \mathcal{N}(\mu) \times \mathcal{N}(\mu_L)$  then  $\mu$  is uniformly exhaustive.

(b) If  $\mu$  is uniformly exhaustive then  $\mathcal{N}(\mu) \times_{\Sigma \widehat{\otimes} \Sigma_L} \mathcal{N}(\mu_L) = \mathcal{N}(\mu) \times \mathcal{N}(\mu_L)$ .

**proof (a) ?** Otherwise, there are an  $\epsilon > 0$  and a family  $\langle E_{ij} \rangle_{i \in \mathbb{N}, j < 2^i}$  in  $\Sigma$  such that  $\mu E_{ij} \geq \epsilon$  for all  $i$  and  $j$  and  $\langle E_{ij} \rangle_{j < 2^i}$  is disjoint for each  $i$ . Let  $\langle F_{ij} \rangle_{i \in \mathbb{N}, j < 2^i}$  be a family in  $\Sigma_L$  such that  $\mu F_{ij} = 2^{-i}$  for all  $i$  and  $j$  and  $\bigcup_{j < 2^i} F_{ij} = [0, 1]$  for each  $i$ . Set

$$W = \bigcap_{k \in \mathbb{N}} \bigcup_{i \geq k, j < 2^i} E_{ij} \times F_{ij} \in \Sigma \widehat{\otimes} \Sigma_L.$$

For any  $x \in X$ , set  $K_x = \{i : x \in \bigcup_{j < 2^i} E_{ij}\}$ , and for  $i \in K_x$  define  $f(x, i)$  by saying that  $x \in E_{i, f(x, i)}$ ; then

$$W[\{x\}] = \bigcap_{k \in \mathbb{N}} \bigcup_{i \in K_x \setminus k} F_{i,f(x,i)} \in \mathcal{N}(\mu_L)$$

because  $\sum_{i \in K_x} \mu F_{i,f(x,i)}$  is finite. So  $W \in \mathcal{N}(\mu) \times_{\Sigma \hat{\otimes} \Sigma_L} \mathcal{N}(\mu_L)$ . For any  $t \in [0, 1]$ ,  $i \in \mathbb{N}$  choose  $g(t, i)$  such that  $t \in F_{i,g(t,i)}$ ; then

$$\mu W^{-1}[\{t\}] \geq \mu(\bigcap_{k \in \mathbb{N}} \bigcup_{i \geq k} E_{i,g(t,i)}) = \inf_{k \in \mathbb{N}} \mu(\bigcup_{i \geq k} E_{i,g(t,i)}) \geq \epsilon.$$

So  $W \notin \mathcal{N}(\mu) \times_{\Sigma \hat{\otimes} \Sigma_L} \mathcal{N}(\mu_L)$ . **X**

(b) The quotient  $\mathfrak{A} = \Sigma/\mathcal{N}(\mu)$  has a strictly positive uniformly exhaustive submeasure, so is a measurable algebra; there is therefore a totally finite measure  $\nu$  with domain  $\Sigma$  and the same null ideal as  $\mu$ . Now we can use Fubini's theorem to see that  $\mathcal{N}(\nu) \times_{\Sigma \hat{\otimes} \Sigma_L} \mathcal{N}(\mu_L)$  and  $\mathcal{N}(\nu) \times_{\Sigma \hat{\otimes} \Sigma_L} \mathcal{N}(\mu_L)$  are both the null ideal of the product measure  $\nu \times \mu_L$ .

## 5 Forcing

**5A Proposition** Suppose that  $\mathfrak{A}$  and  $\mathfrak{C}$  are Boolean algebras such that

- (i)  $\mathfrak{A}$  is weakly  $(\sigma, \infty)$ -distributive, has a strictly positive exhaustive submeasure and is not  $\{0\}$ ;
- (ii)  $\Vdash_{\mathfrak{A}}$  ' $\check{\mathfrak{C}}$  has a strictly positive exhaustive submeasure'.

Then  $\mathfrak{C}$  has a strictly positive exhaustive submeasure.

**proof** Replacing  $\mathfrak{A}$  by its completion, if necessary, we may suppose that  $\mathfrak{A}$  is a Maharam algebra (Prop. 1E), with a strictly positive Maharam submeasure  $\nu$ . Let  $\dot{\mu}$  be an  $\mathfrak{A}$ -name for a strictly positive exhaustive submeasure on  $\mathfrak{C}$ . For  $c \in \mathfrak{C}$ , set

$$\lambda c = \inf\{\epsilon : \epsilon \in \mathbb{Q}, \epsilon \geq 0, \nu(\llbracket \dot{\mu} c > \epsilon \rrbracket) \leq \epsilon\}.$$

Now  $\lambda$  is a submeasure. **P** If  $c = 0$  then  $\llbracket \dot{\mu} c > 0 \rrbracket = 0$  and  $\lambda c = 0$ . If  $c \subseteq c'$  then  $\llbracket \dot{\mu} c > \epsilon \rrbracket \subseteq \llbracket \dot{\mu} c' > \epsilon \rrbracket$  for every  $\epsilon > 0$  and  $\lambda c \leq \lambda c'$ . If  $c, c' \in \mathfrak{C}$  and  $\delta > 0$ , there are  $\epsilon, \epsilon' \in \mathbb{Q}$  such that

$$\epsilon \leq \lambda c + \delta, \quad \nu(\llbracket \dot{\mu} c > \epsilon \rrbracket) \leq \epsilon, \quad \epsilon' \leq \lambda c' + \delta, \quad \nu(\llbracket \dot{\mu} c' > \epsilon' \rrbracket) \leq \epsilon'.$$

Now if  $a = 1 \setminus (\llbracket \dot{\mu} c > \epsilon \rrbracket \cup \llbracket \dot{\mu} c' > \epsilon' \rrbracket)$ ,

$$a \Vdash \dot{\mu} c \leq \epsilon \ \& \ \dot{\mu} c' \leq \epsilon',$$

so  $a \Vdash \dot{\mu}(c \cup c') \leq \epsilon + \epsilon'$ , that is,

$$\llbracket \dot{\mu}(c \cup c') > \epsilon + \epsilon' \rrbracket \subseteq \llbracket \dot{\mu} c > \epsilon \rrbracket \cup \llbracket \dot{\mu} c' > \epsilon' \rrbracket;$$

consequently  $\lambda(c \cup c') \leq \lambda c + \lambda c' + 2\delta$ ; as  $\delta, c$  and  $c'$  are arbitrary,  $\lambda$  is subadditive. **Q**

$\lambda$  is strictly positive. **P** If  $c \in \mathfrak{C}^+$ , then  $1_{\mathfrak{A}} = \llbracket \dot{\mu} c > 0 \rrbracket = \sup_{n \in \mathbb{N}} \llbracket \dot{\mu} c > 2^{-n} \rrbracket$ , so there must be some  $n \in \mathbb{N}$  such that  $\nu(\llbracket \dot{\mu} c > 2^{-n} \rrbracket) > 2^{-n}$  and  $\lambda c \geq 2^{-n}$ . **Q**

Finally,  $\lambda$  is exhaustive. **P** Suppose that  $\langle c_n \rangle_{n \in \mathbb{N}}$  is a sequence in  $\mathfrak{C}$  such that  $\lambda c_n > \epsilon$  for every  $n$ , where  $\epsilon > 0$  is rational. Set  $a_n = \llbracket \dot{\mu} c_n \geq \epsilon \rrbracket$ ; then  $\nu a_n \geq \epsilon$  for every  $n$ . Set  $a = \inf_{n \in \mathbb{N}} \sup_{m \geq n} a_m$ ; then  $\nu a \geq \epsilon$  so  $a \neq 0$ . Now

$$a \Vdash \text{'for every } n \in \mathbb{N} \text{ there is an } m \geq n \text{ such that } \dot{\mu} c_m \geq \epsilon \text{'}$$

since  $\dot{\mu}$  is a name for an exhaustive submeasure,

$$a \Vdash \text{'there are distinct } m, n \in \mathbb{N} \text{ such that } c_m \cap c_n \neq 0 \text{'}$$

So there are distinct  $m, n \in \mathbb{N}$  and a non-zero  $a' \subseteq a$  such that

$$a' \Vdash \text{'} c_m \cap c_n \neq 0 \text{'}$$

But since the objects  $c_m, c_n$  are in the ground model,  $c_m \cap c_n \neq 0$  in the real world, and  $\langle c_n \rangle_{n \in \mathbb{N}}$  is not disjoint. **Q**

**5B Corollary** Suppose that  $\mathfrak{A}$  is a non-zero Maharam algebra and  $\mathfrak{C}$  is a Dedekind complete Boolean algebra such that

$$\Vdash_{\mathfrak{A}} \text{'the Dedekind completion of } \check{\mathfrak{C}} \text{ is a Maharam algebra'}$$

Then  $\mathfrak{C}$  is a Maharam algebra.

**proof** Since

$$\Vdash_{\mathfrak{A}} \text{‘the Dedekind completion of } \check{\mathfrak{C}} \text{ has a strictly positive exhaustive submeasure’},$$

we surely have

$$\Vdash_{\mathfrak{A}} \text{‘}\check{\mathfrak{C}} \text{ has a strictly positive exhaustive submeasure’}.$$

By Proposition 5A,  $\mathfrak{C}$  has a strictly positive exhaustive submeasure; in particular, it is ccc. Also  $\mathfrak{A}$ , being weakly  $(\sigma, \infty)$ -distributive, is weakly  $\sigma$ -distributive, and

$$\Vdash_{\mathfrak{A}} \text{‘}\check{\mathfrak{C}} \text{ is weakly } \sigma\text{-distributive’},$$

so  $\mathfrak{C}$  is weakly  $\sigma$ -distributive; as it is ccc,  $\mathfrak{C}$  is weakly  $(\sigma, \infty)$ -distributive. Now Proposition 1E tells us that  $\mathfrak{C}$  is a Maharam algebra.

**5C Pre-ordered sets** (In the following paragraphs, all pre-ordered sets will be active upwards; that is to say,  $p \leq q$  will mean that  $q$  is stronger than  $p$ . In the language of FREMLIN 08?, this would be represented by adding the word ‘upwards’ to each definition.) Let  $P$  be a pre-ordered set (‘p.o.set’ in KUNEN 80), that is, a set with a reflexive transitive relation  $\leq$ . I will say that  $P$  is ‘Maharam’, or ‘measurable’, or ‘chargeable’, or ‘weakly  $\sigma$ -distributive’, or ‘ $\sigma$ -finite-cc’, or ‘ $\sigma$ -bounded-cc’, or ‘weakly  $(\sigma, \infty)$ -distributive’, if its regular open algebra is. The last three have reasonably simple translations:

$P$  is  $\sigma$ -finite-cc iff it is expressible as  $\bigcup_{n \in \mathbb{N}} A_n$  where no  $A_n$  includes any infinite antichain;

$P$  is  $\sigma$ -bounded-cc iff it is expressible as  $\bigcup_{n \in \mathbb{N}} A_n$  where no  $A_n$  includes any antichain with more than  $n$  members;

$P$  is weakly  $(\sigma, \infty)$ -distributive iff whenever  $\langle A_n \rangle_{n \in \mathbb{N}}$  is a sequence of maximal antichains in  $P$ , then there is a maximal antichain  $B$  such that  $\{a : a \in A_n, a \text{ is compatible with } b\}$  is finite for every  $n \in \mathbb{N}$ .

Theorems 3C and 3G tell us that  $P$  is Maharam iff it is weakly  $(\sigma, \infty)$ -distributive and  $\sigma$ -finite-cc, and that if Todorćević’s  $P$ -ideal dichotomy is true, then  $P$  is Maharam iff it is weakly  $(\sigma, \infty)$ -distributive and ccc. I note that  $P$  is measurable iff it is weakly  $(\sigma, \infty)$ -distributive and chargeable (FREMLIN 04, 391D). We can translate Kelley’s criterion (FREMLIN 04, 391J) as follows:

$P$  is chargeable iff it is expressible as  $\bigcup_{n \in \mathbb{N}} A_n$  where for every  $n \in \mathbb{N}$  and every non-empty finite indexed family  $\langle p_i \rangle_{i \in I}$  in  $A_n$ , there is a  $J \subseteq I$  such that  $\#(J) \geq 2^{-n} \#(I)$  and  $\{p_i : i \in J\}$  has an upper bound in  $P$ .

Now we have the following result.

**5D Theorem** Let  $P$  be a pre-ordered set and  $\dot{Q}$  a  $P$ -name for a pre-ordered set.

- (a) If  $P$  is weakly  $\sigma$ -distributive and  $\Vdash_P \text{‘}\dot{Q} \text{ is weakly } \sigma\text{-distributive’}$ , then  $P * \dot{Q}$  is weakly  $\sigma$ -distributive.
- (b) (I.Farah) If  $P$  is Maharam and  $\Vdash_P \text{‘}\dot{Q} \text{ is Maharam’}$ , then  $P * \dot{Q}$  is Maharam.

**proof (a)** The point is that  $P$  is weakly  $\sigma$ -distributive iff it is  $\omega^\omega$ -bounding, so we can use (for instance) Theorem 6.3.5 of BARTOSZYŃSKI & JUDAH 95.

(b) For  $p \in P$ , let  $\hat{p} = \text{int } \overline{[p, \infty[}$  be the corresponding element of the regular open algebra  $\text{RO}(P)$ . By Proposition 2H, we can express  $P$  as a union  $\bigcup_{n \in \mathbb{N}} A_n$  where for any sequence  $\langle p_j \rangle_{j \in \mathbb{N}}$  in any  $A_n$  there are a strictly increasing sequence  $\langle k_i \rangle_{i \in \mathbb{N}}$  in  $\mathbb{N}$  and a  $p \in P$  such that  $\hat{p} \subseteq \sup_{k_i \leq j < k_{i+1}} \hat{p}_j$  for every  $i$ . At the same time,

$$\Vdash_P \text{‘}\dot{Q} \text{ is } \sigma\text{-finite-cc’},$$

so there is a sequence  $\langle \dot{B}_n \rangle_{n \in \mathbb{N}}$  of  $P$ -names for subsets of  $\dot{Q}$  such that

$$\Vdash_P \text{‘}\bigcup_{n \in \mathbb{N}} \dot{B}_n = \dot{Q} \text{ and there is no infinite antichain in } \dot{B}_n \text{’}$$

for every  $n$ . Set

$$C_{mn} = \{(p, \dot{q}) : p \in A_m, p \Vdash \text{‘}\dot{q} \in \dot{B}_n \text{’}\}$$

for  $m, n \in \mathbb{N}$ . Then  $\bigcup_{m, n \in \mathbb{N}} C_{mn}$  is cofinal with  $P * \dot{Q}$ . Also no  $C_{mn}$  includes an infinite antichain. **P** Let  $\langle (p_i, \dot{q}_i) \rangle_{i \in \mathbb{N}}$  be a sequence in  $C_{mn}$ . Because  $p_i \in A_n$  for every  $i$ , we have a  $p \in P$  and a strictly increasing

sequence  $\langle k_j \rangle_{j \in \mathbb{N}}$  such that  $\widehat{p} \subseteq \sup_{k_j \leq i < k_{j+1}} \widehat{p}_i$  for every  $j$ . We can therefore find maximal antichains  $A'_j$ , for  $j \in \mathbb{N}$ , such that if  $p' \in A'_j$  either  $p'$  is incompatible with  $p$  or  $p \leq p'$  and there is an  $i \in k_{j+1} \setminus k_j$  with  $p_i \leq p'$ . Let  $\dot{q}'_j$  be a  $P$ -name for a member of  $\dot{Q}$  such that whenever  $p' \in A'_j$  and  $p \leq p'$  there is an  $i \in k_{j+1} \setminus k_j$  such that  $p_i \leq p'$  and

$$p' \Vdash \dot{q}'_j = \dot{q}_i,$$

so that

$$p \Vdash \dot{q}'_j \in \dot{B}_n.$$

There must therefore be distinct  $j, j'$  such that

$$p \Vdash \dot{q}'_j \text{ and } \dot{q}'_{j'} \text{ are compatible'}$$

But now there must be a  $p' \geq p$  and  $i \in k_{j+1} \setminus k_j, i' \in k_{j'+1} \setminus k_{j'}$  such that  $p \geq p_i, p' \geq p_{i'}$  and

$$p' \Vdash \dot{q}'_j = \dot{q}_i \text{ and } \dot{q}'_{j'} = \dot{q}_{i'};$$

in which case  $i \neq i'$  and  $(p_i, \dot{q}'_i)$  and  $(p_{i'}, \dot{q}'_{i'})$  are compatible. **Q**

So  $P * \dot{Q}$  is  $\sigma$ -finite-cc; by Theorem 3C and (a) above, it is Maharam.

**Remark** Of course there is an alternative proof working with the regular open algebras  $\text{RO}(P)$  and  $\text{RO}(\dot{Q})$  and Maharam submeasures and using Proposition 1E.

**5E The Tukey ordering** If  $P$  and  $Q$  are pre-ordered sets, a function  $\phi : P \rightarrow Q$  is a **Tukey function** if  $\{p : f(p) \leq q\}$  is bounded above in  $P$  for every  $q \in Q$ . If there is a Tukey function from  $P$  to  $Q$ , I write  $P \preceq_T Q$ . (See FREMLIN 08?, §513.)

**5F Proposition** Let  $P$  and  $Q$  be pre-ordered sets such that  $P \preceq_T Q$ . If  $Q$  is chargeable, so is  $P$ .

**proof** Let  $\phi : P \rightarrow Q$  be a Tukey function, and express  $Q$  as  $\bigcup_{n \in \mathbb{N}} B_n$  where for every  $n \in \mathbb{N}$  and every finite indexed family  $\langle q_i \rangle_{i \in I}$  in  $B_n$ , there is a  $J \subseteq I$  such that  $\#(J) \geq 2^{-n} \#(I)$  and  $\{q_i : i \in J\}$  has an upper bound in  $Q$ . Set  $A_n = \phi^{-1}[B_n]$  for each  $n$ ; then  $P = \bigcup_{n \in \mathbb{N}} A_n$ , and for every  $n \in \mathbb{N}$  and every finite indexed family  $\langle p_i \rangle_{i \in I}$  in  $A_n$ , there is a  $J \subseteq I$  such that  $\#(J) \geq 2^{-n} \#(I)$  and  $\{\phi(p_i) : i \in J\}$  has an upper bound in  $Q$ , so  $\{p_i : i \in J\}$  has an upper bound in  $P$ .

## 6 Examples

**6A Proposition** (S.Todorčević) Let  $\text{RO}(X)$  be the regular open algebra of the space  $X$  described in FREMLIN 04, 391N ('Gaifman's example'; see GAIFMAN 64). Then  $\text{RO}(X)$  has the property (\*) defined in 1Ad.

**proof** I recall the definition of  $X$  from FREMLIN 04. Enumerate as  $\langle I_n \rangle_{n \in \mathbb{N}}$  the set of half-open intervals  $[q, q'$  in  $\mathbb{R}$  with  $q, q' \in \mathbb{Q}$  and  $q < q'$ . For each  $n \in \mathbb{N}$  let  $\mathcal{J}_n$  be a disjoint family of non-trivial subintervals of  $I_n$ . Let  $X$  be the set of those  $x \in \{0, 1\}^{\mathbb{R}}$  such that for each  $n$  the set  $\{J : J \in \mathcal{J}_n, x(t) = 1 \text{ for some } t \in J\}$  has at most  $n + 1$  members, with its compact Hausdorff zero-dimensional topology inherited from  $\{0, 1\}^{\mathbb{R}}$ .

For each  $n \in \mathbb{N}$  let  $\mathcal{G}_n$  be the set of those regular open subsets  $G$  of  $X$  for which there are  $K, L \in [\mathbb{R}]^{<\omega}$  such that (i) taking  $\mathcal{E}_n$  to be the finite subalgebra of subsets of  $\mathbb{R}$  generated by  $\{I_i : i < n\}$ , any two distinct points  $t, u$  of  $K \cup L$  belong to different atoms of  $\mathcal{E}_n$  (ii)  $\{x : x \in X, x(t) = 1 \text{ for every } t \in K, x(t) = 0 \text{ for every } t \in L\}$  is non-empty and included in  $G$ . Then every non-empty regular open subset of  $X$  belongs to some  $\mathcal{G}_n$ . Now suppose that  $n \in \mathbb{N}$  and we are given a sequence  $\langle G_k \rangle_{k \in \mathbb{N}}$  in  $\mathcal{G}_n$ . For each  $k \in \mathbb{N}$  let  $K_k, L_k$  be finite sets witnessing that  $G_k \in \mathcal{G}_n$ . Let  $\langle k_r \rangle_{r \in \mathbb{N}}$  be a strictly increasing sequence such that

$$\begin{aligned} &\text{for every } r \in \mathbb{N} \text{ and } E \in \mathcal{E}_n, K_{k_r} \cap E \neq \emptyset \text{ iff } K_{k_0} \cap E \neq \emptyset, \\ &\text{for every } r \in \mathbb{N} \text{ and } E \in \mathcal{E}_n, L_{k_r} \cap E \neq \emptyset \text{ iff } L_{k_0} \cap E \neq \emptyset, \end{aligned}$$

$$\text{whenever } m \in \mathbb{N} \text{ and } r \geq \lfloor \frac{m}{n} \rfloor - 1 \text{ and } J \in \mathcal{J}_m \text{ then } K_{k_{r+1}} \cap J \neq \emptyset \text{ iff } K_{k_r} \cap J = \emptyset.$$

(At each stage we have to choose  $k_r$  belonging to an infinite set belonging to a given finite partition of the previous infinite set.) Now set  $x(t) = 1$  if  $t \in \bigcup_{r \in \mathbb{N}} K_{k_r}$ , 0 otherwise. For  $m \in \mathbb{N}, r \in \mathbb{N}$  set  $\mathcal{J}_{mr} = \{J : J \in \mathcal{J}_m, J \cap K_{k_r} \neq \emptyset\}$ ; for  $m \in \mathbb{N}$ , set  $\mathcal{J}'_m = \bigcup_{r \in \mathbb{N}} \mathcal{J}_{mr}$ . Then  $\mathcal{J}_{m,r+1} = \mathcal{J}_{mr}$  if  $r \geq \lfloor \frac{m}{n} \rfloor - 1$ , while

$\#(\mathcal{J}_{mr}) \leq \#(K_{k_r}) \leq n$  for all  $m$  and  $r$ . In particular, if  $m < 2n$ ,  $\mathcal{J}_{mr} = \mathcal{J}_{m0}$  for every  $r$ ; as  $\{x : x(t) = 1 \text{ for } t \in K_{k_0}\}$  meets  $X$ ,  $\#(\mathcal{J}'_m) \leq m$  for such  $m$ . If  $(l+1)n \leq m < (l+2)n$ , where  $l \geq 1$ , then  $\mathcal{J}_{mr} = \mathcal{J}_{ml}$  for every  $r \geq l$ , so

$$\#(\mathcal{J}'_m) = \#(\bigcup_{r \leq l} \mathcal{J}_{mr}) \leq (l+1)n \leq m.$$

What this means is that  $\#\{J : J \in \mathcal{J}_m, x(t) = 1 \text{ for some } t \in J\} \leq m$  for every  $m \in \mathbb{N}$ , and  $x \in X$ . I have still to confirm that  $x \in G_{k_r}$  for every  $r$ . But, given  $r$ , then if  $t \in K_{k_r}$ , we certainly have  $x(t) = 1$ ; while if  $u \in L_{k_r}$ , then there is an atom  $E$  of  $\mathcal{E}_n$  containing  $u$ ,  $E$  must contain a point of  $L_{k_0}$ ,  $E$  cannot contain any point of  $K_{k_0}$  and therefore does not contain any point of  $\bigcup_{s \in \mathbb{N}} K_{k_s}$ , so  $x(u) = 0$ . Thus  $x \in G_{k_r}$  for every  $r$ , and  $\{G_{k_r} : r \in \mathbb{N}\}$  is centered in  $\text{RO}(X)$ .

**Remark** Recall that  $\text{RO}(X)$  is  $\sigma$ - $n$ -linked for every  $n$  (FREMLIN 04, 391Yh); in particular, it is  $\sigma$ -bounded-cc.

**6B Remark** GŁOWCZYŃSKI 91 presents the following example. Starting from a two-valued-measurable cardinal  $\kappa$  we can find a ccc forcing to give us a model in which  $\kappa < \mathfrak{c} = \mathfrak{m}$ . This gives us an  $\omega_1$ -saturated  $\sigma$ -ideal  $\mathcal{I}$  of  $\mathcal{P}\kappa$  such that the quotient  $\mathfrak{A} = \mathcal{P}\kappa/\mathcal{I}$  is ccc, Dedekind complete, weakly  $(\sigma, \infty)$ -distributive, has Maharam type  $\omega$  and is not a Maharam algebra. Since Martin's axiom is true,  $\mathfrak{A}$  satisfies Knaster's condition; by Theorem 3B, or otherwise, it is not  $\sigma$ -finite-cc.

## 7 Rank functions for exhaustive submeasures

**7A Definitions** Suppose that  $\mathfrak{A}$  is a Boolean algebra and  $\nu$  an exhaustive submeasure on  $\mathfrak{A}$ . For  $\epsilon > 0$ , say that  $a \prec_\epsilon b$  if  $a \subseteq b$  and  $\nu(b \setminus a) > \epsilon$ . Then  $\prec_\epsilon$  is a well-founded relation on  $\mathfrak{A}$ ; for  $a \in \mathfrak{A}$ , write  $r_\epsilon(a)$  for the height of the relation restricted to the principal ideal  $\mathfrak{A}_a$  generated by  $a$ , that is,  $r_\epsilon(a) = \sup_{b \prec_\epsilon a} (r_\epsilon(b) + 1)$ .

**7B Elementary facts** Let  $\mathfrak{A}$  is a Boolean algebra with an exhaustive submeasure  $\nu$  and associated rank functions  $r_\epsilon$  for  $\epsilon > 0$ .

(a)

$$r_\delta(a) \leq r_\epsilon(b) \text{ whenever } \nu(a \setminus b) \leq \delta - \epsilon.$$

**P** Induce on  $r_\epsilon(b)$ . If  $r_\epsilon(b) = 0$ , then  $\nu b \leq \epsilon$  so  $\nu a \leq \delta$  and  $r_\delta(a) = 0$ . For the inductive step to  $r_\epsilon(b) = \xi$ , if  $c \subseteq a$  and  $\nu(a \setminus c) > \delta$  then  $\nu(b \setminus c) > \epsilon$  and  $r_\epsilon(b \cap c) < \xi$ . Also  $\nu(c \setminus b) \leq \delta - \epsilon$  so, by the inductive hypothesis,  $r_\delta(c) \neq r_\delta(b \cap c) < \xi$ ; as  $c$  is arbitrary,  $r_\delta(a) \leq \xi$  and the induction continues. **Q** In particular,

$$r_\epsilon(a) \leq r_\epsilon(b) \text{ if } a \subseteq b, \quad r_\delta(a) \leq r_\epsilon(a) \text{ if } \epsilon \leq \delta.$$

(b) For  $a \in \mathfrak{A}$  let  $T_\epsilon^{(a)}$  be the set of all decreasing strings  $\tau = (a_0, a_1, \dots, a_n)$  where  $a_0 = a$  and  $\nu(a_i \setminus a_{i+1}) > \epsilon$  for  $i < n$ ; for such  $\tau$ , set  $s_\epsilon(\tau) = r_\epsilon(a_n)$ . Then  $T_\epsilon^{(a)}$  is a tree with no infinite branches. If  $\sigma \in T_\epsilon^{(a)}$  then

$$s_\epsilon(\sigma) = \sup\{s_\epsilon(\tau) + 1 : \tau \in T_\epsilon^{(a)} \text{ properly extends } \sigma\}$$

(induce on  $s_\epsilon(\sigma)$ ).

(c) If  $a, b \in \mathfrak{A}$  are disjoint and  $\epsilon > 0$ , then  $r_\epsilon(a \cup b) \geq r_\epsilon(a) + r_\epsilon(b)$ , the latter being the ordinal sum. **P** Induce on  $r_\epsilon(b)$ . If  $r_\epsilon(b) = 0$ , the result is immediate from (a) above. For the inductive step to  $r_\epsilon(b) = \xi$ , we have for any  $\eta < \xi$  a  $c \subseteq b$  such that  $\nu(b \setminus c) > \epsilon$  and  $\eta \leq r_\epsilon(c) < \xi$ . Now  $r_\epsilon(a \cup c) \geq r_\epsilon(a) + \eta$ , by the inductive hypothesis, and  $\nu((a \cup b) \setminus (a \cup c)) > \epsilon$ , so  $r_\epsilon(a \cup b) > r_\epsilon(a) + \eta$ ; as  $\eta$  is arbitrary,  $r_\epsilon(a \cup b) \geq r_\epsilon(a) + \xi$  and the induction continues. **Q**

(d) If  $\nu'$  is another exhaustive submeasure on  $\mathfrak{A}$  with rank functions  $r'_\epsilon$ , and  $\nu a \leq \alpha \nu' a$  for every  $a \in \mathfrak{A}$ , where  $\alpha > 0$ , then  $r_{\alpha\epsilon}(a) \geq r'_\epsilon(a)$  for every  $a \in \mathfrak{A}$  and  $\epsilon > 0$  (induce on  $r'_\epsilon(a)$ , as usual).

**7C Proposition** Let  $\mathfrak{A}$  be a Boolean algebra with a strictly positive exhaustive submeasure  $\nu$ , and  $\widehat{\mathfrak{A}}$  the metric completion of  $\mathfrak{A}$  under the metric  $(a, b) \mapsto \nu(a \triangle b)$  (FREMLIN 04, 393B), so that  $\nu$  extends naturally to a Maharam submeasure  $\widehat{\nu}$  on  $\widehat{\mathfrak{A}}$ . For  $\epsilon > 0$  let  $r_\epsilon : \mathfrak{A} \rightarrow \text{On}$  and  $\widehat{r}_\epsilon : \widehat{\mathfrak{A}} \rightarrow \text{On}$  be the rank functions associated with  $\nu$  and  $\widehat{\nu}$  respectively. Then whenever  $a \in \mathfrak{A}$  and  $0 < \epsilon < \delta$ ,

$$r_\delta(a) \leq \hat{r}_\delta(a) \leq r_\epsilon(a) \leq \hat{r}_\epsilon(a).$$

**proof (a)** To see that  $r_\epsilon(a) \leq \hat{r}_\epsilon(a)$ , induce on  $\hat{r}_\epsilon(a)$ . If  $\hat{r}_\epsilon(a) = 0$  then  $\nu a = \hat{\nu} a \leq \epsilon$  and  $r_\epsilon(a) = 0$ . For the inductive step to  $\hat{r}_\epsilon(a) = \xi$ , if  $b \in \mathfrak{A}$  and  $b \subseteq a$  and  $\nu(a \setminus b) > \epsilon$ , then  $\hat{\nu}(a \setminus b) > \epsilon$  so  $\hat{r}_\epsilon(b) < \xi$ ; by the inductive hypothesis,  $r_\epsilon(b) < \xi$ ; as  $b$  is arbitrary,  $r_\epsilon(a) \leq \xi$  and the induction proceeds. **Q** Similarly,  $r_\delta(a) \leq \hat{r}_\delta(a)$ .

(b) For the middle inequality, let  $T_\epsilon^{(a)} \subseteq \bigcup_{n \geq 1} \mathfrak{A}^n$  and  $\hat{T}_\delta^{(a)} \subseteq \bigcup_{n \geq 1} \hat{\mathfrak{A}}^n$  be the trees constructed by the method in §7B. For each  $c \in \hat{\mathfrak{A}}$  choose  $a_i(c) \in \mathfrak{A}$ , for  $i \in \mathbb{N}$ , such that  $\hat{\nu}(c \triangle a_i(c)) \leq 2^{-i-2}(\delta - \epsilon)$  (and  $a_i(c) = c$  if  $c \in \mathfrak{A}$ ). For  $\tau = (c_0, \dots, c_n) \in \hat{T}_\delta^{(a)}$ , set  $\tau' = (b_0, \dots, b_n) \in \mathfrak{A}^{n+1}$  where  $b_j = \inf_{i \leq j} a_i(c_i)$  for each  $j \leq n$ . Then  $b_{j+1} \subseteq b_j$  for  $j < n$ ; moreover,  $b_0 = c_0 = a$  and

$$\hat{\nu}(b_j \triangle c_j) \leq \sum_{i=0}^j \hat{\nu}(c_i \triangle a_i(c_i)) \leq \frac{1}{2}(\delta - \epsilon)$$

for  $j \leq n$ , so

$$\nu(b_j \setminus b_{j+1}) \geq \hat{\nu}(c_j \setminus c_{j+1}) - (\delta - \epsilon) > \epsilon$$

for  $j < n$ , and  $\tau' \in T_\epsilon^{(a)}$ . The construction ensures that if  $\sigma, \tau \in \hat{T}_\delta^{(a)}$  and  $\tau$  extends  $\sigma$ , then  $\tau'$  extends  $\sigma'$ . It follows at once that, defining  $s_\epsilon : T_\epsilon^{(a)} \rightarrow \text{On}$  and  $\hat{s}_\delta : \hat{T}_\delta^{(a)} \rightarrow \text{On}$  as in §7A,  $\hat{s}_\delta(\tau) \leq s_\epsilon(\tau')$  for every  $\tau \in \hat{T}_\delta^{(a)}$  (induce on  $s_\epsilon(\tau')$ , as usual). In particular,

$$\hat{r}_\delta(a) = \hat{s}_\delta(\langle a \rangle) \leq s_\epsilon(\langle a \rangle) = r_\epsilon(a),$$

as required.

**7D Corollary** If, in §7A, we set  $r_\epsilon^*(a) = \sup_{\delta > \epsilon} r_\delta(a)$  for  $a \in \mathfrak{A}$  and  $\epsilon \geq 0$ , then we shall still have the results

$$r_\delta^*(a) \leq r_\epsilon^*(b) \text{ whenever } \nu(a \setminus b) \leq \delta - \epsilon,$$

$$r_\epsilon^*(a \cup b) \geq r_\epsilon^*(a) + r_\epsilon(b) \text{ whenever } a \cap b = 0,$$

and moreover, in the context of §7C,  $r_\delta^*(a)$  is the same, for  $a \in \mathfrak{A}$ , whether calculated in  $\mathfrak{A}$  or in the metric completion  $\hat{\mathfrak{A}}$ .

**7E The rank of a Maharam algebra** Note that the rank function  $r_\epsilon$  associated with an exhaustive submeasure  $\nu$  depends only on the set  $\{a : \nu a > \epsilon\}$ . In particular, if  $\mu$  and  $\nu$  are exhaustive submeasures on a Boolean algebra  $\mathfrak{A}$  and  $\mu a \leq \epsilon$  whenever  $\nu a \leq \delta$ , then  $r_\epsilon^{(\mu)}(a) \leq r_\delta^{(\nu)}(a)$  for every  $a \in \mathfrak{A}$ . If  $\mathfrak{A}$  is a Maharam algebra, then any two Maharam submeasures on  $\mathfrak{A}$  are mutually absolutely continuous, so we get the same value for  $r_0^*(1)$  from either; I will call this the **Maharam submeasure rank** of  $\mathfrak{A}$ ,  $\text{Mhsm}(\mathfrak{A})$ . Note that if  $a \in \mathfrak{A}$  then  $\text{Mhsm}(\mathfrak{A}_a) \leq \text{Mhsm}(\mathfrak{A})$ .

If  $\mathfrak{A}$  is a measurable algebra,  $\text{Mhsm}(\mathfrak{A}) \leq \omega$ , because if  $\mu$  is a unital additive functional and  $\epsilon > 0$ , then  $r_\epsilon^{(\mu)}(1) < \frac{1}{\epsilon}$ . More generally, for any uniformly exhaustive submeasure  $\nu$  and any  $\epsilon > 0$ ,  $r_\epsilon^{(\nu)}(1)$  is the maximal size of any disjoint set consisting of elements of submeasure greater than  $\epsilon$ .

**7F Reductions of submeasures** Let  $\mathfrak{A}$  be a Boolean algebra, and  $\nu : \mathfrak{A} \rightarrow [0, \infty[$  a submeasure.

(a) For  $a \in \mathfrak{A}$ , set

$$\check{\nu} a = \inf_{n \in \mathbb{N}} \sup \{ \min_{i \leq n} \nu a_i : a_0, \dots, a_n \subseteq a \text{ are disjoint} \}.$$

Then  $\check{\nu}$  is a submeasure. **P** Of course  $\check{\nu} 0 = 0$  and  $\check{\nu} a \leq \check{\nu} b$  whenever  $a \subseteq b$ . If  $a, b \in \mathfrak{A}$  and  $\epsilon > 0$ , then there are  $n_0, n_1 \in \mathbb{N}$  such that whenever  $\langle c_i \rangle_{i \in I}$  is a disjoint family in  $\mathfrak{A}$ , then  $\#\{i : \nu(c_i \cap a) \geq \check{\nu} a + \epsilon\} \leq n_0$  and  $\#\{i : \nu(c_i \cap b) \geq \check{\nu} b + \epsilon\} \leq n_1$ . So

$$\#\{i : \nu(c_i \cap (a \cup b)) \geq \check{\nu} a + \check{\nu} b + 2\epsilon\} \leq n_0 + n_1.$$

It follows that  $\check{\nu}(a \cup b) \leq \check{\nu} a + \check{\nu} b + 2\epsilon$ ; as  $\epsilon, a$  and  $b$  are arbitrary,  $\check{\nu}$  is a submeasure. **Q**

(b) Of course  $\check{\nu}a \leq \nu a$  for every  $a \in \mathfrak{A}$ ; in particular,  $\check{\nu}$  is exhaustive, or Maharam, if  $\nu$  is. Observe that  $\check{\nu}a = 0$  iff  $\nu \upharpoonright \mathfrak{A}_a$  is uniformly exhaustive. So if  $\mathfrak{A}$  is a Maharam algebra which is nowhere measurable and  $\nu$  is a strictly positive Maharam submeasure on  $\mathfrak{A}$ , then  $\check{\nu}$  is also strictly positive.

(c) In this context I will call  $\check{\nu}$  the **reduction** of  $\nu$ .

**7G Proposition** (FREMLIN & KUPKA N90) Let  $\mathfrak{A}$  be a Boolean algebra and  $\nu$  an exhaustive submeasure on  $\mathfrak{A}$  with reduction  $\check{\nu}$ . Let  $r_\epsilon, \check{r}_\epsilon$  be the associated rank functions. Then

$$r_\epsilon(a) \geq \omega \cdot \check{r}_\epsilon(a)$$

for every  $a \in \mathfrak{A}$ ,  $\epsilon > 0$ .

**proof** Induce on  $\check{r}_\epsilon(a)$ . If  $\check{r}_\epsilon(a) = 0$ , the result is trivial. For the inductive step to  $\check{r}_\epsilon(a) = \xi + 1$ , take  $b \subseteq a$  such that  $\check{\nu}b > \epsilon$  and  $\check{r}_\epsilon(a \setminus b) = \xi$ . Then for every  $n \in \mathbb{N}$  there are disjoint  $b_0, \dots, b_n \subseteq b$  such that  $\nu b_i > \epsilon$  for every  $i$ , and  $r_\epsilon(b) \geq \omega$ ; by the inductive hypothesis,  $r_\epsilon(a \setminus b) \geq \omega \cdot \xi$ ; by 7Bc,  $r_\epsilon(a) \geq \omega \cdot \xi + \omega = \omega \cdot (\xi + 1)$ , and the induction proceeds. The inductive step to non-zero limit  $\xi$  is elementary.

**7H Theorem** (J.Kupka) Let  $\nu$  be a pathological submeasure on a Boolean algebra  $\mathfrak{A}$ , with reduction  $\check{\nu}$ . Then  $\check{\nu}a \geq \frac{1}{3}\nu a$  for every  $a \in \mathfrak{A}$ .

**proof (a)** Since  $\nu \upharpoonright \mathfrak{A}_a$  is also a pathological submeasure, and  $\check{\nu} \upharpoonright \mathfrak{A}_a$  is the reduction of  $\nu \upharpoonright \mathfrak{A}_a$ , it is enough to consider the case  $a = 1$ ; and since the operation of reduction commutes with scalar multiplication of the submeasures, it is enough to consider the case  $\nu 1 = 1$ .

(b) ? Suppose, if possible, that  $\check{\nu}1 < \frac{1}{3}$ . Take  $\gamma$  such that  $\check{\nu}1 < \gamma < \frac{1}{3}$ . Let  $n \geq 1$  be such that there is no disjoint family  $\langle a_i \rangle_{i \leq n}$  in  $\mathfrak{A}$  with  $\nu a_i \geq \gamma$  for every  $i \leq n$ . Then we see that

$$\sum_{i \in I} \nu(a_i) \leq n + \gamma \#(I)$$

for every disjoint family  $\langle a_i \rangle_{i \in I}$  in  $\mathfrak{A}$ .

Set

$$\epsilon = \frac{1-3\gamma}{n+3} > 0, \quad \delta = \min\left(\frac{\epsilon^2}{18}, \frac{\epsilon}{n}\right) > 0.$$

By 1G, there is a non-empty finite family  $\langle b_i \rangle_{i \in I}$  in  $\mathfrak{A}$  such that  $\nu b_i \leq \delta$  for every  $i \in I$  and  $\sup_{i \in J} b_i = 1$  whenever  $J \subseteq I$  and  $\#(J) \geq \delta \#(I)$ . Note that we can repeat copies of  $\langle b_i \rangle_{i \in I}$  if necessary, so that we can assume that  $\#(I) = m$  is at least  $\frac{3}{\delta}$ . We must have  $\sup_{i \in I} b_i = 1$  so

$$\frac{m\epsilon}{n} \geq m\delta \geq \sum_{i \in I} \nu b_i \geq 1$$

and  $n \leq \epsilon m$ .

Set  $l = \lceil \epsilon m \rceil$ ,  $k = \lfloor \delta m \rfloor$ . Then

$$3 \leq k \leq l \leq m, \quad 18km \leq \epsilon^2 m^2 \leq l^2,$$

so there is an  $R \subseteq I \times l$  such that  $\#(R) = 3m$  (in fact,  $\#(R[\{i\}]) = 3$  for every  $i \in I$ ) and  $\#(R[E]) \geq \#(E)$  for every  $E \in [I]^{\leq k}$  (KALTON & ROBERTS 83, or FREMLIN 04, 392D). For  $E \subseteq I$  set

$$c_E = \inf_{i \in E} (1 \setminus b_i) \cap \inf_{i \in I \setminus E} b_i;$$

observe that  $c_E = 0$  when  $\#(E) > k$ , so that

$$\sup\{c_E : E \in [I]^{\leq k}\} = 1.$$

For  $E \in [I]^{\leq k}$  take an injective function  $f_E : E \rightarrow l$  such that  $f_E \subseteq R$ . Set

$$a_{ij} = \sup\{c_E : i \in E \in [I]^{\leq k}, f_E(i) = j\}$$

for  $i \in I$ ,  $j < l$ . Then, for any particular  $j < l$ ,  $\langle a_{ij} \rangle_{i \in I}$  is disjoint (because every  $f_E$  is injective), so

$$\sum_{i \in I} \nu a_{ij} \leq n + \gamma \#\{i : a_{ij} \neq 0\} \leq n + \gamma \#(R^{-1}[\{j\}]).$$

Accordingly



$$\begin{aligned} \sum_{i \in I, j < l} \nu(a_{ij}) &\leq nl + \gamma \sum_{j < l} \#(R^{-1}[\{j\}]) \leq n(\epsilon m + 1) + \gamma \#(R) \\ &\leq n\epsilon m + \epsilon m + 3\gamma m = m(3\gamma + (n + 1)\epsilon). \end{aligned}$$

On the other hand, for each  $i \in I$ ,

$$\begin{aligned} 1 \setminus b_i &= \sup\{c_E : i \in E \subseteq I\} \\ &= \sup\{c_E : i \in E \in [I]^{\leq k}\} = \sup_{j < l} a_{ij}, \end{aligned}$$

so

$$1 = \nu 1 \leq \nu(b_i) + \nu(1 \setminus b_i) \leq \delta + \sum_{j < l} \nu a_{ij}.$$

Now, summing over  $i \in I$ ,

$$\begin{aligned} m &\leq m\delta + \sum_{i \in I, j < l} \nu a_{ij} \leq m(\delta + 3\gamma + (n + 1)\epsilon) \\ &\leq m(3\gamma + (n + 2)\epsilon) < m(3\gamma + 1 - 3\gamma) = m, \end{aligned}$$

which is impossible. **X**

So we have the result.

**Remark** Of course this result includes the Kalton-Roberts theorem, since it shows that no uniformly exhaustive submeasure can be pathological.

**7J Theorem** Suppose that  $\mathfrak{A}$  is a non-measurable Maharam algebra. Then  $\text{Mhsm}(\mathfrak{A})$  is at least the ordinal power  $\omega^\omega$ .

**proof** Let  $a \in \mathfrak{A}^+$  be such that the principal ideal  $\mathfrak{A}_a$  is nowhere measurable. Let  $\nu$  be a strictly positive Maharam submeasure on  $\mathfrak{A}_a$ ,  $\check{\nu}$  its reduction, and  $r_\epsilon, \check{r}_\epsilon$  the associated rank functions. As observed in 7Fb,  $\check{\nu}$  is strictly positive. If

$$\alpha < \text{Mhsm}(\mathfrak{A}_a) = \sup_{\epsilon > 0} r_\epsilon(a) = \sup_{\epsilon > 0} \check{r}_\epsilon(a)$$

(as noted in 7E), then there is an  $\epsilon > 0$  such that  $\check{r}_\epsilon(a) \geq \alpha$ , in which case

$$\text{Mhsm}(\mathfrak{A}_a) \geq r_\epsilon(a) \geq \omega \cdot \check{r}_\epsilon(a) \geq \omega \cdot \alpha$$

by 7G. Since  $\text{Mhsm}(\mathfrak{A}_a)$  is surely infinite,  $\text{Mhsm}(\mathfrak{A}) \geq \text{Mhsm}(\mathfrak{A}_a) \geq \omega^n$  for every  $n$ , and  $\text{Mhsm}(\mathfrak{A}) \geq \omega^\omega$ .

**7K Proposition** Suppose that  $\mathfrak{A}$  and  $\mathfrak{B}$  are Boolean algebras with exhaustive submeasures  $\mu, \nu$  respectively, and that  $\lambda = \mu \times \nu$  as constructed in §4. Then  $r_\epsilon(a \otimes b)$  is at least the ordinal product  $r_\epsilon(b) \cdot r_\epsilon(a)$  for all  $a \in \mathfrak{A}, b \in \mathfrak{B}$  and  $\epsilon > 0$ .

**proof (a)** I show first that if  $\mu a > \epsilon$  then  $r_\epsilon(a \otimes b) \geq r_\epsilon(b)$ . **P** Induce on  $r_\epsilon(b)$ . If  $r_\epsilon(b) = 0$ , the result is trivial. For the inductive step to  $r_\epsilon(b) = \xi > 0$ , for every  $\eta < \xi$  there is a  $b' \subseteq b$  such that  $r_\epsilon(b') \geq \eta$  and  $\nu(b \setminus b') > \epsilon$ ; now  $r_\epsilon(a \otimes b') \geq \eta$ , by the inductive hypothesis, and  $\lambda(a \otimes (b \setminus b')) = \min(\mu a, \nu(b \setminus b')) > \epsilon$ , so  $r_\epsilon(a \otimes b) > \eta$ ; as  $\eta$  is arbitrary,  $r_\epsilon(a \otimes b) \geq \xi$  and the induction proceeds. **Q**

**(b)** Now induce on  $r_\epsilon(a)$ . If  $r_\epsilon(a) = 0$  the result is trivial. For the inductive step to  $r_\epsilon(a) = \xi > 1$ , observe that for every  $\eta < \xi$  there is an  $a' \subseteq a$  such that  $r_\epsilon(a') \geq \eta$  and  $\mu(a \setminus a') > \epsilon$ . Now

$$\begin{aligned} (7Bc) \quad r_\epsilon(a \otimes b) &\geq r_\epsilon(a' \otimes b) + r_\epsilon((a \setminus a') \otimes b) \\ &\geq r_\epsilon(b) \cdot \eta + r_\epsilon(b) \\ &= r_\epsilon(b) \cdot (\eta + 1); \end{aligned}$$

(by the inductive hypothesis and (a) above)

as  $\eta$  is arbitrary,  $r_\epsilon(a \otimes b) \geq r_\epsilon(b) \cdot \xi$  and the induction continues.

## 8 Strategically weakly $(\sigma, \infty)$ -distributive algebras

**8A Definitions** Let  $\mathfrak{A}$  be a Boolean algebra.

(a) Consider the following infinite game  $\Gamma_{\text{wd}}(\mathfrak{A})$ . (This is called ‘ $\mathcal{G}_{\text{fin}}$ ’ in JECH 84 and ‘ $\mathcal{G}_{<\omega}^\omega$ ’ in DOBRINEN 03; see also GREY 82.) I plays  $a_0 \in \mathfrak{A}^+$  and a maximal antichain  $A_0 \subseteq \mathfrak{A}$ . In the position  $(a_0, A_0, \dots, a_n, A_n)$ , II plays a non-zero  $a_{n+1} \subseteq a_n$  meeting only finitely many members of  $A_n$ . In the position  $(a_0, A_0, \dots, a_n, A_n, a_{n+1})$ , I plays a maximal antichain  $A_{n+1}$ . I wins if  $\inf_{n \in \mathbb{N}} a_n = 0$ ; otherwise II wins. (If  $\mathfrak{A} = \{0\}$ , so that I has no first move, II wins.)

$\mathfrak{A}$  is **strategically weakly  $(\sigma, \infty)$ -distributive** if II has a winning strategy in  $\Gamma_{\text{wd}}(\mathfrak{A})$ ;  $\mathfrak{A}$  is **tactically weakly  $(\sigma, \infty)$ -distributive** if II has a winning tactic, that is, a winning strategy  $\sigma$  such that  $\sigma(a_0, A_0, \dots, a_n, A_n) = \tau(a_n, A_n)$  for some function  $\tau$ .

(b) A variant of the above game is  $\Gamma_{\text{wd}}^*(\mathfrak{A})$ , defined as follows. This time, I starts with an antichain  $A_0 \subseteq \mathfrak{A}$ . In the position  $(A_0, a_0, A_1, \dots, a_{n-1}, A_n)$ , II plays  $a_n$  meeting only finitely many members of  $A_n$ . In the position  $(A_0, \dots, A_n, a_n)$ , I plays an antichain  $A_{n+1}$ . II wins if  $\langle a_n \rangle_{n \in \mathbb{N}}$  is order\*-convergent to 1; otherwise I wins.  $\mathfrak{A}$  is **strongly strategically weakly  $(\sigma, \infty)$ -distributive** if II has a winning strategy in  $\Gamma_{\text{wd}}^*(\mathfrak{A})$ .

T.Jech has suggested the following variant of  $\Gamma_{\text{wd}}^*(\mathfrak{A})$ . In this game, I plays sequences order\*-convergent to 0, and II must choose a term in each sequence as it appears; II wins if the sequence of his choices is again order\*-convergent to 0. It is easy to see that for ccc algebras this game is equivalent to  $\Gamma_{\text{wd}}^*(\mathfrak{A})$ , in the sense that a winning strategy for either player in one game can be used to generate a winning strategy for the same player in the other game.

**8B Proposition** (a) A tactically weakly  $(\sigma, \infty)$ -distributive Boolean algebra is strategically weakly  $(\sigma, \infty)$ -distributive. A strategically weakly  $(\sigma, \infty)$ -distributive Boolean algebra is weakly  $(\sigma, \infty)$ -distributive. A strongly strategically weakly  $(\sigma, \infty)$ -distributive Boolean algebra is strategically weakly  $(\sigma, \infty)$ -distributive.

(b)(JECH 84) If  $\mathfrak{A}$  is a ccc Boolean algebra, then  $\mathfrak{A}$  is weakly  $(\sigma, \infty)$ -distributive iff I has no winning strategy in  $\Gamma_{\text{wd}}(\mathfrak{A})$  iff I has no winning tactic in  $\Gamma_{\text{wd}}(\mathfrak{A})$ .

(c) Let  $\mathfrak{A}$  be a Boolean algebra and  $\mathfrak{B}$  an order-dense subalgebra of  $\mathfrak{A}$ . Then  $\mathfrak{B}$  is strategically (resp. tactically, resp. strongly strategically) weakly  $(\sigma, \infty)$ -distributive iff  $\mathfrak{A}$  is.

(d) A principal ideal of a strategically (resp. tactically, resp. strongly strategically) weakly  $(\sigma, \infty)$ -distributive Boolean algebra is again strategically (resp. tactically) weakly  $(\sigma, \infty)$ -distributive.

(e) A regularly embedded subalgebra of a strategically (resp. tactically, resp. strongly strategically) weakly  $(\sigma, \infty)$ -distributive Boolean algebra is again strategically (resp. tactically) weakly  $(\sigma, \infty)$ -distributive.

**proof (a)** Trivial.

(b)(i) If  $\mathfrak{A}$  is not weakly  $(\sigma, \infty)$ -distributive, then I has a winning tactic. **P** There are a non-zero  $a_0 \in \mathfrak{A}$  and a sequence  $\langle C_n \rangle_{n \in \mathbb{N}}$  of maximal antichains such that  $\inf_{n \in \mathbb{N}} a_n = 0$  whenever each  $a_n$ , for  $n \geq 1$ , meets only finitely many elements of  $C_{n-1}$ . We may suppose that  $C_{n+1}$  refines  $C_n$  for each  $n$ . I starts with  $a_0$ . Given  $a_n$ , I plays  $A_n = C_k$  where  $k \in \mathbb{N}$  is minimal such that  $\{c : c \in C_k, a_n \cap c \neq 0\}$  is infinite; this must be possible if  $a_n \subseteq a_0$  is non-zero. In any play of the game, we must have  $A_n$  refining  $C_n$  for each  $n$ , so I wins. **Q**

(ii) If I has a winning strategy, and  $\mathfrak{A}$  is ccc, then  $\mathfrak{A}$  is not weakly  $(\sigma, \infty)$ -distributive. **P** Consider all the plays in  $\Gamma_{\text{wd}}(\mathfrak{A})$  in which I follows his strategy and II always plays  $a_{n+1} = a_n \cap \sup I_n$  for some finite  $I_n \subseteq A_n$ . There are only countably many such plays; let  $\mathcal{C}$  be the countable set of maximal antichains occurring in any of them. If  $J_C \in [C]^{<\omega}$  for each  $C \in \mathcal{C}$ , consider the play in which I follows his strategy and II plays  $a_{n+1} = a_n \cap \sup J_{A_n}$  at each move. Then

$$0 = \inf_{n \in \mathbb{N}} a_n \supseteq \inf_{C \in \mathcal{C}} a_0 \cap \sup J_C;$$

as  $\langle J_C \rangle_{C \in \mathcal{C}}$  is arbitrary,  $a_0$  and  $\mathcal{C}$  witness that  $\mathfrak{A}$  is not weakly  $(\sigma, \infty)$ -distributive. **Q**

(c)(i) Suppose that  $\mathfrak{A}$  is strategically weakly  $(\sigma, \infty)$ -distributive. Let  $\sigma$  be a winning strategy for II in  $\Gamma_{\text{wd}}(\mathfrak{A})$ . Then there is a winning strategy  $\sigma'$  for II in  $\Gamma_{\text{wd}}(\mathfrak{A})$  such that  $\sigma'(a_0, A_0, \dots, a_n, A_n)$  always belongs

to the subalgebra generated by  $A_0 \cup \dots \cup A_n \cup \{a_0, \dots, a_n\}$ ; just enlarge values of  $\sigma$  slightly if necessary. Now apply  $\sigma'$  directly to positions in  $\Gamma_{\text{wd}}(\mathfrak{B})$  to get a winning strategy in  $\Gamma_{\text{wd}}(\mathfrak{B})$ .

(ii) Similarly, if  $\sigma$  is a winning strategy for II in  $\Gamma_{\text{wd}}(\mathfrak{B})$ , then for each maximal antichain  $A \subseteq \mathfrak{A}$  let  $A' \subseteq \mathfrak{B}$  be a maximal antichain refining  $A$ , for each  $a \in \mathfrak{A}^+$  let  $a' \in \mathfrak{B}^+$  be such that  $a' \subseteq a$ , and set  $\sigma'(a_0, A_0, \dots, a_n, A_n) = \sigma(a'_0, A'_0, a_1, A'_1, \dots, a_n, A'_n)$  whenever  $a_1, \dots, a_n$  all belong to  $\mathfrak{B}$ .

(iii) The same tricks work for tactically weakly  $(\sigma, \infty)$ -distributive and strongly strategically weakly  $(\sigma, \infty)$ -distributive algebras.

(d) Elementary.

(e) Use the argument of (c-i) above.

**8C Proposition** A Maharam algebra is tactically weakly  $(\sigma, \infty)$ -distributive and strongly strategically weakly  $(\sigma, \infty)$ -distributive.

**proof** Let  $\nu$  be a strictly positive Maharam submeasure on  $\mathfrak{A}$ .

(a) Given  $a \in \mathfrak{A}^+$  and a maximal antichain  $A \subseteq \mathfrak{A}$ , choose  $\tau(a, A)$  such that  $0 \neq \tau(a, A) \subseteq a$ ,  $\tau(a, A)$  meets only finitely many members of  $A$  and  $\nu(\tau(a, A)) > \frac{1}{n}$  where  $n$  is the least integer greater than  $\frac{1}{\nu a}$ . Then  $\tau$  is a winning tactic for II in  $\Gamma_{\text{wd}}(\mathfrak{A})$ .

(b) Given a position  $(A_0, a_0, \dots, A_n)$  in  $\Gamma_{\text{wd}}^*(\mathfrak{A})$ , let  $\sigma(A_0, a_0, \dots, A_n)$  be an element  $c$  of  $\mathfrak{A}$  such that  $\{a : a \in A_n, a \cap c \neq \emptyset\}$  is finite and  $\nu(1 \setminus c) \leq 2^{-n}$ . Then  $\sigma$  is a winning strategy for II in  $\Gamma_{\text{wd}}^*(\mathfrak{A})$ .

**Remark** Note that in (b) the strategy for II is defined from  $n$  and  $A_n$ ; so with a trifling adaptation (except in the trivial case of finite  $\mathfrak{A}$ , take  $a_n$  such that  $\nu(1 \setminus a_n) \leq \frac{1}{2}\nu(1 \setminus a_{n-1})$ ) can be defined from  $a_{n-1}$  and  $A_n$ .

**8D Proposition** (DOBRINEN 03) If Jensen's  $\diamond$  is true, there is a Souslin algebra which is not strategically weakly  $(\sigma, \infty)$ -distributive.

**proof** I use the construction of a Souslin tree  $(\omega_1, \triangleleft)$  in KUNEN 80, II.7.8. Start from a  $\diamond$ -sequence  $\langle A_\alpha \rangle_{\alpha < \omega_1}$ . Set  $I_\beta = \{(\omega \cdot \beta) + n : n \in \mathbb{N}\}$  for  $\beta < \omega_1$ . The new element in the construction is a bijection  $h : \omega_1 \rightarrow [\omega_1]^{<\omega} \times [\mathbb{N}]^{<\omega}$ . Let  $C$  be the set of those non-zero limit ordinals  $\gamma < \omega_1$  such that  $f_\gamma = h[\gamma]$  is a function from  $[\gamma]^{<\omega}$  to  $[\mathbb{N}]^{<\omega}$ ; then  $C$  is a closed cofinal subset of  $\omega_1$ . **P** Of course  $C$  is closed, because the union of a non-decreasing sequence of functions is a function. To see that it is unbounded, note that if  $f : [\omega_1]^{<\omega} \rightarrow [\mathbb{N}]^{<\omega}$  is any function then  $f = h[A]$  for some  $A \subseteq \omega_1$  and that  $\{\gamma : f \upharpoonright [\gamma]^{<\omega} = h[A \cap \gamma]\} \subseteq C$  is uncountable. **Q**

Let  $C' \subseteq C$  be the set of those members of  $C$  which are the suprema of strictly increasing sequences of limit ordinals; for  $\gamma \in C'$  choose a such a sequence  $\langle \theta_{\gamma n} \rangle_{n \in \mathbb{N}}$  of limit ordinals with supremum  $\gamma$ . Set  $K_{\gamma n} = \{\theta_{\gamma i} : i \leq n\}$ ,  $L_{\gamma n} = \{\omega \cdot \theta_{\gamma n} + i : i \in f_\gamma(K_{\gamma n})\}$  for  $n \in \mathbb{N}$ . Now construct  $\triangleleft$  inductively so that

(i) for each  $\beta < \omega_1$ ,  $\triangleleft_\beta = \triangleleft \cap (\omega \cdot \beta \times \omega \cdot \beta)$  is a tree ordering on  $\omega \cdot \beta$ ;

(ii) for  $\beta < \omega_1$  and  $n \in \mathbb{N}$ ,  $\omega \cdot \beta + n \triangleleft \omega \cdot (\beta + 1) + m$  iff  $\lfloor m/2 \rfloor = n$ ;

(iii) if  $\alpha < \omega_1$  is a limit ordinal and  $\xi \in \omega \cdot \alpha$  then there is an  $n \in \mathbb{N}$  such that  $\xi \triangleleft \omega \cdot \alpha + n$ ;

(iv) if  $\alpha < \omega_1$  is a limit ordinal and  $A_\alpha$  is a maximal up-antichain for  $\triangleleft_\alpha$  then for every  $n \in \mathbb{N}$

there is a  $\xi \in A_\alpha$  such that  $\xi \triangleleft \omega \cdot \alpha + n$ ;

(v) (the new bit) if  $\gamma \in C'$  and  $\eta \in I_\gamma$  then there is an  $n \in \mathbb{N}$  such that  $\xi \not\triangleleft \eta$  for any  $\xi \in L_{\gamma n}$ .

To see that there is no obstacle to (v), note that when we come to  $\gamma \in C'$ , and need to choose a  $\triangleleft_\gamma$ -branch passing through a given  $\xi < \omega \cdot \gamma$  to have a continuation, we first move to  $\xi_1 \triangleright_\gamma \xi$  such that (if  $A_\gamma$  is a maximal up-antichain for  $\triangleleft_\gamma$ ) there is a  $\zeta \in A_\gamma$  such that  $\zeta \triangleleft_\gamma \xi_1$ . Next, taking  $m$  such that  $\xi_1 \leq \omega \cdot \theta_{\gamma m}$ , there must be infinitely many members of  $I_{\theta_{\gamma, m+1}}$  above  $\xi_1$ , so we can find  $\xi_2 \in I_{\theta_{\gamma, m+1}} \setminus L_{\gamma, m+1}$ ; assign any branch through  $\xi_2$  for continuation.

As in KUNEN 80, this process builds an ever-branching Souslin tree. Let  $\mathfrak{A}$  be the corresponding regular open algebra (FREMLIN 08?, §514), so that  $\mathfrak{A}$  is ccc and weakly  $(\sigma, \infty)$ -distributive. Let  $\sigma$  be a strategy for II in  $\Gamma_{\text{wd}}(\mathfrak{A})$ . For  $\alpha < \omega_1$ , let  $D_\alpha \subseteq \mathfrak{A}$  be the maximal antichain  $\{[\xi, \infty[ : \xi \in I_\alpha\}$ . (Because our tree is ever-branching, all the sets  $[\xi, \infty[$  are regular open sets for the up-topology.) Define  $f : [\omega_1]^{<\omega} \rightarrow [\mathbb{N}]^{<\omega}$  as follows.

$f(\emptyset) = \emptyset$ . Given that  $K \subseteq \omega_1$  is a non-empty finite set, express it as  $\{\alpha_0, \dots, \alpha_m\}$  where  $\alpha_0 < \alpha_1 < \dots < \alpha_m$ . Set  $a_0 = 1$  and  $a_{j+1} = \sigma(a_0, D_{\alpha_0}, \dots, a_j, D_{\alpha_j})$  for  $j \leq m$ . Set  $f(K) = \{i : a_{m+1} \cap [\omega \cdot \alpha_m + i, \infty[ \neq \emptyset\}$ .

Let  $A \subseteq \omega_1$  be such that  $f = h[A]$ . Because  $\langle A_\alpha \rangle_{\alpha < \omega_1}$  is a  $\diamond$ -sequence and  $C'$  is a closed cofinal subset of  $\omega_1$ , there is a  $\gamma \in C'$  such that  $A \cap \gamma = A_\gamma$ . Now consider the play of the game  $\Gamma_{\text{wd}}(\mathfrak{A})$  in which I plays  $(1, D_{\theta_{\gamma_0}})$  for his first move and  $D_{\theta_{\gamma_1}}, D_{\theta_{\gamma_2}}, \dots$  thereafter; let  $a_1, a_2, \dots$  be the responses of II following his strategy  $\sigma$ . Then  $f_\gamma(K_{\gamma_n}) = f(K_{\gamma_n})$ , so  $a_{n+1} \cap [\xi, \infty[ = \emptyset$  whenever  $\xi \in I_{\theta_{\gamma_n}} \setminus L_{\gamma_n}$ . But the construction of  $\triangleleft_{\gamma+1}$  ensured that for every  $\eta \in I_\gamma$  there must be some  $n$  such that the predecessor of  $\eta$  in  $I_{\theta_{\gamma_n}}$  does not belong to  $L_{\gamma_n}$  and  $a_{n+1} \cap [\eta, \infty[ = \emptyset$ . So  $\inf_{n \in \mathbb{N}} a_n = 0$ , I wins and  $\sigma$  is not a winning strategy.

Thus  $\mathfrak{A}$  is not strategically weakly  $(\sigma, \infty)$ -distributive.

**Remark** See Problem 9K.

**8E Example** (Jech) Let  $S \subseteq \omega_1$  be a stationary set such that  $\omega_1 \setminus S$  is also stationary, and let  $P$  be the set of subsets of  $S$  which are closed in the order topology of  $\omega_1$ , ordered by end-extension (that is, for  $p, q \in P$ ,  $p \leq q$  iff  $p = q \cap \xi$  for some  $\xi < \omega_1$ ). Let  $\mathfrak{A}$  be the regular open algebra of  $P$ . Then  $\mathfrak{A}$  is weakly  $(\sigma, \infty)$ -distributive but not strategically weakly  $(\sigma, \infty)$ -distributive.

**proof (a) ?** If  $\mathfrak{A}$  is strategically weakly  $(\sigma, \infty)$ -distributive then player II has a winning strategy in  $\Gamma_{\text{wd}}(\mathfrak{A})$ . For each  $\alpha < \omega_1$ , let  $Q_\alpha$  be the cofinal subset  $\{p : p \in P, \sup p \geq \alpha\}$  of  $P$ , and fix a maximal antichain  $C_\alpha \subseteq Q_\alpha$ ; then  $A_\alpha = \{[p, \infty[ : p \in C_\alpha\}$  is a maximal antichain in  $\mathfrak{A}$ . (The partial order on  $P$  is separative, so  $A_\alpha \subseteq \mathfrak{A}$ .) Consider plays of the game  $\Gamma_{\text{wd}}(\mathfrak{A})$  in which I starts with  $a_0 = P$  and plays only antichains of the form  $A_\alpha$ , while II follows his strategy. For each such play  $(P, A_{\alpha_0}, a_1, A_{\alpha_1}, \dots)$ , set  $D_n = \{p : p \in A_{\alpha_n}, a_{n+1} \cap [p, \infty[ \neq \emptyset\}$  and  $\gamma_n = \sup_{p \in D_n} \sup p$ ; note that  $\gamma_n$  is determined by  $\alpha_0, \dots, \alpha_n$ . So the set

$$Q = \{\gamma : \gamma_n(\alpha_0, \dots, \alpha_n) < \gamma \text{ whenever } n \in \mathbb{N} \text{ and } \alpha_0, \dots, \alpha_n < \gamma\}$$

is a closed cofinal set in  $\omega_1$  and there is a non-zero limit ordinal  $\alpha \in Q \setminus S$ . Let  $\langle \alpha_n \rangle_{n \in \mathbb{N}}$  be a strictly increasing sequence with supremum  $\alpha$  and consider the corresponding play of  $\Gamma_{\text{wd}}(\mathfrak{A})$ . For the corresponding sequence  $\langle D_n \rangle_{n \in \mathbb{N}}$ , we have  $\alpha_n \leq \sup p < \alpha$  for every  $n \in \mathbb{N}$ ,  $p \in D_n$ . But now we are supposed to have a non-zero  $a \in \mathfrak{A}$  such that  $a \subseteq \bigcup_{p \in D_n} [p, \infty[$  for every  $n \in \mathbb{N}$ . If  $p^* \in P$  is such that  $[p^*, \infty[ \subseteq a$ , then for each  $n \in \mathbb{N}$  there is a  $p \in D_n$  such that  $p^*$  and  $p$  are compatible in  $P$ , that is, one is included in the other. As every extension of  $p^*$  is compatible with some member of  $D_n$ , we cannot have  $p^* \subset p$ , and instead we have  $p \subseteq p^*$ , so that  $p^*$  meets  $\alpha \setminus \alpha_n$ . As  $p^*$  is closed,  $\alpha \in p^*$ ; but  $p^*$  is supposed to be a subset of  $S$ . **X**

**(b) ?** If  $\mathfrak{A}$  is not weakly  $(\sigma, \infty)$ -distributive then player I has a winning strategy in  $\Gamma_{\text{wd}}(\mathfrak{A})$ . Let  $\preceq$  be a well-ordering of  $P$ . This time, consider plays  $(a_0, A_0, a_1, A_1, \dots)$  in  $\Gamma_{\text{wd}}(\mathfrak{A})$  in which I follows his strategy and II always plays a move of the form  $a_{n+1} = [p_n \cup \{\alpha_n\}, \infty[$  where  $p_n$  is the  $\preceq$ -least member of  $P$  such that  $[p_n, \infty[$  is included in some  $a_n \cap a$  where  $a \in A_n$ , and  $\alpha_n \in S$  is such that  $\alpha_n > \sup p$ . This time, let  $Q$  be the set of those  $\alpha < \omega_1$  such that whenever  $\langle \alpha_i \rangle_{i < n}$  are permitted selections by II when playing according to the recipe just described, then he will be able to continue with  $\alpha_n < \alpha$ . Again  $Q$  is a closed cofinal set, so there is a non-zero  $\alpha \in Q \cap S$  such that  $S \cap \alpha$  is cofinal with  $\alpha$ . Let  $\langle \beta_n \rangle_{n \in \mathbb{N}}$  be a strictly increasing sequence in  $S$  with supremum  $\alpha$ . Then II will be able to play by selecting  $\alpha_n$  with  $\beta_n \leq \alpha_n < \alpha$  for each  $n$ . (At the  $n$ th move, given  $\langle \alpha_i \rangle_{i < n}$ , he will have the option of selecting some  $\alpha'_n < \alpha$ . Now he can amend this to  $\alpha_n = \max(\alpha'_n, \beta_n)$ .) But now, if we look at the corresponding  $p_n$  such that II's move  $a_{n+1}$  was  $[p_n \cup \{\alpha_n\}, \infty[$ , we must have  $p_n \cup \{\alpha_n\} \subseteq p_{n+1}$  for each  $n$ , so that  $p^* = \bigcup_{n \in \mathbb{N}} p_n \cup \{\alpha\}$  belongs to  $P$ , and  $[p^*, \infty[ \subseteq a_n$  for every  $n$ ; in which case II wins the play, which is supposed to be impossible. **X**

**8F Theorem** A Dedekind  $\sigma$ -complete strongly strategically weakly  $(\sigma, \infty)$ -distributive Boolean algebra is a Maharam algebra.

**proof** Let  $\mathfrak{A}$  be a Dedekind  $\sigma$ -complete strongly strategically weakly  $(\sigma, \infty)$ -distributive Boolean algebra.

**(a)** I begin by checking that  $\mathfrak{A}$  is ccc. **P** Let  $A$  be an antichain in  $\mathfrak{A}$ , and consider the play of  $\Gamma_{\text{wd}}^*(\mathfrak{A})$  in which I plays  $A$  at every move. If II plays  $a_0, a_1, \dots$  then  $\sup_{n \in \mathbb{N}} a_n = 1$ , while each  $a_n$  meets only finitely many members of  $A$ ; so  $A$  is countable. As  $A$  is arbitrary,  $\mathfrak{A}$  is ccc. **Q**

**(b)** If  $\mathfrak{A} \neq \{0\}$ , then 0 and 1 can be separated by open sets. **P** Let  $\sigma$  be a winning strategy for II in  $\Gamma_{\text{wd}}^*(\mathfrak{A})$ , regarded as a function on finite strings of antichains in  $\mathfrak{A}$ . Choose antichains  $A_0, A'_0, A_1, A'_1, \dots$  as follows.  $A_0 = A'_0 = \{1\}$ . Given  $A_i$  and  $A'_i$  for  $i \leq n$ , set

$$D_n = \{d : \sigma(A_0, \dots, A_n, A) \subseteq d \text{ for some antichain } A\},$$

$$D'_n = \{d : \sigma(A'_0, \dots, A'_n, A) \subseteq d \text{ for some antichain } A\}.$$

If there is an element of  $D_n$  with a complement in  $D'_n$ , choose such a  $d_n$  and antichains  $A_{n+1}, A'_{n+1}$  such that  $\sigma(A_0, \dots, A_n, A_{n+1}) \subseteq d_n$  and  $\sigma(A'_0, \dots, A'_n, A'_{n+1}) \subseteq 1 \setminus d_n$ ; otherwise stop.

**?** If the process here continued indefinitely, we should have a sequence  $\langle d_n \rangle_{n \in \mathbb{N}}$  in  $\mathfrak{A}$  such that both  $\langle d_n \rangle_{n \in \mathbb{N}}$  and  $\langle 1 \setminus d_n \rangle_{n \in \mathbb{N}}$  are order\*-convergent to 1; but in this case  $\langle d_n \rangle_{n \in \mathbb{N}}$  is also order\*-convergent to 0 and  $0 = 1$ , contrary to hypothesis. **X** So the process terminates at some stage with  $1 \setminus d \notin D'_n$  for every  $d \in D_n$ .

**?** If 1 does not belong to the interior of  $D_n$  for the order-sequential topology of  $\mathfrak{A}$ , then (because  $\mathfrak{A}$  is certainly weakly  $(\sigma, \infty)$ -distributive, and we have just seen that it is ccc) there is a sequence  $\langle b_i \rangle_{i \in \mathbb{N}}$  in  $\mathfrak{A} \setminus D_n$  which is order\*-convergent to 1. Let  $A$  be a maximal antichain in  $\mathfrak{A}$  such that  $\{a : a \in A, a \setminus b_i \neq 0\}$  is finite for every  $a \in A$ . Then  $b_i \supseteq \sigma(A_0, \dots, A_n, A)$  for all but finitely many  $i$ , that is,  $b_i \in D_n$  for all but finitely many  $i$ , which is absurd. **X**

Thus  $1 \in \text{int } D_n$ ; similarly,  $1 \in \text{int } D'_n$  and  $0 \in \text{int}\{1 \setminus d : d \in D'_n\}$ . But we stopped at a point which made these sets disjoint. **Q**

(c) Applying (b) to principal ideals of  $\mathfrak{A}$ , as in the proof of Theorem 3C, we see that the order-sequential topology of  $\mathfrak{A}$  is Hausdorff, so that  $\mathfrak{A}$  is Maharam.

## 9 Cardinal Functions

### 9A Galois-Tukey connections (see FREMLIN 08?, §512)

(a) A **supported relation** is a triple  $(A, R, B)$  where  $A$  and  $B$  are sets and  $R$  is a relation.

If  $R$  is a relation I write  $R'$  for the relation  $\{(a, I) : a \in R^{-1}[I]\}$ . (If you don't like proper classes, interpret each occasion of this notation by cutting it down to a suitable set.)

(b) If  $(A, R, B)$  is a supported relation then  $\text{cov}(A, R, B)$  is the least cardinal of any  $I \subseteq B$  such that  $A \subseteq R^{-1}[I]$  (taken as  $\infty$  if  $A \not\subseteq R^{-1}[B]$ ).  $\text{add}(A, R, B)$  is the smallest cardinal of any  $I \subseteq A$  such that  $I \not\subseteq R^{-1}\{b\}$  for any  $b \in B$  (or  $\infty$  if there is no such  $I$ ).

(c) If  $(A, R, B)$  and  $(C, S, D)$  are supported relations a **Galois-Tukey connection** from  $(A, R, B)$  to  $(C, S, D)$  is a pair  $(\phi, \psi)$  where  $\phi : A \rightarrow C$  and  $\psi : D \rightarrow B$  are functions and  $(a, \psi(d)) \in R$  whenever  $a \in A$ ,  $d \in D$  and  $(\phi(a), d) \in S$ . I will write  $(A, R, B) \preceq_{\text{GT}} (C, S, D)$  if there is a Galois-Tukey connection from  $(A, R, B)$  to  $(C, S, D)$ .

(d) If  $(A, R, B) \preceq_{\text{GT}} (C, S, D)$  then  $\text{cov}(A, R, B) \leq \text{cov}(C, S, D)$  and  $\text{add}(C, S, D) \leq \text{add}(A, R, B)$  (FREMLIN 08?, 512D).

**9B Proposition** Let  $\mathfrak{A}$  be a Maharam algebra,  $\tau(\mathfrak{A})$  its Maharam type and  $d(\mathfrak{A})$  its topological density in its order-sequential topology. Then  $\tau(\mathfrak{A}) \leq d(\mathfrak{A}) \leq \max(\omega, \tau(\mathfrak{A}))$ .

**proof** If  $D \subseteq \mathfrak{A}$  is topologically dense, then every element of  $\mathfrak{A}$  is expressible as  $\inf_{n \in \mathbb{N}} \sup_{m \geq n} a_m$  for some sequence  $\langle a_n \rangle_{n \in \mathbb{N}}$  in  $D$ , so  $D$   $\tau$ -generates  $\mathfrak{A}$  and  $\tau(\mathfrak{A}) \leq \#(D)$ ; accordingly  $\tau(\mathfrak{A}) \leq d(\mathfrak{A})$ . If  $D \subseteq \mathfrak{A}$   $\tau$ -generates  $\mathfrak{A}$ , let  $\mathfrak{B}$  be the subalgebra of  $\mathfrak{A}$  generated by  $D$  and  $\overline{\mathfrak{B}}$  its topological closure. Then  $\overline{\mathfrak{B}}$  is order-closed (because  $\mathfrak{A}$  is ccc), so is the whole of  $\mathfrak{A}$ , and  $d(\mathfrak{A}) \leq \#(\mathfrak{B}) \leq \max(\omega, \#(D))$ ; accordingly  $d(\mathfrak{A}) \leq \max(\omega, \tau(\mathfrak{A}))$ .

**9C The localization relation** (FREMLIN 08?, §521) Let  $\mathcal{S}$  be the family of sets  $S \subseteq \mathbb{N} \times \mathbb{N}$  such that  $\#(S[\{n\}]) \leq 2^n$  for every  $n \in \mathbb{N}$ . For  $f \in \mathbb{N}^{\mathbb{N}}$ ,  $S \in \mathcal{S}$  say that  $f \subseteq^* S$  if  $\{n : f(n) \notin S[\{n\}]\}$  is finite. Now  $(\mathbb{N}^{\mathbb{N}}, \subseteq^*, \mathcal{S})$  is the **localization relation**.

**9D Theorem** (compare FREMLIN 08?, 523J) Let  $\mathfrak{A}$  be a Maharam algebra with countable Maharam type, not  $\{0\}$ . Then  $(\mathfrak{A}^+, \supseteq', [\mathfrak{A}^+]^{\leq \omega}) \preceq_{\text{GT}} (\mathbb{N}^{\mathbb{N}}, \subseteq^*, \mathcal{S})$ .

**proof (a)** Fix a strictly positive Maharam submeasure  $\mu$  on  $\mathfrak{A}$  such that  $\mu 1 = 1$ , and a countable subalgebra  $D \subseteq \mathfrak{A}$  which is dense for the order-sequential topology; let  $\langle a_n \rangle_{n \in \mathbb{N}}$  run over  $D$ .

(b) For  $S \in \mathcal{S}$ ,  $d \in D \setminus \{0\}$ ,  $n \in \mathbb{N}$  set

$$\psi_{dn}(S) = d \setminus \sup_{m \geq n} \sup\{a_i : (m, i) \in S, \mu a_i \leq 2^{-2m-2} \nu d\}.$$

Then

$$\mu \psi_{dn}(S) \geq \mu d - \sum_{m=n}^{\infty} 2^{-m-2} \mu d > 0,$$

so  $\psi_{dn}(S) \neq 0$ ; set  $\psi(S) = \{\psi_{dn}(S) : d \in D \setminus \{0\}, n \in \mathbb{N}\} \in [\mathfrak{A}^+]^{\leq \omega}$ .

(c) For  $a \in \mathfrak{A}^+$  choose  $\phi(a) \in \mathbb{N}^{\mathbb{N}}$  as follows. Start by taking  $d_m \in D$ , for  $m \in \mathbb{N}$ , such that  $\mu(d_m \triangle a) \leq 2^{-2m-4} \mu a$  for every  $j$ ; then certainly  $\mu d_m \geq \frac{1}{2} \mu a$  for every  $m$ , so that if  $m \geq n$  then

$$\mu(d_m \setminus d_{m+1}) \leq 2^{-2m-3} \mu a \leq 2^{-2m-2} \mu d_n.$$

Take  $\phi(a)$  so that  $a_{\phi(a)(i)} = d_i \setminus d_{i+1}$  for every  $i$ .

(d)  $(\phi, \psi)$  is a Galois-Tukey correspondence from  $(\mathfrak{A}^+, \supseteq', [\mathfrak{A}^+]^{\leq \omega})$  to  $(\mathbb{N}^{\mathbb{N}}, \subseteq^*, \mathcal{S})$ . **P** Suppose that  $a \in \mathfrak{A}^+$  and  $S \in \mathcal{S}$  are such that  $\phi(a) \subseteq^* S$ ; let  $n \in \mathbb{N}$  be such that  $\phi(a)(m) \in S[\{m\}]$  for  $m \geq n$ . Let  $\langle d_m \rangle_{m \in \mathbb{N}}$  be the sequence constructed in the definition of  $\phi(a)$  as described in (c), and set  $d = d_n$ . Then

$$\psi_{dn}(S) \subseteq d \setminus \sup_{m \geq n} (d_m \setminus d_{m+1}) \subseteq \inf_{m \geq n} d_m \subseteq a.$$

So  $a \supseteq' \psi(S)$ . **Q**

Accordingly  $(\mathfrak{A}^+, \supseteq', [\mathfrak{A}^+]^{\leq \omega}) \preceq_{\text{GT}} (\mathbb{N}^{\mathbb{N}}, \subseteq^*, \mathcal{S})$ .

**9E Corollary** Let  $\mathfrak{A}$  be a Maharam algebra with countable Maharam type, and  $\mathcal{N}$  the Lebesgue null ideal. Then  $\pi(\mathfrak{A}) \leq \text{cf } \mathcal{N}$ .

**proof**

$$\pi(\mathfrak{A}) = \text{cov}(\mathfrak{A}^+, \supseteq, \mathfrak{A}^+) \leq \max(\omega, \text{cov}(\mathfrak{A}^+, \supseteq', [\mathfrak{A}^+]^{\leq \omega})) \leq \max(\omega, \text{cov}(\mathbb{N}^{\mathbb{N}}, \subseteq^*, \mathcal{S}))$$

(putting 9Ad and 9D together)

$$= \text{cov}(\mathbb{N}^{\mathbb{N}}, \subseteq^*, \mathcal{S}) = \text{cf } \mathcal{N}$$

by FREMLIN 08?, 521M.

**9F Theorem** Let  $\mathfrak{A}$  be a Maharam algebra with countable Maharam type, and  $\mathcal{N}$  the Lebesgue null ideal. Then  $\text{wdistr}(\mathfrak{A}) \geq \text{add } \mathcal{N}$ .

**proof** Fix a strictly positive Maharam submeasure  $\mu$  on  $A$ , a countable topologically dense subalgebra  $D \subseteq \mathfrak{A}$  and a sequence  $\langle a_n \rangle_{n \in \mathbb{N}}$  running over  $D$ . For any partition of unity  $C \subseteq \mathfrak{A}$  choose  $f_C \in \mathbb{N}^{\mathbb{N}}$  as follows. Let  $C' = \{c : 1 \setminus c \text{ meets only finitely many members of } C\}$ . Choose  $c_n \in C'$  such that  $\mu c_n < 8^{-n}$  for every  $n$ ; for each  $n$ , choose a sequence  $\langle d_{ni} \rangle_{i \in \mathbb{N}}$  in  $D$  such that  $c_n \subseteq \sup_{i \geq n} d_{ni}$  and  $\mu d_{ni} \leq 4^{-i} \cdot 2^{-n-1}$  for every  $i$ . Set  $c'_i = \sup_{n \leq i} d_{ni}$  for each  $i$ , so that  $c'_i \in D$  and  $\mu c'_i \leq 4^{-i}$ , while  $\sup_{i \geq n} c'_i \supseteq c_n$  belongs to  $C'$  for every  $n$ . Now choose  $f_C(i)$  so that  $c'_i = a_{f_C(i)}$  for each  $i$ .

If  $\kappa < \text{add } \mathcal{N}$  and  $\langle C_\xi \rangle_{\xi < \kappa}$  is a family of partitions of unity in  $\mathfrak{A}$ , then there is an  $S \in \mathcal{S}$  such that  $f_{C_\xi} \subseteq^* S$  for every  $\xi$ , because  $\text{add}(\mathbb{N}^{\mathbb{N}}, \subseteq^*, \mathcal{S}) = \text{add } \mathcal{N}$  (FREMLIN 08?, 521M). Set  $b_0 = 1$ ,

$$b_{n+1} = \sup_{m \geq n} \sup\{a_i : (m, i) \in S, \mu a_i \leq 4^{-m}\}$$

for each  $n$ ; then  $\mu b_{n+1} \leq \sum_{m=n}^{\infty} 2^{-m} = 2^{-n+1}$  for every  $n$ , so  $B = \{b_n \setminus b_{n+1} : n \in \mathbb{N}\}$  is a partition of unity. Also, given  $\xi < \kappa$ , there is an  $n \in \mathbb{N}$  such that  $f_{C_\xi}(m) \in S[\{m\}]$  for every  $m \geq n$ . Since  $\mu(a_{f_{C_\xi}}(m)) \leq 4^{-m}$  for every  $m$ , it follows that if  $m \geq n$  then  $\sup_{i \geq m} a_{f_{C_\xi}}(i) \subseteq b_{m+1}$  and  $b_{m+1} \in C'_\xi$ . Thus every member of  $B$  meets only finitely many members of  $C_\xi$ ; and this is true for every  $\xi < \kappa$ . As  $\langle C_\xi \rangle_{\xi < \kappa}$  is arbitrary,  $\text{wdistr}(\mathfrak{A}) \geq \text{add } \mathcal{N}$ .

**9G Proposition** Let  $\mathfrak{A}$  be a Dedekind  $\sigma$ -complete Boolean algebra with a non-zero atomless Maharam submeasure  $\mu$ . Then  $d(\mathfrak{A}) \geq \mathfrak{m}_{\text{countable}} = \text{cov } \mathcal{M}$ , where  $\mathcal{M}$  is the ideal of meager subsets of  $\mathbb{R}$ .

**proof** We can suppose that  $\mu 1 = 1$ . Then for each  $n \in \mathbb{N}$  we have a finite partition  $A_n$  of unity in  $\mathfrak{A}$  such that  $\mu a \leq 2^{-n-2}$  for every  $a \in A_n$ . Enumerate  $A_n$  as  $\langle a_{ni} \rangle_{i < k(n)}$ .

Suppose that  $\kappa < \text{cov } \mathcal{M}$  and  $\langle C_\xi \rangle_{\xi < \kappa}$  is a family of maximal centered subsets of  $\mathfrak{A}$ . Then  $a \in C_\xi$  whenever  $\xi < \kappa$ ,  $c \in C_\xi$  and  $c \subseteq a$ . For  $\xi < \kappa$  and  $n \in \mathbb{N}$ ,  $C_\xi \cap A_n \neq \emptyset$ ; let  $f_\xi(n) < k(n)$  be such that  $a_{n, f_\xi(n)} \in C_\xi$ . Because  $\kappa < \mathfrak{m}_{\text{countable}}$ , there is an  $f \in \mathbb{N}^{\mathbb{N}}$  such that  $f \cap f_\xi \neq \emptyset$  for every  $\xi < \kappa$  (FREMLIN 08?, 521Rb); we may suppose that  $f(n) < k(n)$  for every  $n$ ; set  $a = \sup_{n \in \mathbb{N}} a_{n, f(n)}$ . Then  $a \in C_\xi$  for every  $\xi < \kappa$  and  $\mu a < 1$ . So  $1 \setminus a \in \mathfrak{A}^+ \setminus \bigcup_{\xi < \kappa} C_\xi$ .

As  $\langle C_\xi \rangle_{\xi < \kappa}$  is arbitrary,  $d(\mathfrak{A}) \geq \text{cov } \mathcal{M}$ .

## 10 Topological submeasures

**10A Definitions** (a) Let  $\mu$  be a submeasure defined on an algebra  $\Sigma$  of subsets of a set  $X$ , and  $\mathcal{K}$  a family of sets. I say that  $\mu$  is **inner regular with respect to**  $\mathcal{K}$  if whenever  $E \in \Sigma$  and  $\epsilon > 0$  there is a  $K \in \mathcal{K} \cup \{\emptyset\}$  such that  $K \in \Sigma$ ,  $K \subseteq E$  and  $\mu(E \setminus K) \leq \epsilon$ .

(b) A submeasure  $\mu$  defined on an algebra of sets is **(countably) compact** if it is inner regular with respect to some (countably) compact family of sets.

(c) Now suppose that  $X$  is a Hausdorff space. Then a submeasure  $\mu$  defined on a  $\sigma$ -algebra  $\Sigma$  of subsets of  $X$  is a **Radon submeasure** if (i)  $\Sigma$  contains every open set (ii) whenever  $E \subseteq F \in \Sigma$  and  $\mu F = 0$  then  $E \in \Sigma$  (iii)  $\mu$  is inner regular with respect to the compact sets.

**10B Remarks** These definitions are of course based on the corresponding notions for measures; see FREMLIN 03, §§412, 416 and 451. But watch out for the translations; thus the definition of ‘inner regular’ for submeasures matches the definition for totally finite measures, but not the definition for general measures, which of course need not be exhaustive.

**10C Proposition** (a) Suppose that  $\mu$  is an exhaustive submeasure defined on an algebra  $\Sigma$  of sets, and that  $\mathcal{K}$  is a family of sets such that  $K \cup L \in \mathcal{K}$  whenever  $K, L \in \mathcal{K}$  are disjoint and  $\mu E = \sup\{\mu K : K \in \mathcal{K} \cap \Sigma, K \subseteq E\}$  for every  $E \in \Sigma$ . Then  $\mu$  is inner regular with respect to  $\mathcal{K}$ .

(b) Suppose that  $\mu$  is a countably compact submeasure defined on a  $\sigma$ -algebra  $\Sigma$  of sets. Then  $\mu$  is a Maharam submeasure.

(c) Any Radon submeasure is a Maharam submeasure.

**proof** (a) **?** Otherwise, there are  $E \in \Sigma$  and  $\epsilon > 0$  such that  $\mu(E \setminus K) > \epsilon$  whenever  $K \in \Sigma \cap \mathcal{K} \cap \mathcal{P}E$ . Choose  $\langle K_n \rangle_{n \in \mathbb{N}}$  inductively so that  $K_n \in \Sigma \cap \mathcal{K}$ ,  $K_n \subseteq E \setminus \bigcup_{i < n} K_i$  and  $\mu K_n \geq \mu(E \setminus \bigcup_{i < n} K_i) - \frac{1}{2}\epsilon$  for every  $n$ . Then  $\bigcup_{i < n} K_i \in \mathcal{K}$  so  $\mu K_n \geq \frac{1}{2}\epsilon$  for every  $n$ ; but  $\mu$  was supposed to be exhaustive. **X**

(b) Let  $\mathcal{K} \subseteq \Sigma$  be a countably compact class such that  $\mu$  is inner regular with respect to  $\mathcal{K}$ . Let  $\langle E_n \rangle_{n \in \mathbb{N}}$  be a non-increasing sequence in  $\Sigma$  with infimum  $\emptyset$  in  $\Sigma$ ; since  $\Sigma$  is a  $\sigma$ -algebra,  $\bigcap_{n \in \mathbb{N}} E_n = \emptyset$ . **?** If  $\inf_{n \in \mathbb{N}} \mu E_n = \gamma > 0$ , then for each  $n \in \mathbb{N}$  choose  $K_n \in \Sigma \cap \mathcal{K}$  such that  $K_n \subseteq E_n$  and  $\mu(E_n \setminus K_n) \leq 2^{-n-1}\gamma$ . Then

$$\begin{aligned} \mu\left(\bigcap_{i \leq n} K_i\right) &\geq \mu E_n - \sum_{i=0}^n \mu(E_n \setminus K_i) \geq \mu E_n - \sum_{i=0}^n \mu(E_i \setminus K_i) \\ &\geq \gamma - \sum_{i=0}^n 2^{-i-1}\gamma > 0 \end{aligned}$$

and  $\bigcap_{i \leq n} K_i \neq \emptyset$  for every  $n$ . But  $\bigcap_{n \in \mathbb{N}} K_n \subseteq \bigcap_{n \in \mathbb{N}} E_n$  is empty and  $\mathcal{K}$  is supposed to be countably compact. **X**

(c) Immediate from the definitions and (b).

**10D Theorem** Let  $X$  be a Hausdorff space and  $\mathcal{K}$  the family of compact subsets of  $X$ . Let  $\phi : \mathcal{K} \rightarrow [0, \infty[$  be a bounded functional such that

$$(\alpha) \phi \emptyset = 0 \text{ and } \phi K \leq \phi(K \cup L) \leq \phi K + \phi L \text{ for all } K, L \in \mathcal{K};$$

( $\beta$ ) whenever  $K \in \mathcal{K}$  and  $\epsilon > 0$  there is an  $L \in \mathcal{K}$  such that  $L \subseteq X \setminus K$  and  $\phi K' \leq \epsilon$  whenever  $K' \in \mathcal{K}$  is disjoint from  $K \cup L$ ;

( $\gamma$ ) whenever  $K, L \in \mathcal{K}$  and  $K \subseteq L$  then  $\phi L \leq \phi K + \sup\{\phi K' : K' \in \mathcal{K}, K' \subseteq L \setminus K\}$ .

Then there is a unique Radon submeasure defined on an algebra of subsets of  $X$  and extending  $\phi$ .

**proof (a)** For  $A \subseteq X$  write  $\phi_* A = \sup\{\phi K : K \subseteq A \text{ is compact}\}$ . Then  $\phi_*$  extends  $\phi$ . Also  $\phi_*(\bigcup_{n \in \mathbb{N}} G_n) \leq \sum_{n=0}^{\infty} \phi_* G_n$  for every sequence  $\langle G_n \rangle_{n \in \mathbb{N}}$  of open subsets of  $X$ . **P** If  $K \subseteq \bigcup_{n \in \mathbb{N}} G_n$  is compact, it is expressible as  $\bigcup_{i \leq n} K_i$  where  $n \in \mathbb{N}$  and  $K_i \subseteq G_i$  is compact for every  $i \leq n$ . **Q**

(b) Let  $\Sigma$  be the family of subsets  $E$  of  $X$  such that for every  $\epsilon > 0$  there is a  $K \subseteq X$  such that  $K \cap E$  and  $K \setminus E$  are both compact and  $\phi_*(X \setminus K) \leq \epsilon$ . Then  $\Sigma$  is an algebra of subsets of  $X$  including  $\mathcal{K}$ . **P** (i) Of course  $X \setminus E \in \Sigma$  whenever  $E \in \Sigma$ . (ii) If  $E, F \in \Sigma$  and  $\epsilon > 0$ , let  $K, L \subseteq X$  be such that  $K \cap E, K \setminus E, L \cap F$  and  $L \setminus F$  are all compact and  $\phi_*(X \setminus K), \phi_*(X \setminus L)$  are both at most  $\frac{1}{2}\epsilon$ . Then  $(K \cap L) \cap (E \cup F)$  and  $(K \cap L) \setminus (E \cup F)$  are both compact, and  $\phi_*(X \setminus (K \cap L)) \leq \epsilon$ . As  $\epsilon$  is arbitrary,  $E \cup F \in \Sigma$ . (iii) By hypothesis ( $\beta$ ),  $\mathcal{K} \subseteq \Sigma$ . **Q**

(c)  $\Sigma$  is a  $\sigma$ -algebra of subsets of  $X$ . **P** Let  $\langle E_n \rangle_{n \in \mathbb{N}}$  be a sequence in  $\Sigma$  with intersection  $E$ , and  $\epsilon > 0$ . For each  $n \in \mathbb{N}$  let  $K_n \subseteq X$  be such that  $K_n \cap E_n$  and  $K_n \setminus E_n$  are compact and  $\phi_*(X \setminus K_n) \leq 2^{-n}\epsilon$ ; set  $K = \bigcap_{n \in \mathbb{N}} K_n$ . Set  $L = \bigcap_{n \in \mathbb{N}} K_n \cap E_n$ , so that  $L \subseteq E$  is compact, and let  $L' \subseteq X \setminus L$  be a compact set such that  $\phi_*(X \setminus (L \cup L')) \leq \epsilon$ ; set  $K' = K \cap (L \cup L')$ . Then  $\phi_*(X \setminus K') \leq 3\epsilon$ . As  $L' \cap L = \emptyset$  there is an  $n \in \mathbb{N}$  such that  $L' \cap \bigcap_{i \leq n} K_i \cap E_i$  is empty. Now

$$K \cap L' \subseteq \bigcup_{i \leq n} (X \setminus (K_i \cap E_i)) \cap \bigcap_{i \leq n} K_i \subseteq \bigcup_{i \leq n} X \setminus E_i \subseteq X \setminus E,$$

so  $K' \cap E = K \cap L$  and  $K' \setminus E = K \cap L'$  are compact. As  $\epsilon$  is arbitrary,  $E \in \Sigma$ . **Q**

(d) Set  $\mu = \phi_* \upharpoonright \Sigma$ . Then  $\mu$  is subadditive. **P** Suppose that  $E, F \in \Sigma$  and  $K \subseteq E \cup F$  is compact. Let  $\epsilon > 0$ . Then there are  $L_1, L_2 \in \mathcal{K}$  such that  $L_1 \cap E, L_1 \setminus E, L_2 \cap F$  and  $L_2 \setminus F$  are all compact, while  $\phi_*(X \setminus L_1)$  and  $\phi_*(X \setminus L_2)$  are both at most  $\epsilon$ . Set  $K_1 = L_1 \cap E$  and  $K_2 = L_2 \cap F$ , so that

$$\phi K \leq \phi(K \cup K_1 \cup K_2) \leq \phi(K_1 \cup K_2) + \phi_*(K \setminus (K_1 \cup K_2))$$

(by hypothesis ( $\gamma$ ))

$$\leq \phi K_1 + \phi K_2 + \phi_*(X \setminus (L_1 \cap L_2)) \leq \phi_* E + \phi_* F + 2\epsilon.$$

As  $\epsilon$  and  $K$  are arbitrary,  $\phi_*(E \cup F) \leq \phi_* E + \phi_* F$ . **Q**

(e) If  $E \subseteq F \in \Sigma$  and  $\mu F = 0$  then  $E \in \Sigma$ . **P** Let  $\epsilon > 0$ . Let  $K \subseteq X$  be such that  $K \cap F$  and  $K \setminus F$  are both compact and  $\phi_*(X \setminus K) \leq \epsilon$ . If  $L \in \mathcal{K}$  and  $L \cap K \subseteq F$  then  $\phi_*(L \setminus K) \leq \epsilon$  so

$$\phi(L \cup (K \cap F)) \leq \epsilon + \phi(K \cap F) = \epsilon.$$

Accordingly  $\phi_*(X \setminus (K \setminus F)) \leq \epsilon$ . But  $(K \setminus F) \cap E$  and  $(K \setminus F) \setminus E$  are both compact. As  $\epsilon$  is arbitrary,  $E \in \Sigma$ . **Q**

(f)  $\mu$  is inner regular with respect to  $\mathcal{K}$ . **P** If  $E \in \Sigma$  and  $\epsilon > 0$ , let  $K \subseteq X$  be such that  $K \cap E$  and  $K \setminus E$  are both compact and  $\phi_*(X \setminus K) \leq \epsilon$ . If  $L \in \mathcal{K}$  and  $L \subseteq E \setminus K$  then  $\phi L \leq \phi_*(X \setminus K) \leq \epsilon$ ; so  $\mu(E \setminus K) \leq \epsilon$ . **Q**

(g) Every open set belongs to  $\Sigma$ . **P** Let  $G \subseteq X$  be open, and  $\epsilon > 0$ . Applying ( $\beta$ ) with  $K = \emptyset$  we have an  $L \in \mathcal{K}$  such that  $\phi_*(X \setminus L) \leq \epsilon$ . Next, there is an  $L' \in \mathcal{K}$ , disjoint from  $L \setminus G$ , such that  $\phi_*(X \setminus ((L \setminus G) \cup L')) \leq \epsilon$ . Set  $L'' = L \cap ((L \setminus G) \cup L')$ . Then  $L'' \cap G = L \cap L'$  and  $L'' \setminus G = L \setminus G$  are compact and  $\phi_*(X \setminus L'') \leq 2\epsilon$ . **Q**

(h) So  $\mu$  is a Radon submeasure. To see that it is unique, let  $\mu'$  be another Radon submeasure with the same properties, and  $\Sigma'$  its domain. If  $E \in \Sigma$  there are sequences  $\langle K_n \rangle_{n \in \mathbb{N}}, \langle L_n \rangle_{n \in \mathbb{N}}$  of compact sets such that  $K_n \subseteq E, L_n \subseteq X \setminus E$  and  $\mu(E \setminus K_n) + \mu((X \setminus E) \setminus L_n) \leq 2^{-n}$  for every  $n$ . Set  $F = \bigcup_{n \in \mathbb{N}} K_n$  and  $F' = \bigcup_{n \in \mathbb{N}} L_n$ ; then  $F \cup F'$  belongs to  $\Sigma \cap \Sigma'$  and

$$\begin{aligned} \mu'(X \setminus (F \cup F')) &= \phi_*(X \setminus (F \cup F')) = \mu(X \setminus (F \cup F')) \\ &\leq \inf_{n \in \mathbb{N}} \mu(X \setminus (K_n \cup L_n)) = 0. \end{aligned}$$



Consequently  $E \setminus F \in \Sigma'$  and  $E \in \Sigma'$ .

The same works with  $\mu$  and  $\mu'$  interchanged, so  $\Sigma = \Sigma'$  and  $\mu' = \phi_* \upharpoonright \Sigma = \mu$ .

**10E Theorem** Let  $X$  be a zero-dimensional compact Hausdorff space and  $\mathfrak{B}$  the algebra of open-and-closed subsets of  $X$ . Let  $\nu : \mathfrak{B} \rightarrow [0, \infty[$  be an exhaustive submeasure. Then there is a unique Radon submeasure on  $X$  extending  $\nu$ .

**proof (a)** Let  $\mathcal{K}$  be the family of compact subsets of  $X$  and for  $K \in \mathcal{K}$  set  $\phi K = \inf\{\nu E : K \subseteq E \in \mathfrak{B}\}$ . Then  $\phi$  satisfies the conditions of Theorem 10D.

**P(α)** Of course  $\phi\emptyset = 0$  and  $\phi K \leq \phi L$  whenever  $K \subseteq L$  in  $\mathcal{K}$ . If  $K \subseteq E \in \mathfrak{B}$  and  $L \subseteq F \in \mathfrak{B}$ , then  $K \cup L \subseteq E \cup F \in \mathfrak{B}$  and  $\nu(E \cup F) \leq \nu E + \nu F$ , so  $\phi$  is subadditive. **Q**

**(β)** The point is that for every  $K \in \mathcal{K}$  and  $\epsilon > 0$  there is an  $E \in \mathfrak{B}$  such that  $K \subseteq E$  and  $\nu F \leq \epsilon$  whenever  $F \in \mathfrak{B}$  and  $F \subseteq E \setminus K$ ; since otherwise we could find a disjoint sequence  $\langle F_n \rangle_{n \in \mathbb{N}}$  in  $\mathfrak{B}$  with  $\nu F_n \geq \epsilon$  for every  $n$ . But now  $L = X \setminus E$  is compact and disjoint from  $K$ , and every compact subset of  $X \setminus (K \cup L) = E \setminus K$  is included in a member of  $\mathfrak{B}$  included in  $E \setminus K$ ; so  $\sup\{\phi K' : K' \subseteq X \setminus (K \cup L) \text{ is compact}\} \leq \epsilon$ .

**(γ)** If  $K$  and  $L$  are compact and  $K \subseteq L$  and  $\epsilon > 0$ , take  $E \in \mathfrak{B}$  such that  $K \subseteq E$  and  $\nu E \leq \phi K + \epsilon$ . Set  $K' = L \setminus E$ . If  $F \in \mathfrak{B}$  and  $F \supseteq K'$ , then  $E \cup F \supseteq L$ , so

$$\phi L \leq \nu(E \cup F) \leq \nu E + \nu F \leq \phi K + \epsilon + \nu F.$$

As  $F$  is arbitrary,  $\phi L \leq \phi K + \phi K' + \epsilon$ . **Q**

**(b)** There is therefore a Radon submeasure  $\mu$  extending  $\phi$  and  $\nu$ .

**(c)** If  $\mu'$  is another Radon submeasure extending  $\nu$ , then  $\mu' \upharpoonright \mathcal{K} = \phi$ . **P** Of course  $\mu' K \leq \phi K$  for every  $K \in \mathcal{K}$ . **?** If  $K \in \mathcal{K}$  and  $\epsilon > 0$  and  $\mu' K + \epsilon < \phi K$ , let  $E \in \mathfrak{B}$  be such that  $K \subseteq E$  and  $\phi L \leq \epsilon$  whenever  $L \subseteq E \setminus K$  is compact, as in (a-β) above. Then

$$\begin{aligned} \mu'(E \setminus K) &= \sup\{\mu' L : L \subseteq E \setminus K \text{ is compact}\} \\ &\leq \sup\{\phi L : L \subseteq E \setminus K \text{ is compact}\} \leq \epsilon \end{aligned}$$

and

$$\nu E = \mu' E \leq \epsilon + \mu' K < \mu K \leq \mu E = \nu E. \quad \mathbf{XQ}$$

By the guarantee of uniqueness in 10D,  $\mu' = \mu$ .

**10F Theorem** Let  $X$  be a topological space,  $\mathcal{G}$  the family of cozero subsets of  $X$  and  $\mathcal{B}\mathfrak{a}(X)$  the Baire  $\sigma$ -algebra of  $X$ . If  $\psi : \mathcal{G} \rightarrow [0, \infty[$  is a functional, then  $\psi$  can be extended to a Maharam submeasure with domain  $\mathcal{B}\mathfrak{a}(X)$  iff

- (α)  $\psi G \leq \psi H$  whenever  $G, H \in \mathcal{G}$  and  $G \subseteq H$ ,
- (β)  $\psi(\bigcup_{n \in \mathbb{N}} G_n) \leq \sum_{n=0}^{\infty} \psi G_n$  for every sequence  $\langle G_n \rangle_{n \in \mathbb{N}}$  in  $\mathcal{G}$ ,
- (γ)  $\lim_{n \rightarrow \infty} \psi G_n = 0$  for every non-increasing sequence  $\langle G_n \rangle_{n \in \mathbb{N}}$  in  $\mathcal{G}$  with empty intersection.

In this case, the extension is unique.

**proof (a)** If  $\psi$  can be extended to a Maharam submeasure, then the conditions are surely satisfied, using 1B(a-i) for (β). So for most of the rest of the proof I suppose that the conditions are satisfied and seek to construct a Maharam submeasure on  $\mathcal{B}\mathfrak{a}(X)$  extending  $\psi$ .

**(b)** Let  $\mathcal{E}$  be the family of those sets  $E \subseteq X$  such that for every  $\epsilon > 0$  there are a cozero set  $G \supseteq E$  and a zero set  $F \subseteq E$  such that  $\psi(G \setminus F) \leq \epsilon$ .

**(i)** Zero sets belong to  $\mathcal{E}$ . **P** If  $F \subseteq X$  is a zero set, there is a non-increasing sequence  $\langle G_n \rangle_{n \in \mathbb{N}}$  in  $\mathcal{G}$  with intersection  $F$ ; now (γ) tells us that  $\inf_{n \in \mathbb{N}} \psi G_n \setminus F = 0$ . **Q**

**(ii)** If  $E \in \mathcal{E}$  then  $X \setminus E \in \mathcal{E}$ . **P** If  $F \subseteq E \subseteq G$  then  $X \setminus G \subseteq X \setminus E \subseteq X \setminus F$ . **Q**

(iii) If  $E_0, E_1 \in \mathcal{E}$  then  $E_0 \cup E_1 \in \mathcal{E}$ . **P** Given  $\epsilon > 0$ , let  $F_0 \subseteq E_0, F_1 \subseteq E_1$  be zero sets and  $G_0 \supseteq E_0, G_1 \supseteq E_1$  cozero sets such that  $\psi(G_0 \setminus F_0) + \psi(G_1 \setminus F_1) \leq \epsilon$ ; now  $G = G_0 \cup G_1$  is a cozero set,  $F = F_0 \cup F_1$  is a zero set,  $F \subseteq E_0 \cup E_1 \subseteq G$  and  $\psi(G \setminus F) \leq \epsilon$  (using  $(\alpha)$  and  $(\beta)$ ). **Q**

Consequently  $\mathcal{E}$  is an algebra of subsets of  $X$ .

(iv) If  $\langle G_n \rangle_{n \in \mathbb{N}}$  is a disjoint sequence in  $\mathcal{G}$  then  $\lim_{n \rightarrow \infty} \psi G_n = 0$  (apply  $(\gamma)$  to  $\langle \bigcup_{i \geq n} G_i \rangle_{n \in \mathbb{N}}$ ). So if  $\langle G_n \rangle_{n \in \mathbb{N}}$  is a sequence of cozero sets,  $\langle F_n \rangle_{n \in \mathbb{N}}$  is a sequence of zero sets and  $G_{n+1} \subseteq F_n \subseteq G_n$  for every  $n$ ,  $\lim_{n \rightarrow \infty} \lim_{m \rightarrow \infty} \psi(G_n \setminus F_m) = 0$ . **P?** Otherwise, we have an  $\epsilon > 0$  and a strictly increasing sequence  $\langle n_k \rangle_{k \in \mathbb{N}}$  such that  $\psi(G_{n_k} \setminus F_{n_{k+1}}) \geq \epsilon$  for every  $k$ . But now  $\langle G_{n_{2k}} \setminus F_{n_{2k+1}} \rangle_{k \in \mathbb{N}}$  is disjoint sequence of sets on which  $\psi$  takes values greater than or equal to  $\epsilon$ . **XQ**

(v) If  $\langle E_n \rangle_{n \in \mathbb{N}}$  is a non-increasing sequence in  $\mathcal{E}$  then  $E = \bigcap_{n \in \mathbb{N}} E_n$  belongs to  $\mathcal{E}$ . **P** Let  $\epsilon > 0$ . For each  $n \in \mathbb{N}$  take a zero set  $F_n \subseteq E_n$  and a cozero set  $G_n \supseteq E_n$  such that  $\psi(G_n \setminus F_n) \leq 2^{-n}\epsilon$ . Choose zero sets  $F'_n$ , cozero sets  $G'_n$  such that

$$F_{n+1} \subseteq G'_{n+1} \subseteq F'_{n+1} \subseteq G_{n+1} \cap G'_n$$

for every  $n$ . Set  $F = \bigcap_{m \in \mathbb{N}} F_m$ . There is a strictly increasing sequence  $\langle n_k \rangle_{k \in \mathbb{N}}$  such that  $\psi(G'_{n_k} \setminus F'_m) \leq 2^{-k}\epsilon$  for all  $k, m \in \mathbb{N}$ . Now  $F \subseteq E$  is a zero set,  $G = G'_{n_0} \supseteq E$  is a cozero set, and

$$G \setminus F \subseteq \bigcup_{k \in \mathbb{N}} G_{n_k} \setminus F_{n_k} \cup \bigcup_{k \in \mathbb{N}} G'_{n_k} \setminus F'_{n_{k+1}},$$

so  $\psi(G \setminus F) \leq 4\epsilon$ , by  $(\beta)$  in its full strength. As  $\epsilon$  is arbitrary,  $E \in \mathcal{E}$ . **Q**

Thus  $\mathcal{E}$  is a  $\sigma$ -algebra of subsets of  $X$ , and includes  $\mathcal{B}\mathfrak{a}(X)$ .

(c) For  $E \in \mathcal{E}$ , set

$$\mu E = \inf\{\psi G : G \text{ is a cozero set including } E\}.$$

(i)  $\mu$  extends  $\psi$  (by  $(\alpha)$ ); in particular,  $\mu \emptyset = 0$  (by  $(\gamma)$ ).

(ii) If  $E_0, E_1 \in \mathcal{E}$  and  $E_0 \subseteq E_1$  then  $\mu E_0 \leq \mu E_1$ .

(iii) If  $E, E' \in \mathcal{E}$  then  $\mu(E_0 \cup E_1) \leq \mu E_0 + \mu E_1$ . **P** If  $\epsilon > 0$ , we have cozero sets  $G_0 \supseteq E_0, G_1 \supseteq E_1$  such that  $\psi G_0 + \psi G_1 \leq \mu E_0 + \mu E_1 + \epsilon$ ; now  $G_0 \cup G_1$  is a cozero set including  $E_0 \cup E_1$ , so

$$\mu(E_0 \cup E_1) \leq \psi(G_0 \cup G_1) \leq \psi G_0 + \psi G_1 + \epsilon \leq \mu E_0 + \mu E_1 + \epsilon. \quad \mathbf{Q}$$

Thus  $\mu$  is a submeasure.

(iv) If  $\langle E_n \rangle_{n \in \mathbb{N}}$  is a non-increasing sequence in  $\mathcal{E}$  with empty intersection, then  $\inf_{n \in \mathbb{N}} \mu E_n = 0$ . **P** Take any  $\epsilon > 0$ , and repeat the construction of (b-v) above. At the end, we have a cozero set  $G = G_{n_0}$  including  $E_{n_0}$ , while  $F$  must be empty, so

$$\mu E_{n_0} \leq \psi G \leq 4\epsilon. \quad \mathbf{Q}$$

Thus  $\mu$  is a Maharam submeasure, and  $\mu \upharpoonright \mathcal{B}\mathfrak{a}(X)$  is an extension of the type we seek.

(d) As for uniqueness, suppose that  $\nu$  is any Maharam submeasure on  $\mathcal{B}\mathfrak{a}(X)$  extending  $\psi$ . If  $F \subseteq X$  is a zero set, then it is the intersection of a non-increasing sequence of cozero sets, so  $\nu F = \mu F$ . If  $E \in \mathcal{B}\mathfrak{a}(X)$  and  $\epsilon > 0$ , there are a zero set  $F \subseteq E$  and a cozero set  $G \supseteq E$  such that  $\psi(G \setminus F) \leq \epsilon$ ; now both  $\mu E$  and  $\nu E$  belong to  $[\mu F, \mu G]$  and this interval has length at most  $\epsilon$ , so  $|\mu E - \nu E| \leq \epsilon$ . As  $E$  and  $\epsilon$  are arbitrary,  $\nu$  agrees with  $\mu$  on  $\mathcal{B}\mathfrak{a}(X)$ .

**Remark** If  $\psi$  is a modular functional (that is,  $\psi(G \cup H) + \psi(G \cap H) = \psi G + \psi H$  for all  $G, H \in \mathcal{G}$ ), then  $\mu$  will be a measure; cf. FREMLIN 03, 413Xq.

**10G Example** I refer to Talagrand's example of an exhaustive submeasure  $\nu$  which is not uniformly exhaustive, as described in FREMLIN N06.  $\nu$  is defined on the algebra of open-and-closed subsets of a compact space  $X = \prod_{n \in \mathbb{N}} T_n$ , where each  $T_n$  is finite, and is invariant under permutations of each  $T_n$ ; so we can give  $X$  a group structure under which it is a compact metrizable abelian group and  $\nu$  is translation-invariant. Let  $\tilde{\nu}$  be the Radon submeasure on  $X$  extending  $\nu$ ; then  $\tilde{\nu}$  is translation-invariant. Let  $\mu$  be the Haar probability measure on  $X$ .

As noted in §X of FREMLIN N06, there is no non-trivial uniformly exhaustive submeasure dominated by  $\nu$ . Consequently, writing  $\mathcal{B}$  for the  $\sigma$ -algebra of Borel subsets of  $X$ ,  $(\mu \upharpoonright \mathcal{B}) \wedge (\nu \upharpoonright \mathcal{B}) = 0$  and there must be a Borel set  $E \subseteq X$  such that  $\nu E = 0$  and  $\mu(X \setminus E) = 0$  (1M). Consider  $W = \{(x, y) : x, y \in X, xy \in E\}$ . Then  $\mu W[\{x\}] = 1$  for every  $x \in X$ , while  $\nu W^{-1}[\{y\}] = 0$  for every  $y \in X$ . In particular,  $W \in (\mathcal{N}(\nu) \times \mathcal{N}(\mu)) \setminus (\mathcal{N}(\nu) \times \mathcal{N}(\mu))$ , while  $(X, \mu)$  is isomorphic, as measure space, to  $[0, 1]$  with Lebesgue measure; compare 4Ga.

## 11 Problems

**11A** A long-outstanding problem is: is every  $\sigma$ -finite-cc Boolean algebra in fact  $\sigma$ -bounded-cc? It is easy to show that every Maharam algebra is  $\sigma$ -finite-cc, and that every measurable algebra is  $\sigma$ -bounded-cc. But is every Maharam algebra  $\sigma$ -bounded-cc? (See 4D-4E.)

**11B** Let  $\langle \mathfrak{A}_n \rangle_{n \in \mathbb{N}}$  be a sequence of Maharam algebras and  $\mu_n$  a unital Maharam submeasure on  $\mathfrak{A}_n$  for each  $n$ . Must there be a Maharam algebra  $\mathfrak{A}$  with a Maharam submeasure  $\mu$  such that  $(\mathfrak{A}_n, \mu_n)$  is isometrically isomorphic to a subalgebra of  $(\mathfrak{A}, \mu)$  for every  $n$ ?

**11C** Is there a strictly positive exhaustive submeasure on Gaifman's algebra, that is, the regular open algebra  $\text{RO}(X)$  described in Proposition 6A?

**11D(a)** Let  $\mathfrak{C}$  be a Boolean algebra and  $\mathfrak{A}$  a  $\sigma$ -finite-cc Boolean algebra, not  $\{0\}$ . Suppose that  $\Vdash_{\mathfrak{A}} \check{\mathfrak{C}}$  is  $\sigma$ -finite-cc', in the sense that we have a sequence  $\theta_n$  of functions from  $\mathfrak{C}$  to  $\mathfrak{A}$  (interpret  $\theta_n(c)$  as  $\llbracket \check{c} \in \dot{S}_n \rrbracket$ ) such that

$$\sup_{n \in \mathbb{N}} \theta_n(c) = 1 \text{ for every } c \in \mathfrak{C};$$

$$\text{for any } n \in \mathbb{N} \text{ and any disjoint sequence } \langle c_k \rangle_{k \in \mathbb{N}} \text{ in } \mathfrak{C}, \langle \theta_n(c_k) \rangle_{k \in \mathbb{N}} \text{ order}^* \text{-converges to } 0 \text{ in } \mathfrak{A}.$$

Must  $\mathfrak{C}$  be  $\sigma$ -finite-cc'?

(b) Repeat (a) for ' $\sigma$ -bounded-cc'.

**11E** Is there any general bound for the ordinals  $\text{Mhsm}(\mathfrak{A})$  for Maharam algebras  $\mathfrak{A}$ ? Note that TAGRAND 06 describes a countable algebra  $\mathfrak{B}$  with a strictly positive exhaustive submeasure which is not uniformly exhaustive; for any  $\epsilon > 0$ ,  $r_\epsilon(1)$  must be countable; taking the metric completion of  $\mathfrak{B}$ , we obtain a Maharam algebra  $\mathfrak{A}$  such that  $\omega^\omega \leq \text{Mhsm}(\mathfrak{A}) < \omega_1$ , by §§7C-7D and 7J.

**11F** A measurable algebra of cardinal  $\mathfrak{c}$  or less is  $\sigma$ -linked, indeed  $\sigma$ - $n$ -linked for every  $n \geq 2$  (DOW & STEPRĀNS 94, or FREMLIN 08?, 523Of). Note that the linking number of any Maharam algebra  $\mathfrak{A}$  is at most  $\max(\omega, \tau(\mathfrak{A}))$ ; in particular, there is a non-measurable Maharam algebra which is  $\sigma$ -linked, therefore  $\sigma$ -bounded-cc. But is every Maharam algebra of size  $\mathfrak{c}$  necessarily  $\sigma$ -linked?

**11G** Let  $\mathfrak{B}_\omega$  be the measure algebra of the usual measure on  $\{0, 1\}^\omega$ , and  $\mathfrak{A}$  a non-measurable Maharam algebra. Must it be true that  $\mathfrak{B}_\omega \setminus \{1\} \preceq_{\text{T}} \mathfrak{A} \setminus \{1\}$ ?

**11H** Write  $S^*$  for  $\bigcup_{n \in \mathbb{N}} \{0, 1\}^n$ . For  $A \subseteq S^*$ , set  $E_A = \{x : x \in \{0, 1\}^{\mathbb{N}}, \{n : x \upharpoonright n \in A\} \text{ is infinite}\}$ . For any ideal  $\mathcal{I} \triangleleft \mathcal{P}S^*$ , write  $\mathcal{E}_{\mathcal{I}}$  for the ideal of the Borel  $\sigma$ -algebra  $\mathcal{B}(\{0, 1\}^{\mathbb{N}})$  generated by  $\{E_A : A \in \mathcal{I}\}$ . Find a combinatorial characterization of those  $p$ -ideals  $\mathcal{I}$  of  $\mathcal{P}S^*$  such that  $\mathcal{B}(\{0, 1\}^{\mathbb{N}})/\mathcal{E}_{\mathcal{I}}$  is ccc and weakly  $(\sigma, \infty)$ -distributive.

**11I** In Theorem 7H, can we improve on the factor  $\frac{1}{3}$ ?

**11J** Is it possible for a Souslin algebra to be strategically weakly  $(\sigma, \infty)$ -distributive?

**11K** In Głowczyński's example (see 6B) can  $\mathfrak{A}$  be strategically weakly  $(\sigma, \infty)$ -distributive?

**11L** Let  $\mathfrak{A}$  be an atomless Maharam algebra of countable Maharam type, not  $\{0\}$ . Must we have  $\text{wdistr}(\mathfrak{A}) = \text{add } \mathcal{N}$  and/or  $\pi(\mathfrak{A}) = \text{cf } \mathcal{N}$  and/or  $d(\mathfrak{A}) = \text{non } \mathcal{N}$ ? (See §9.)

**11M** Let  $\mathfrak{A}$  be a non-zero atomless Maharam algebra. Does it necessarily have an atomless closed subalgebra which is a measurable algebra?

**11N** Let  $\mu$  be a non-zero Radon submeasure on an algebra  $\Sigma$  of subsets of  $[0, 1]$ . Does  $\mu$  have a lifting? that is, is there a Boolean homomorphism  $\phi : \Sigma \rightarrow \Sigma$  such that (i)  $\mu(E \triangle \phi(E)) = 0$  for every  $E \in \Sigma$  (ii)  $\{E : \phi E = \emptyset\} = \{E : \mu E = 0\}$ ?

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